# Case 3:19-cv-00208-GMG Document 10-14 Filed 06/04/20 Page 1 of 111 Page D #: ATT GOVERNMENT EXHIBIT #: ATT GOVERNMENT #: ATT GOVERNMENT

Firearm

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A CONTRACT OF THE CONTRACT OF	ction Se ser (If m	
Read the Notices. Instructions, and Definitions on this form. Prepare in original only at the licensed premises "licensed premises" includes business temporarily conducted from a qualifying gun show or event in the same State in which the licensed premises is located) unless the transaction qualifies under 18 U.S.C. 922(c). All entries must be handwritten in ink. "PLEASE PRINT."  Section A - Must Be Completed Personally By Transferee Buyer	21	9.
1. Transferee's/Buyer's Full Name (If legal name contains an initial only, record "IO" after the initial If no middle initial or name, record Last Name, thickneding suffix (e.g., Jr. Sr. II, III)   First Name   [Middle Name]		i j
Adkins William mark		
2. Current State of Residence and Address (U.S. Postal abbreviations are acceptable. Cannot be a post office box.)  Number and Street Address  City  County  State	emplement of the advance of the e-	
- Country - Coun	-ZIPC N	ode
Redacted  2 Place of Birth  4 Height, 5. Weight 10. Sex 7. Birth Date	12:	597
U.S. City and State OR Foreign Country In Co (Lbv) Contale Month Day	car	
Richlands (14. In 20 245 Temate & Redact		
8 Social Security Number (Dational Intervill help provent misidentification) 9. Unique Personal Identification Number (UPIN) it applies Instructions for Question 9.)	ble /Sec	
10.a. Ethnicity 10 b. Race (In addition to ethnicity, select one or more race in 10.b. Both 10.a. and 10.b. must be answered	v(d.)	
Hispanic or Latino American Indian or Alaska Native Black or African American		
Asian Native Hawatian or Other Pacific Islander		
11. Answer the following questions by checking or marking "yes" or "no" in the boxes to the right of the questions.  a. Are you the actual transferee/buyer of the firearm(s) listed on this form? Warning: You are not the actual transferee buyer if you are acquiring the firearm(s) on behalf of another person. If you are not the actual transferee/buyer, the licensee cannot transfer the firearm(s) to you. Exception. If you are picking up a repaired firearm(s) for another person, you are not required to answer 11 a.	Yes	No.
and may proceed to question 11.b. (See Instructions for Question 11.a.)	1	
b. Are you under indictment or information in any court for a felony, or any other crime for which the judge could imprison you for more than one year? (See Instructions for Question 11.b.)	1	
e. Have you ever been convicted in any court of a felony, or any other crime for which the judge could have imprisoned you for more than one year, even if you received a shorter sentence including probation? (See Instructions for Question 11.4)		
d. Are you a fugitive from justice? (See Instruction for Question 11.d.)	100	
Are you an unlowful user of, or addicted to, marijuana or any depressant, stimulant, narcotic drug, or any other controlled substance?  Warning: The use or possession of marijuana remains unlawful under Federal law regardless of whether it has been legalized or		
decriminalized for medicinal or recreational purposes in the state where you reside.		
f. Have you ever been adjudicated as a mental defective <b>OR</b> have you ever been committed to a mental institution? (See Instructions for Question 11.f.)		
g. Have you been discharged from the Armed Forces under dishonorable conditions?		12-
h. Are you subject to a court order restraining you from harassing, stalking, or threatening your child or an intimate partner or child of such partner? (See Instructions for Question 11 h):		
i. Have you ever been convicted in any court of a misdemeanor crime of domestic violence" (See Instructions for Question 11.1.)		10
12.a. Currery of Citizenship: (Check/List more than one, if applicable Nationals of the United States may check U.S.A.)	1	لت
United States of America (U.S.4) Other Country/Countries (Specify)		
12.b. Have you ever renounced your United States citizenship?	Yes	No Z
12.c. Are you an alien illegally or unlawfully in the United States?'	十十十	司
12.d.1. Are you an alien who has been admitted to the United States under a nonimmigrant visa? (See Instructions for Question 12.d.)	情	F
12.d.2. If "yes", do you fall within any of the exceptions stated in the instructions?		
13. If you are an alien, record your U.SIssued Alien or Admission number (AR#, USCIS#, or 194#):		a consequencia al
Previous Editions Are Obsolete Transferee/Buyer Continue to Next Page ATF Form 4473 (53)	if) G i	A CARA AND AND AND AND AND AND AND AND AND AN
Page 1 of 6 STAPLE IF PAGES BECOME SEPARATED Recused October 201		

Case 3:19-cv-00208-GMG Document 10-14 For I certify that my answers in Section A are true, correct, and complete. I have reserved as a substant of the actual may also violate State and/or local law. I understand that a person who answer is prohibited from purchasing or receiving a firearm. I understand that a person possessing a firearm, unless the person answers "yes" to question 12.d.2. and prant fail e oral or written statemen or exhibiting any faise or misrepresented if felony under Federal law, and may also violate State and/or local law. I further resale for livelihood and profit without a Federal firearms license is a violation	ead and understand the Notices. Install transferee/buyer is a crime punishant is "yes" to any of the questions 11.b. on who answers "yes" to question 12. The country is the documentation required the ification with respect to this transtanderstand that the repetitive parch	ructions, and Definitions on ATF Form ble as a felony under Federal law, and through 11.i and/or 12.b. through 12.c. d.1. is prohibited from receiving or in 18.c. I also understand that making action. Is a crime punishable as a hase of firearms for the purpose of for Question 14.)
14. Transferee s/Buyer's Signature		15. Certification Date
Section B - Must Be Comple	ated By Transform (Saller	01/30/2016
16. Type of firearm(s) to be transferred (check or mark all that apply):	17. If transfer is at a qualifying gun	show or event:
Handgun Long Gun Other Firearm (frame, receiver, etc see Instructions for Question 16.)  See Instructions for Question 16.)	Name of Function:  City. State:	( /
18 a.Identification (e.g., Virginia Driver's license (VA DL) or other valid gover Issuing Authority and Type of Identification Number on Identification	nment-issued photo identification.) Expiratio Month	(See Instructions for Question 18.a.) on Date of Identification (if any) Day Year
1000L E21716	9 07	1 14 20,20
18.b. Supplemental Government Issued Documentation (if identification documentation 18.b.)		
18.c. Exception to the Nonimmigrant Alien Prohibition: If the transferee buyer documentation showing the exception to the prohibition and attach a copy		
Questions 19, 20, or 21 Must Be Completed Prior To The Transfer		
19.a. Date the transferee's/buyer's identifying information in Section A was transmitted to NICS or the appropriate State agency:	19.b. The NICS or State transaction	number (if provided) was:
Month Day Year O 1 30 2017	1005CKD8	RC
19.c. The response initially (first) provided by NICS or the appropriate State agency was:    Proceed   Delayed   The firearm(s) may be transferred on   if State law permus (optional)	19.d. The following response(s) was appropriate State agency Proceed Denied Cancelled No response was provided wi	(date) (date)
19.e. (Complete if applicable.) After the firearm was transferred, the following (date).	response was received from NICS of Denied Cancelled	or the appropriate State agency on:
19.f. The name and Brady identification number of the NICS examiner. (Option	onal) [19.g. Name of FFL Employee	Completing NICS check. (Optional)
(name) (number)  On the NFA firearm(s), as reflected on the approved NFA application. (1)	ee Instructions for Question 20.)	
21. No NICS check was required because the transferee/buyer has a vali as an exemption to NICS. (See Instructions for Question 21.)	d permit from the State where the tra	insfer is to take place, which qualifies
Issuing State and Permit Type    Date of Issuance (if any)	Expiration Date (if any)	Permit Number (if any)
Section C - Must Be Completed P		
I the transfer of the firearm(s) takes place on a different day from the date that Section C immediately prior to the transfer of the firearm(s). (See Instructions,	for Question 22 and 23.)	A, the transferee/buyer must complete
certify that my answers to the questions in Section A of this form are still	true, correct, and complete.	177 Bookst Cardon D-1-
22. Transferee's/Buyer's Signature		23. Recertification Date
Transferor/Seller Con	tinue to Next Page	Section of State Control of the State Control of th

Transferor/Seller Continue to Next Page STAPLE IF PAGES BECOME SEPARATED

ATF Form 4473 (5300 9) Revised October 2016

Document 10-14 Filed 06/04/20 Page 3 of 111 PageID #: 473 Completed By Transferor /Seller Even If The Firearm(s) is Not Transferred 25. 26. Manufacturer and Importer (If am - 1 the Model Serial Number Type (See Instructions Caliber or manufacturer and importer are different. 1It Designated) for Question 27.7 Gauge the FFL must in, lick both NONE 2. 3. REMINDER - By the Close of Business Complete ATF Form 3310.4 For Multiple Purchases of Handguns Within 5 Consecutive Business Days Total Number of Firearms Transferred (Please handwrite by printing e.g., zero, 30. Check if any part of this transaction is a pawn redemption. one, two, three, etc. Do not use numerals.) 31. For Use by Licensee (See Instructions for Question 31.) Line Number(s) From Question 24 Above. 32. Check if this transaction is to facilitate a private party transfer (See Instructions for Question 32) Trade corporate name and address of transferor/seller and Federal Firearm License Number (Must contain at least first three and last five digits of FFL Number X-XX-XXXXX.1 (Hand stamp may be used.) The Person Transferring The Firearm(s) Must Complete Questions 34-37. For Denied/Cancelled Transactions, the Person Who Completed Section B Must Complete Questions 34-36. 1 certify that: (1) I have read and understand the Notices, Instructions, and Definitions on this ATF Form 4473; (2) the information recorded in Sections B and D is true, correct, and complete; and (3) this entire transaction record has been completed at my licensed business premises ("licensed premises" includes business temporarily conducted from a qualifying gun show or event in the same State in which the licensed premises is located) unless this transaction has met the requirements of 18 U.S.C. 922(c). Unless this transaction has been denied or cancelled, I further certify on the basis of — (1) the transferee's/buyer's responses in Section A (and Section C, if applicable): (2) my verification of the identification recorded in question 18 (and my re-verification at the time of transfer, if Section C was completed); and (3) State or local law applicable to the firearms business — it is my belief that it is not unlawful for me to sell, deliver, transport, or otherwise dispose of the firearm(s) listed on this form to the person identified in Section A. Transferor's Seller's Name (Please print) 35. Transferor's Seller's Signagure Transferor's/Seller's Title 37. Date Transferred NOTICES, INSTRUCTIONS, AND DEFINITIONS FORMS 4473 FOR DENIED CANCELLED TRANSFERS M. STIBE RETAINED: If the transfer of a firearm is denied cancelled by NICS, or it for any other reason Purpose of the Form: The information and certification on this form are the transfer is not completed after a NICS check is impated, the licensee must retain designed so that a person licensed under 18 U.S.C. 923 may determine if he she the ATF Form 4473 in his her records for at least 5 year: 1 tims 4473 with esspeci may lawfully sell or deliver a firearm to the person identified in Section A, and to which a sale, delivery, or transfer did not take place that he separately retained to alert the transferee/buyer of certain restrictions on the receipt and possession in alphabetical the name of transferces or chronological at late firansferee's of firearms. The transferor seller of a firearm must determine the lawfulness of certification) order. the transaction and maintain proper records of the transaction. Consequently, the transferor seller must be familiar with the provisions of 18 U.S.C. 921-931 and If the transferor seller or the transferee buyer discovers that or, AFF form 4473 is the regulations in 2° CFR Parts 478 and 479. In determining the lawfulness of incomplete or improperly completed after the brearm has been transferred, and the the sale or delivery of a rifle or shotgun to a resident of another State, the transferor seller or the transferee buyer wishes to correct the omission(s) or transferor seller is presumed to know the applicable State laws and published error(s), photocopy the maceurate form and make any necessary additions or ordinances in both the transferor's seller's State and the transferee's/buyer's revisions to the photocopy. The transferor sefler should only make changes to State: (See ATE Publication 5300-5; State Laws and Published Ordinances.) Sections B and D. The transferee buyer should only make changes to Section A and C. Whoever made the changes should initial and date the changes. The corrected Generally, ATF Form 4473 must be completed at the ficensed business premises photocopy should be attached to the original Form 44.73 and retained as part of the when a firearm is transferred over-the-counter. Federal law, 18 U.S.C 922(c). transferor's seller's permanent records allows a licensed importer, manufacturer, or dealer to sell a firearm to a nonlicensee who does not appear in person at the licensee's husiness premises only Exportation of Firearms: The State or Commerce Departments may require a if the transferee/buyer meets certain requirements. These requirements are set firearms exporter to obtain a license prior to export. Warning: Any person who exforth in section 922(c), 27 CFR 478.96(b), and ATT Procedure 2013-2. ports a firearm without proper authorization may be fined not more than \$1,000,000 and/or imprisoned for not more than 20 years. See 22 U.S.C. 2778(e). After the transferor/seller has completed the firearms transaction, he she must make the completed, original ATF Form 4473 (which includes the Nonces, Gen-Section A eral Instructions, and Definitions), and any supporting documents, part of his her permanent records. Such Forms 4473 must be retained for at least 20 years and The transferee buyer must personally complete Section A of this form and certify after that period may be submitted to ATF. Fifing may be chronological the date (sign) that the answers are true, correct, and complete. However, if the transferee of disposition), alphabetical (by name of purchaser), or numerical by transacbuyer is unable to read and or write, the answers (other than the vignature) may be tion serial number), as long as all of the transferor's seller's completed Forms completed by another person, excluding the transferor seller. Two persons tother

> ATF Form 4473 (5300.0) Revised October 2016

than the transferor seller) must then sign as witnesses to the transferee sobuyer's

answers and signature certification in question 14.

4473 are filed in the same manner.

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Bureau of Alcohol, Tobacco, Firenins and Explosives

WARNING: You may not receive a firearm if p duluming whether you are prohibited from rec- et, seq., are punishable by up to 10 years impris-	etring a firearm. Certa onment and or up to a	da santativas vi che \$250,000 fine.	Caus Comm	of ver	Ta T.5.C. VZ	1 1 E	Fransferd Fran Jac Number		Irai
Read the Notices, Instructions, and Definitions of includes business temporarily conducted from a q located) unless the transaction qualifies under 18 Section 2.	ualifying gun shinc or e I U.S.C. 922(c). All enti ion A - Must Be Comp	vent in the same Su ries must be handw pleted Personally	<i>ite in which</i> ritten in ink By Transfei	the licer c. "PLE ree/Buy	ised premise ASE PRIN et	r <i>is</i>	54:		
Last Name (Including suffix (e.g., In St. II, III)	ne contains on mittal o	nlv. record "IO" aj	for the initie	d. If no	<i>middle initi</i> ddle Name	al or name	record.	7777	1
S 1785	JASOU				Norm	Lypi			
2 Current State of Residence and Address (U		us are acceptable.	Cannot be	a post	office box.)				
Redacted	City	x337634	ar control of the con	County	A F	edench	State	ZIP :	(de (bu)
3. Place of Birth		eight 5. Weight	b. Sex		7. Birth Da		<u> </u>	-	
U.S. City and State -OR- Foreign (	Country Fi.	4 230	Ma Ma	ile	Month	Day	N	ear	
Alexandria Va	lii.						acted		
8. Social Security Number (Optional, but will believe Redacted	reproven misidentilicaria	,	Personal k is for Quest		tion Numbe	tilPNi i	Capplicati	ile 780.	
Hispanic or Launo American I	<i>addition to ethnicity, si</i> Ioduan or Alaska Native	E Black or A	rican Amer	ican		).b. must b		7(l.)	
Not Hispanic or Latino Asian	a nagamahaying at a tanah serial s	inguaged.			tic Islander				
<ol> <li>Answer the following questions by checking</li> <li>Are you the actual transferee/buyer of the fire</li> </ol>	or macking "yes" or "r eactn(s) listed on this fe	uo" in the boxes to rm? Warning: Y	the right of	the que	stions. Ial transfer	ee/haver l	Evon	Yes	No
are acquiring the firearm(s) on behalf of m the firearm(s) to you. Exception: If you are and may proceed to question 11,b. (See Institu-	nother person. If you picking up a repaired	care not the actual firearm(s) for war	l transfere	e/buver	, the licensu	ee cannot i	transfer	囟	
b. Are you under indictment or information in a more than one year? tSee hist netions for Qu	my court for a felony a acytical 11.5.	or any other crime	for which if	ie judge	could impr	ison you fo	1}*		
<ul> <li>Have you ever been convicted in any court is than one year, even if you received a shorter</li> </ul>	f a felony, or any other sentence including proj	crime for which d bation? 7850 histor	ie judge eee nations tos (	dd bece In yme	imprisoned	Sou for m	(Sri)		M
<ol> <li>Are your a fuguise from justice? (See Instruct</li> </ol>	tions for Question II d				~				
Are you an unlawful user of, or addicted to, a Warning: The use or possession of marijua	ana remains unlawful	under Federal la	w regardles	or any o	other contro ether it has	lled substa i heen lega	nce" dized or		M
decriminalized for medicinal or recreation.  Have you ever been adjudicated as a mental of	al purposes in the stat	te where you resid	e.						
for Question U.f.;					ERRICH . (3)		2,4 ° S		X
g. Have you been discharged from the Armed Fo			What had a second or second or second or						X
<ol> <li>Are you subject to a court order restraining you such partner? (See Instructions for Question)</li> </ol>	11.0.1		100 mm to 1 mm				ləf		X
. Have you ever been convicted in any court o									Ŋ.
2.a. Country of Citizenship: (Check/List more is [Z] United States of America (U.S.A)			Inter! States	maj el	red (ISA)	ESTERNANTE SERVICES NO PARTICIPARA	ertherte violeunamen as new anagen	American	-commenced
2.b. Have you ever renounced your United S	iaues citizenship?							Yes	0% IVI
2.c. Are you an alien illegally or unlawfully	7 in the United States?	Programme and the second secon	err om 1979 i verstam en dettambetet til den skriven	mineral and an included the community of		the same and the factor and the displace asset.	to Vicinistic - one or or open papers and talkened	tEH	<u>风</u>
2.d.1 Are you an alien who has been admitted			nt visa 178c.	e history	time ar Q	nestion E	d)	同	
2 d.2. If "yes", do you fall within any of the ex	cceptions stated in the i	nstructions?	de sparket for the state of the sparket parket than the sparket to sparket the sparket than the sparket that the sparket than	~			X MA		
3. If you are an alien, record your U.SIssued Alie	en or Admission numbe	er (4R#, USCIS#, o	104#J:						
revious Editions Are Obsolete age 1 of 6	Transferee/Buye STAPLE IF PAGE	er Continue to Ne. S BECOME SEP					i da 11 a 5 144. Karanga 2016		Anny or annual delivery of

I certify that my answers in Section A are true, corre	ect, and complete. I have r	end and understand the No	ices, Instructi	ons, and be	and the form
4473. Lunderstand that answering "yes" to question may also violate State and/or local law. Lunderstand	r 11.a, if I am not the actua I that a verson who answe	I transferee/buyer is a crim rs "ves" to any of the questi	e punishable n lons 11.b. throi	s a reiony un igh 11.i and/	or 12.b. through 12.c.
is prohibited from purchasing or receiving a firearm	. I understand that a pers	on who answers "yes" to qu	restion 12.d.1.	is prohibited	from receiving or
possessing a firearm, unless the person answers "yes any false unabor written-statement-or-eshibiting-un-	" to question 12.d.2, and p	rovides the documentation	required in 18	e. I also une a le a crion	lerstand that making
felony under Federal law, and may also violate State	and or local law. I furthe	understand that the repet	ilis e purchase	of Arearms F	or the purpose of
resale for livelihood and profit without a Federal fire	earms license is a violation	of Federal law. (See Inst.	ructions for Q	uestion 14.)	
14. Transferce Buyer's Signature				15. Certific	
17-4-12				13411	7 1973
		eted By Transferor/Seller			and a second process of the second process o
16. Type of firearm(s) to be transferred <i>(check or me</i>		17. If transfer is at a quali	nÀttië anti znov	a or event.	
Handgun Long Gun Other Firet	um (frame, receiver, etc.) tions for Onestion 16.)	Name of Function:	and the second s		
shotguns)	715 775 J School & Control of the Co	City. State:	annon a san annonna anno managana dale e e tale e talente del		
18.a.Identification te.g., Virginia Driver's license (1	A DL) or other valid gove	onment-issued photo identij	icution.) (See	Instructions	for Question 18.0 i
	Number on Identification		Expiration Da	ite of identif	ication (if amy)
1 . ( 2 % )		111	Month		) Year
WUDL	763/20	646			2021
18.b. Supplemental Government Issued Documentat	ion (if identification does)	neut does not show current	residence add	ressi tSee h.	istructions for
Question 18 h.i					
18 c. Exception to the Nonimmigram Alien Prohibit	ion. If the transferee buye	r answered "YES" to 12.d.	2, the transfero	r seller must	record the type of
documentation showing the exception to the pr	ohibinoii and allach a cop	y 10 this XIII form 44/5, 7	<u> 300 нятисної</u>	is Jor Onesti	011 (C.U.)
Questions 19, 20, or 21 Must Be Complet	ad Drive To The Transfer	Of The Elements / See /	Instructions for	· Onestians I	9 20 mid 21 )
19.a Date the transferee's/huyer's identifying inform		19.b. The NICS or State t	ransaction nur	aber tij prov	idedi was:
transmitted to NICS or the appropriate State ag		*			
Month   Day   Year				1	
04 13 201	7	1007 Pm	17 R 6	<b>)</b>	
19 c. The response initially (first) provided by NICS		19.d. The following respo			red from NICS or the
agency was:		appropriate State age	ency:		
Proceed Delayed		Proceed		(class)	Overtunted
Denied The frearmts) may be	ctransferred en anclew permits (option di)			(tdan)	
Cancelled	······································	Cancelled	No. 40, 10, 20, 20, 20, 20, 20, 20, 20, 20, 20, 2	(daw)	
		No response was pr			
19.c. (Complete if applicable.) After the firearm wa				s abbrobusts	State agency on:
tilote).	!	Demied Canci			
19.f. The name and Brady identification number of	the NICS examiner 10/11	umult [19.g. Name of FFL	Employee (.o	orpleting Mil	CS cheek. (Optional)
CATHA	(minher)				
20). No NICS check was required because a ba		pleted during the MEA appr	oval process o	n the individ	funt who will receive
the NFA firearm(s), as reflected on the app	proved NEA application. 7	See Instructions for Questa	on 20 i		
21. No NICS check was required because the		id permu from the State wh	nere the transfe	er is to take p	lace, which qualifies
as an exemption to NICS. <i>(See Instruction</i> )  Issuing State and Permit Type  Date	of Issuance (if airc)	(Expiration Date (if am)	ı Per	mit Number	uf onvi
15Saing date and Count () pe	en regularies in this y	100 Tpt 1 20 Tpt 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1			•
		Personally By Transferee			ermeggeren (en en e
If the transfer of the firearm(s) takes place on a diffe	rent day from the date tha	the transferee buyer signer	d Section A. th	e transferce	buyer must complete
Section C immediately prior to the transfer of the fir I certify that my answers to the questions in Sections	earm(3). <i>1500 instructions</i> ion A of this form are stil	for Question 22 and 25 f true, correct, and comple	ete.		
22. Transferee's/Buyer's Signature	AND THE RESIDENCE OF THE PROPERTY OF THE PROPE	وسياس ما	Mark Oleran (eventila) ettitle tilete til (ettil	23 Recenti	fication Date
,	Transferor/Seller Co	ntinue to Next Page	and the second		rema <sub>nim</sub> ation of the high substitution of the left of the left of the constitution of the left of the
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Page 2 of 6					47 (-531) (9) ober 2016 (-)

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Manufacturer and Importer //	my (f) th	Sumi Sumber	Type (See In: 11)	1
manufactures and importer in			Joi Questien .	9
the FFL must include be				- 10-
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679	Mayon laxity	C C// COSCO /	, /4, /LR	S3755 C
3.				
4.				
REMINDER - By the Close o	f Business Complete ATF Form 3310.	4 For Multiple Purchases of	Handguns Within 5 Cons	ecutive Business Davs
	ransferred (Please handwrite by prinning		y part of this transaction is	
one, two, three, etc. Do not	The second secon	· ·-		
	1600		Number(s) From Question I	
31. For Use by Licensee (See In	struction for Ouestion 310		s transaction is to facilitate	
540-664.			nstructions for Question \$2	
	ddress of transferor seller and Lederal Ei XX 1-1Ha id sta up may be insed i	rearm Ficense Number (Mirst)	contain or least first three a	nd last five digits
FRAZIERS / 922 N.Qu MARLINDURG	INC. 25909 The Person Transferring The Fir	carm(s) Must Complete Qu		The state of
	Jancelled Transactions, the Person W			and the first of the second section of the section of the second section of the section o
true, correct, and complete; and C temporarily conducted from a qua- requirements of 18 U.S.C. 922(c). Section A (and Section C. if applications are completed); and (3) State or lo	inderstand the Notices, Instructions, and D i) this entire transaction record has been co diffying gun show or event in the same State Unless this transaction has been denied or c able); (2) my verification of the identification all law applicable to the firearms business— his form to the person identified in Section	mpleted at my licensed business in which the licensed premises is cancelled. I further certify on the n recorded in question 18 (and n — it is my belief that it is not unb	premises ("licensed premises's located) unless this transacti basis of — (1) the transferee' ny re-verification at the time (	" includes business ion has met the √buyer's responses in of transfer, if Section C
34. Transferor's/Seller's, Name	(Please print) 35. Transferor's/Seller)	a Signyang 36. Tru	nsferor's Seller's Title	3" Date Transferred
16/Man L Attorna	ne Will Ho	ALE SI	ahe	4-13-17
Purpose of the Forme. The informatesigned so that a person licensed to may lawfully sell or deliver a fugarity along the transferoe/buyer of certain of frearms. The transferor/seller of the transaction and maintain proper	tion and centre from in this form are iden 18 U.S.C. 923 may determine if he/she is to the person identified in Section A, and in restrictions on the reachpt and possession a freerin must determine the lawfulness of ecords of the transaction. Consequently, the habe provisions of 18 U.S.C. 921-931 and	If the transfer of a firearm rathe transfer is not complete, the ATF Form 4475 in his have which a sale, delivery, or in alphabetical (by mime of cortification) order.	TO CANCELLED TRANSLER is dented cancelled by NICS, of diatter a NICS of check is initiate for records for at least 5 years transfer and not take place shall another construction or chromological rate transferce buyer discovers the	of for any other reason d, the freensee must retain Lorins 4173 with respect fi be separately retained by deno of transferer's
the regulations in 27 CFR Parts 478 the sale or delivery of a rifle or shot;	and $4^{\frac{1}{2}9}$ . In determining the lawfulness of $-$	meamplete or improperly e transferor seller or the trans	eministrice moyer assemblies un umpleted after the firearm has detect buyer wishes to correct to conside form and make me has	been transferred, and the he omission(s) or

ordinances in both the transferor's seller's State and the transferee's/buyer's State: (See ATF Publication 5300.3, State Laws, and Published Ordinances):

Generally, ATF Form 4473 must be completed at the heetived business premises when a firearm is transferred over-the-counter. Federal law, 18 U.S.C. 922(c). allows a licensed importer, manufacturer, or dealer to sell a lirearm to a noulicensee who does not appear in person at the licensee's business premises only if the transferee/buver meets certain requirements. These requirements are set forth in section 922(e), 27 CFR 478.96(b), and AFF Procedure 2013-2.

After the transferor seller has completed the firearms transaction, he/she must make the completed, original XIII from 4473 (which includes the Notices, Gengrad Instructions, and Definitions), and any supporting documents, part of his her permanent records. Such Lorms 4473 must be retained for at least 26 years and after that period may be submitted to ATF. Filling may be chronological thy date of disposition), alphabetical the name of purchaser), or immerical thy transaction serial number), as long as all or the transferor siseller's completed forms 4473 are filed in the same manner.

revisions to the photocopy. The transferor/seller should only make changes to Sections B and D. The transferee buyer should only make changes to Section A and C. Windever made the changes should mitial and date the changes. The corrected photocopy should be attached to the original Form 4473 and retained as part of the transferor's seller's permanent records.

Exportation of Firearms: The Scale or Commerce Departments may require a firearms exporter to obtain a license prior to export. Warning: Any person who evports a firearm without preper amborization may be fined not more than \$1,000,000. and or imprisoned for not more than 20 years. Sec 22 U.S.C. 2778(c).

#### Section A

The transferee buyer must personally complete Section A of this form and certals isign) that the answers are time, correct, and complete. However, if the transferre buyer is unable to read and or write, the answers (other than the agreeting) may be completed by another person, excluding the transferor/seller. Two persons tother than the transferor seller must then sign as witnesses to the transferee's buyer's answers and signature certification in question 14.

> ATF Form: 4473 (5300) h Revised October 2016

## Case 3:19-cv-00208-GMG Document 10-14 Filed 06/04/20 Page 7 of 111 PageID #9 477 40-4020 Bureau of Vicologi Tobacco Funarms and Explosives Firearms Transaction Record

WARNING: You may not receive a firearm if determine whether you are prohibited from reet, seq., are punishable by up to 10 years impri	eclving a liveurm. Certain viole	itlous of the Gun Conti		Tansferor Transacti Number	on Sec	141;
Read the Notices, Instructions, and Definitions includes business temporarily conducted from a located) unless the transaction qualifies under I	qualifying gun show or event in	<i>the same State in which</i> st be handwritten in in	the licensed premises is k, "PLEASE PRINT."	54	35	
Transfered's Buyer's Full Name (I) legal in Last Name (Including suffix to a Jr. Sr. II. III)	ance ontains on initial only rec			record "N	W	
Current State of Residence and Address (I Number and Street Address Redacted	L.S. Postal abbreviations are Chy  Mart. 756	,		Since ,	ZIP Co	de Yoy
3. Place of Birth	1 Country 1. Height 1. Ship 1.6	5. Weight 6. Sex	7. Birth Date	Nea icted	l T	
8. Social Security Number (Onlineal his will be Redacted		Instructions for Ques	dentification Number (UPIN) if non 9.) b. Both 10.a. and 10.b. mast be			1 - 10 - 10 - 10 - 10 - 10 - 10 - 10 -
	n Indiao or Alaska Narive		rican Lithi			
11. Answer the following questions by checking a Are you the actual transferce buyer of the fare acquiring the firearm(s) on behalf of the firearm(s) to you. Exception: If you a and may proceed to question 11.b. (8 to bis	frearm(s) listed on this form? A canother person. If you are note picking up a repaired firear	Warning: You are not on the actual transfer	the actual transferee/hayer if ee/hayer, the licensee cannot tr	nusfer	100	
b. Are you under indictment or information it more than one year? (See Instructions for		other crime for which	the judge comblimptison you for			Ta-
<ul> <li>Have you ever been convicted in my court than one year, wen if you received a shorte</li> </ul>				1 1 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2		
d. Are you a fugitive from justice? (See Instru	•					
<ol> <li>Are you an unlowful user of, or addicted to Warning: The use or possession of marij decriminalized for medicinal or recreation.</li> </ol>	juana remains unlowful unde	r Federal law regardl				6-7
I have you ever been adjudicated as a menta now Question 11.1 i	il defective OR have you ever h	ocen committed to a na	ental institution? (See Instruction	4		
g. Three you been discharged from the Armed						
h. Are you subject to a court order restraining such parmer! See Instructions for Questi.	gyoo fila <mark>m hafassing, stalking, i</mark> an Il ha	or threatening your chil	d or an infimate partner or child	oi .		2
1 Have you ever been convicted in any court	t of a misdemeanor crime of do	mostic violence? 750c	histractions for Quest on 11.1.5			
12.a Counter of Catzenship: (Check/List men	e than one, if applicable. Natio	mals of the United Stot	es may check U.S. 4.1		A	vy annually waters)
United States of America (U.S.4)	Other Country Countries &	Specifyr:			l Yes l	701
12.b. Have you ever renounced your United	d States cuizenship '	e de la companya del la companya de la companya del la companya de	ang a a si ang mining ang ang ang ang ang ang ang ang ang a		简	
12 c. Are you an alien illegally or untawfu	My in the United States?					团一
12.d.1. Are you an alien who has been admit			see Instructions for Question 12.	1.1		图
12.d.2. 11" yes", do you fall within any of the	e exceptions stated in the instruc	ecions?		ZNA		
13. If you are an alien, record your U.S. Assued :						
Previous Editions Are Obsolete	Transferee/Buyer Col STAPLE IF PAGES BE			. 4473 +5366 wieber 2016		

I certify that my answers in Section A are true, corre					
4473. I understand that answering "yes" to question					
may also violate State and or local law. I understand is prohibited from purchasing or receiving a firearm	I that a person who answe	rs "yes" to any of the quest	ions H.b. im	ough II.I and Licenships	or 12.b. through 12.c.
possessing a firearm, unless the person answers "yes					
any false or al or written statement, or exhibiting any					
felony under Federal law, and may also violate State					
resale for livelihood and profit without a Federal fire					
14. Transferee's/Buyer's Signature	an ann an ann ann an ann ann aire ann aidh a leag an ann agu bharan nia baann inn thinn ann illiana bhan dagana	rannan den ein der Millendergeren, gelt kankt in der etten Stendampter ein des de Millende Stendampter (S. 2000).	esse keessaarse, van on ontse enstaanse modellik	. 15. Certific	ation Date -
18 Ell-				4-1	3-17
	etion B - Must Be Compl	eted By Transferor/Seller			
16. Type of firearm(s) to be transferred (check or me		17. If transfer is at a quali		low or event:	
					2
Handgun Long Gun Other Firen	un grame, receiver, cir Hous for Onestion 16+	Name of Function:			
shotgimsi	2,1-11,11	City State:			,
18.a.Identification te.g., Virginia Driver's license (V.	Treatment and the second state of the second	City. State:	6	Incommissions	Exporting 18 11
	Number on Identification				ication (if any)
rading realizate, and type or mentioesteric			Month	Day	[Year ]
1.71/7/	E543947	7	On G	20	2017
WDL	1		1	1	1
18.b. Supplemental Government Issued Documentat	ion (if identification docum	nent does not show carrent	residence ac	ldress) (See h	structions for
Question [8.b.)					
18.c Exception to the Nonimmigrant Alien Prohibiti	on: If the transferee/buye	r answered "YES" to 12.d.:	the transfe	ror/seller must	record the type of
documentation showing the exception to the pr					
- ,					
Questions 19, 20, or 21 Must Be Complete	ed Prior To The Transfer	Of The Firearm(s) 18ee /	nstructions I	or Questions I	9. 20 and 21.)
19 a Date the transferee's/buver's identifying inform		19.b. The NICS or State t			
transmitted to NICS or the appropriate State ag				- (	†' I
Month Day Year		16 do 00	T3/		3:
04 14 2017		1007 RBJ	(XI)		C
19.c. The response imitally (first) provided by NICS	or the appropriate State	19.d. The following respon		ere later receiv	ed from NICS or the 3
agency was:		appropriate State age	meyi		
Proceed Delayed Denied / The Irrearm(s) may be (Sh)		Proceed		tdater	Overturned (
Denied   The prearm(s) may be	transferred on	Denied Denied	aggi aggrega en egen en e comitt han hiko han e sit skulle i heksisk	<u>tdene</u>	
Cancelled ——————————————————————————————————	tte lass permits (optional) f	Cancelled		(chite)	ĺ
Career come E		No response was pr			, d
	the state of the state of	American Commission of the Com			VIII
19.c. (Complete if applicable.) After the firearm was	ph			ne appropriate	2 grate affected out: a
idate).	Proceed E	Denied Cance			. i
19.f. The name and Brady identification number of	the NICS examiner. (Opti	onali 19.8 Name of FFL	Employee (	'ompleting NI(	Scheck (Optional)
					\ !
(name)	(mmber)				11
20. No NICS check was required because a ba				on the individ	ual who will receive o
the NFA firearm(s), as reflected on the app					
21. No NICS check was required because the		id permit from the State wh	iere the trans	ifer is to take p	lace, which qualifies
as an exemption to NICS (See histraction					, i
Issuing State and Permit Type Date of	of Issuance (if any)	Expiration Date (1/ 1111)	P	ermit Number	Af ally i a
	Service of Canada and Service	manner a mena menamerikih intineria kelendari di dentaman menamerikan berama cana cana cana dentaman menamerikan di dentaman dent		Quantificação qualita que tradição de servição de desta de la cidade de la cidade de la cidade de la cidade de	
	The second secon	ersonally By Transferee/I			fi
If the transfer of the firearm(s) takes place on a differ			i Section A.	ine transferee/	ouyer must complete
Section C immediately prior to the transfer of the fire I certify that my answers to the questions in Section			110	AND THE RESIDENCE OF THE PROPERTY OF THE PROPE	National Contract of the Contr
22. Transferce's/Buyer's Signature	10 . E OF SHIP BUILD ALL SHIP	oraciantesa and comple	. 15.	23. Recertif	icarian Data
Later thansieree Sibuyer 5 bighandre				La. Receitt	Daniel (MC)
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	Transferor/Seller Con	itinue to Next Page			III
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√Case 3,19-cv-00208-GM	IG Document	10-14 Filed 06	6/04/20 Pag	e 9 of 111 Page	eID #: 479
Section U - Must	Conference over a service of the conference of t	runsferor Seller Ever	if The Firearm(s)	is Not Transferred	ero visaterore e visali orta var och erganetaja pakketeroro ortataron, utomere
24. Manufacturer and Importer (h any 11f the manufacturer and importer are different, the FF1 must include both)	25. Model (If Designated)	20 Senal N		Lype (See Insura for Question 2	
1. CZUSA	720	15U 13	######################################	Charles	DOM.
	100	1000,0		chergian	OG TH
3.	Commerce and another the control of	Asserted that the day game of the state and about	ONES THE TAX - 1 - 1 - 2 - 2 MeVAN WAS COMMISSIONS OF SHOWN SHEET		And the second s
4.					
REMINDER - By the Close of Business (	Camplete LTE Farm	171/) ( Foy Multiple	Dungturan of House	Anna Vittia I Comm	artice Project Paris
29. Total Number of Firearms Transferred (1)				t of this transaction is a	
one, two, three, etc. Do not use numera		ormung e.g., zero. – y		t of this transaction is a per(s) From Question 24	•
31. For Use by Licensee (See Instructions for	or Question 3 l.)	3		isaction is to facilitate a ctions for Question 32 )	
FRARION PAWN Shop 922 N. Queen St. MARTINSburg W. Va The Pe For Denied/Cancelled T Teertify that: (1) I have read and understand II	75464 rson Transferring T runsuctions, the Per	the Firearm(s) Must ( rson Who Completed	Complete Question Section B Must C	omplete Questions 34	
true, correct, and complete; and (3) this entire is temporarily conducted from a qualifying gun st requirements of 18 U.S.C. 922(c). Unless this transfer of A (and Section C, if applicable); (2) my was completed); and (3) State or local law applied dispose of the firearm(s) listed on this form to the	ransaction record has in how or event in the san ansaction has been der verification of the ident able to the firearms bu	been completed at my lic ne State in which the lice ried or cancelled, I furtho tification recorded in que usiness — it is my belief th	ensed business prem used premises is loca or certify on the basis stion 18 (and my re-	ises ("licensed premises" ted) unless this transactio of — (1) the transferee's/ verification at the fime of	includes business a has met the buyer's responses in transfer, if Section C
34. Transferor's Seller's Name (Please prin	terminanty with a terminal control of the first of the first belief the first of th	The second secon	36. Transfer	or's Seller's Title	3°. Date Transferred
William L HAAman III	Will	2/4/1 <u>26</u>	SAGO	}	4-14-17
Purpose of the Form: The information and certified designed so that a person licensed under 18 U.S.C may lawfully self or deliver a firearm to the person to alent the transferee/buyer of certain restrictions of firearms. The transferor/selfer of a firearm must the transaction and maintain proper records of the transferor/selfer must be familiar with the provision the regulations in 27 CPR Parts 478 and 479. In de the sale or delivery of a rifle or shotgun to a resider transferor/selfer is presumed to know the applicable ordinances in both the transferor/s/selfer's State an State. (See ATF Publication 3300.5, State Laws in	leution on this form are 923 may determine if I calentified in Section A, on the receipt and posses t determine the lawfulne transaction. Consequents of 18 U.S.C. 921-934 etermining the lawfulnent of another State, the le State laws and published the transferce's buyer	If the unusline transfer the ATF I of and to which as saint in alphabetes of certification thy, the land of the transfer of transfer or saint incomplete transfer	for of a firearm is deal- is not completed after rm 4473 in his/her rec sale, delivery, or trans- ical (by name of trans- ir order. 'eror/seller or the trans- or improperly comple eller or the transferee/ otocopy the inaccurate the photocopy. The t	NCELLED TRANSFERS edicancelled by NICS, or is a NICS check is initiated, only for at least 5 years. Flor did not take place shall force; or chronological iby december wishes to correct the form and make any neces ransferor/seller should only buyer should only make a	f for any other reason the licensee must retain orms 4473 with respect be separately retained adule of transferce's an ATF Form 4473 is sen transferred, and the comission(s) or sary additions or y make changes to dianges to Section A and

Exportation of Firearms: The State or Commerce Departments may require a firearms exporter to obtain a license prior to export. Warning: Any person who exports a firearm without proper authorization may be fined not more than \$1,000,000. and/or imprisoned for not more than 20 years. See 22 U.S.C. 2778(e).

#### Section A

The transferce/buyer must personally complete Section. Vof this form and certify (sign) that the answers are true, correct, and complete. However, if the transferee buyer is unable to read and/or write, the answers (other than the signature) may be completed by another person, excluding the transferor seller. Two persons (other than the transferor/seller) must then sign as witnesses to the transferee's/buyer's answers and signature/certification in question 14.

> ATE Form 4473 (5300.9) Revised October 3016

4473 are filed in the same manner

censee who does not appear in person at the licensee's business premises only

if the transferee/huyer meets certain requirements. These requirements are set

After the transferor seller has completed the firearms transaction, he/she must make the completed, original ATT Form 4473 (which includes the Notices, Gen-

eral Instructions, and Definitions), and any supporting documents, part of his/her permanent records. Such Forms 4473 must be retained for at least 20 years and

after that period may be submitted to ATF. Filing may be chronological thy date

of disposition), alphabetical (by name of purchaser), or numerical (by transac-

tion serial number), us long as all of the transferor's/seller's completed Forms

forth in -ection 922(c), 2" CFR 478.96(b), and ATF Procedure 2013-2.

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Bureau of Alcohol Tobaco. Theatris and Explosives

Page Louis

#### Firearms Transaction Record

WARNING: You may not receive a firearm if prohibited by Federal or State law. The information you provide will be used to determine whether you are prohibited from receiving a firearm. Certain violations of the Gun Control Act, 18 U.S.C. 221  et, seq., are punishable by up to 10 years imprisonment and/or up to a \$250,000 fine.  Transfer  Lemmac  Number		zia:
Read the Notices, Instructions, and Definitions on this form. Prepare in original only at the licensed premises thickness temporarily conducted from a qualifying gan show or event in the same State in which the licensed premises is located) unless the transaction qualifies under 18 U.S.C. 922(c). All entries must be handwritten in ink. "PLEASE PRINT."  Section A - Must Be Completed Personally By Transferee/Buyer	40	
Transferee's Buyer's Full Name (If legal name contains an initial only, record "IO" after the 'n'tial. If no middle mitial or name, record Last Name (Including suffix (e.g., Jr. Sr. II. III))   First Name   Middle Name	NĪ/N	) )
AKING WILLIAM MARK		
2. Current State of Residence and Address (U.S. Postal abbreviations are acceptable. Cannot be a post office box.)  Number and Street Address   City   County   State	ZIP C	irde
Redacted Lerona newer w	75	Q.,;
3. Place of Birth 4. Height 5. Weight 6 Sex 7. Birth Date	::::::::::::::::::::::::::::::::::::::	
Redacted  In. 245 Female Redacted  Redacted  Redacted  Redacted		
10 a. Ethnicity 10.b. Race (In addition to ethnicity, select one or more race in 10.b. Both 10.a. and 10.b. must be answer	2(f, )	a topic returnments of a
Hispanic or Latino American Indian or Alaska Native Black or African American  White Native Hawaiian or Other Pacific Islander		
1. Answer the following questions by checking or marking "res" or "no" in the boxes to the right of the questions.  Are you the actual transferee buyer of the firearm(s) listed on this form? Warning: You are not the actual transferee/buyer if you	Yes	No
are acquiring the brearm(s) on behalf of another person. If you are not the actual transferee/buyer, the licensee cannot transfer the brearm(s) to you. Exception: If you are picking up a repaired frearm(s) for another person, you are not required to answer 11.a. and may proceed to question 11.b. (See Instructions for Question 11.a.)		
2. Are you under indictment or information in any court for a felony, or any other crime for which the judge could imprison you for more than one year? (See histractions for Question 11.6)		
. Thise you ever been consisted in any court of a felling, or any other econe for which the judge could have imprisoned you for more than one year, even if you received a shorter sentence including probation? The Instruction In Question II co		
Are you a fugitive from justice? (See Instructions for Question 11 d.)		
Are you an ordawful user of, or addicted to, marijuans or any depressant, stimulant, narcotic drug, or any other controlled substance?  Warning: The use or possession of marijuana remains unlawful under Federal law regardless of whether it has been legalized or decriminalized for medicinal or recreational purposes in the state where you reside.		43
Have you ever been adjudiented as a mental defective OR have you ever been committed to a montal institution? (See Instructions for Question U.f.)		
. Have you been discharged from the Armed Forces under dishonarable conditions?		
i. Are you subject to a court order restraining you from harassing, stalking, or threatening your child or an intimate partner or child of such partner? See Instructions for Question 11 h i		
Have you ever been convicted in any court of a misdementor crime of domestic violence. "TSee Instructions for Question 11.i.)		
2.a. County of Citizenship: (Check List more than one, if applicable. Nationals of the United States may check U.S.A.)  United States of America (U.S.A) Other Country Countries (Specify):		he someward
2.b. Have you ever renounced your United States citizenship?	Yes	No G#
2.c. Are you an alien illegally or unlawfully in the United States?		
2.d.4. Are you an aften who has been admitted to the United States under a nontimmigrant visa? (See Instructions for Question 12 d.) 2.d.2. If "yes", do you fall within any of the exceptions stated in the instructions?		
3. If you are an aften, record your U.SIssued Alten or Admission number (AR#, USCIS#, or 194#):		-27)
revious Editions Are Obsolete Transferee/Buyer Continue to Next Page AH Lorm 44/3 (53)	H) 41	er-versioner-more

STAPLE IF PAGES BECOME SEPARATED

Reused October 2016

I certify that my answers in Section A are true, correct, and complete. I have re-		
4473. I understand that answering "yes" to question 11.a. if I am not the actual may also violate State and/or local law. I understand that a person who answer		
is prohibited from purchasing or receiving a firearm. I understand that a personal traction is prohibited from purchasing or receiving a firearm.		
possessing a firearm, unless the person answers "yes" to question 12.d.2, and p	rovides the documentation re	quired in 18.c. Talso understand that making
uny a sự graf ôr writtiện stafordent or cyfilifiling un flake up tinkrepresented là		
felony under Federal law, and may also violate State and or local law. I further result for livelihood and profit without a Federal firearms license is a violation		
14. Transferee's/Buyer's Signature	The second section of the second seco	. 15. Certification Date /
11) Illa Mall		(P)4/15 /2027
Section B - Must Be Comple	atad Ry Transferor/Saller	
16. Type of firearm(s) to be transferred (check or mark all that apply):	17. If transfer is at a qualify	ing gun show or event:
Handgun SCLong Gun Cother Firearm (frame, rock ver, etc.		
trifles or See Instructions for Questi in 16)	Name of Function	
shotgims)	City, State:	3
18.a. Identification to g. Virginia Driver's license (VA DL) or other called gover	umant-is vas d photo adouteit	ation.) See Instructions for Question 18 a r
Issuing Authority and Type of Identification Number on Identification	17	Aparation Date of Identification (if any)
	}	donth Day Year
(e/LDZ	4	07 14 2020
18.b. Supplemental Government Issued Documentation (if identification documentation)		
Question (8.b.)		
18 c. Exception to the Nonumigrant Alien Prohibition. If the transferee buyer	answered VEST to DATE	the transferon soller must record the type of
documentation showing the exception to the prohibition and attach a copy		
<u> </u>		e' ee
Questions 19, 20, or 21 Must Be Completed Prior To The Transfer	Of The Firearm(s) 1.Sec lus	iructions for Opentions 19, 29 and 21.1
19.a. Date the transferee's/buyer's identifying information in Section A was		
transmitted to NICS or the appropriate State agency:		1.
Month   Day   Year		<u> </u>
04 15 2017	1007.SD9	6 / d
19.c The response mitially (first) provided by NICS or the appropriate State	19.d The following respons	e(s) was/were later received from NICS or the
agency was:	appropriate State agen	
Proceed 1771 Delayed	Proceed	takue Overtumed -
Tropied The fiven mixt may be transferred in	Denied	(дие)
Cancelled # State Law permits top rough)		elater P
		ided within 3 business days.
19.e. (Complete if applicable.) After the firearm was transferred, the following		AND THE PROPERTY OF THE PROPER
Proceed Proceed		()
19.f. The name and Brady identification number of the NICS examiner 10pth	Normal constant	
17.1 The name and brandy demandation mander of the fire a examinate region	Julia Light Land of China	1;
(Hame) (Hamber)		t) ic
20 No NICS cheek was required because a background cheek was comp	leted during the NFA approx	al process on the individual who will receive 2
the NFA firearm(s), as reflected on the approved NFA application. is		
21 No NICS check was required because the transferee/buyer has a valius an exemption to NICS. <i>(See Instructions for Question 21.)</i>	d permit from the State when	is the transfer is to take place, which qualifies $-\frac{C}{2}$
	Expiration Date (If any)	[Permit Number (1/ onv) a
		e e
Section C - Must Be Completed P	ersonally By Transferee/Bu	Agt. U
If the transfer of the firearm(s) takes place on a different day from the date that Section C immediately prior to the transfer of the firearm(s). (See Instructions)		A
I certify that my answers to the questions in Section A of this form are still	true, correct, and complete	L.
22 Transferee's/Buyer's Signature		23. Recertification Date p
		n o
Transferor/Seller Con	tinue to Next Page	
STAPLE IF PAGES BEC		4
		and the second s
		ATF Form 4413 (3300.5) p

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Case 3:19-cy-00208-GM	S. Charument 16	314 Siled 06/04/20 Present	age 12 of 111 Pagel	D#: 482
24. Manufacturer and Importer (If am ) (If the manufacturer and importer are different, the FFL must include both.)	25. Model Uff Designatedi	26. Sertal Number	Type (See Instruction for Question 27.)	28. Caliber or Cauge
Line of Jean	5.10	KONE	KIL	224
3.				
4.				
REMINDER - By the Close of Business (	Complete ATF Form 33	10.4 For Multiple Purchases of H	andguns Within 5 Consecuti	ve Business Davs
<ol> <li>Total Number of Firearms Transferred (1) one, two, three, etc. Do not use numera</li> </ol>	Please handwrite by prim	ing e.g., zero, 30 Check if any	part of this transaction is a paw umber(s) From Question 24 Ab	n redemption.
31. For Use by Licensee (See Instructions fo	r Question 31.1		transaction is to facilitate a priv tructions for Question 32.1	rate party transfer
33. Trade/corporate name and address of tra of FFL Number X-XX-XXXXX (Hand FRATE (RES. PAGE) S 922 V OLLEN MAN DWY C	nsferor/seller and Federa sjamp man he used i SH 21 & Z S401		ntain ai leasi first direc and ler 02 &H= 017 <b>6</b> E	t five digns
		Firearm(s) Must Complete Quest Who Completed Section B Must	tions 34-37.	Charles (Shiring Marie Land) of the Charles of the
requirements of 18 U.S.C. 922(c). Unless this tri Section A (and Section C, if applicable); (2) my vase completed); and (3) State or local law applic dispose of the firearm(s) listed on this form to the 34. Transferor's/Seller's Name (Please prints)	verification of the identifica able to the firearms busine e person identified in Secti	tion recorded in question 18 (and my ss — it is my belief that it is not unlaw on A.	re-verification at the time of trans ful for me to sell, deliver, transpor	sfer, if Section C
Purpose of the Form: The information and certifi- designed so that a person becased under 18 U.S.C. may lawfiffy sell or deliver a friedrin to the person to about the transferce buyer of certain restrictions of the transaction and maintain proper records of the transaction and maintain proper records of the transferor/seller must be familiar with the proxision the regulations in 27 CTR Parts 478 and 479. In de- the sale or delivery of a rifle or shotgun to a resider transferor/seller is presumed to know the applicable ordinances in both the transferor's seller's State and State. (See ATT Publication 5300 S. State Laws an Generally, ATT Form 4473 must be completed at the when a firearm is transferred over-the-counter. Fed- illows a ficensed importer, manufacturer, or dealer	cution on this form are 923 may determine if he shadentified in Section A and in the receipt and possession determine the lawfulness of ransaction. Consequently, tas of LVU/SC, 921-921 and aermining the lawfulness of it of another State, the state laws and published. I the transferee's buyer's differenced business premise et licensed business premise eral law, 18 U.S.C, 922(c), to sell a firearm to a nonli-	If the transfer of a frearm is dethe transfer is not completed at the AFI. Form 4473 in his her to which a sale, delivery, or to malphabetical the name of incertifications order.  If the transferor seller or the transfer errors is photocopy the inneer revisions to the photocopy. To Sections B and D. The transfer C. Whoever made the change photocopy should be attached transferor's seller's permanent		any other reason acease must retain 4473 with respect parately retained of transferce s.  IP Form 4473 is ansferred, and the ssion(s) or odditions or see changes to es to Section A and es. The corrected field as part of the
tensee who does not appear in person at the freense If the transferee/buyer meets certain requirements, orth in section 922(c), 27 CFR 478 96(b), and AFF After the transferor/seller has completed the fireorn	These requirements are set Procedure 2013-2	tirearms exporter to obtain a li ports a firearm without proper	te State or Commerce Departments cense prior to export. Warning: / authorization may be fined not mo e than 20 years. See 22 U.S.C. 27	Nay person who ex- re than \$1,000,000
nake the completed, original ATF Form 4473 (tehic	h includes the Notices, Gen		Section A	
oral Instructions, and Definitions), and any support permanent records. Such Forms 4473 must be retain	ing documents, part of his h ned for at least 20 years and		sonally complete Section A of this	form and certify

than the transferor/seller) must then sign as witnesses to the transferee's/buyer's answers and signature/certification in question 14.

ATF Form 4473 (5300,9)

Revised October 2016

(sign) that the answers are true, correct, and complete. However, if the transferee

buyer is unable to read and/or write, the unswers (other than the signature) may be

completed by another person, excluding the transferor/seller. Two persons tother

4473 are filed in the same manner.

after that period may be submitted to ATF. Filing may be chronological (by date

of disposition), alphabetical the name of purchaser), or numerical (by transac-

tion serial numbers, as long as all of the transferor's/seller's completed Forms

## Case 3:19-cv-00208-GMG Document 10-14 Filed 06/04/20 Page 13 of 111 PageID #: 4834(10.3)

Bureau of Alcohol, Tobacco, Firearms and Explosives

Halem	NING: You may not receive a firearm if prohibited by Federal or State law. The information you provide will be used to indeed	(Ming)	1.1
includ	the Notices. Instructions, and Definitions on this form. Prepare in original only at the licensed premises Clicensed premises?  It unless the transaction qualifies under 18 U.S.C. 922(c). All entries must be handwritten in ink. "PLEASE PRINT."	7	<u>L</u>
LastA	iame thichiding viitus (e.g., Jr. Sr. II. 1110)   First Name   Middle Nime	. 1/7	
15 C	own JR Finthony : Wayne	· · · · · · · · · · · · · · · · · · ·	e
Numb	on and Street Address City County Sta	ZIP Ci	ode
	Redacted Martinsburg Berkeley WV	<b>2</b> 54	194
3	4. Height [5. Weight In. Sex [7. Buth Dan.] ity and State OR-   Foreign Country   Ft. 6 (Lb)   X Male   March 10   10   10   10   10   10   10   10		
> 1	Redacted		
7 5	Terry Courts Namber (Onlineal Federal help present on adentification)   0 Unique Personal Identification Number (UPIN) it applicable		
	Redacted Instructions for Question 9.		
ÎH.,. 1	ethnicity Race (In addition to ethnicity, select one or more race in 10.6. Both 10.a, and 10.6, must be answered	4.1	-,-,
	Itspanie or Unino American Indian or Masko Native i Black or African American  White  Or Hispanie or Latino Asian Native Hawaiian or Other Pacific Islander		
	swer the following questions by checking or marking "yes" or "no" in the boves to the right of the questions.	Yes	No
a Ar	e you the actual (cansleree buyer of the fivearmts) listed on this form? Warning: You are not the netual transferre/huyer if you a equiring the firearm(s) on behalf of another person. If you are not the actual transferee buyer, the licensee cannot transfer	图	
	e firearm(s) to you. Exception If you are picking up a repaired firearm(s) for mother person you are not regularly to answer H a		
	I may proceed in question 11 to 18cc Instructions for Question 11.0 i	ļ	
	e you under indictment or internation in any court for a felany, or any other crime for which the uside could impreson you for it? than one year? The Anstew term for Question 17 b.)		这
	ora you exist been convicted in any count of a fellow, or any either crime for which the judge could have improved you for more in one year, seen if you received a sharter sentance including probation." (See Interpretations for glocome a Hills)		25
d. A	e you a figitive from justice "1560 Instructions for Quescion II a.)		
$M_1$	e you un unlawful user of, or addicted to, marquona or any depressant, stammant, nareotic drug, or any other controlled substance" traing: The use or pussession of marijuana remains unlawful under Federal law regardless of whether it has been legalized or triminalized for medicinal or recreational purposes in the state where you reside.		A
r Ro	ve you ever been adjudicated as a mental defective OR have you ever been committed to a mental institution? (See Instructions Question 11.7)		B
y. Ha	ve you been descharged from the Armed Forces under dishonarable conditions?		图
	e you subject to a court order restraining you from burassing, stalking, or thre tienthy your child or an internite person or child of th partner? (See Instructions for Question 17 h.)		8
i. Hi	we you ever been convicted in any court of a misdemeanor crime of domestic violence? (See Instructions for Question 11.1.)		12
Ela. C	Country of Citizenship: 1Check/List more than one, if applicable - Nationals of the United States may check U.S.A.)	Joe and the second	
	United States of America (E.S.A) Other Country Countries (Specify):		
12.b.	Have you ever renounced your United States citizenship?	<u> Yes</u>	岁
13.c.	Are you an alien Hegally or unlawfully in the United States?		崮
13.d.1.	Are you an alien who has been admitted to the United States under a nonimmigram visa? (See Instructions for Question 12.d.)	T	国
12.d.2	If "yes", do you fall within any of the exceptions stated in the instructions?		
13. Hy	rou are an alien, record your U.S. Assned Alien or Admission number (AR#, USCIS#, or 1944):		
Previous Para 1	S Editions Are Obsolete Transferee/Buyer Continue to Next Page ATF from 4473 45360 of a STAPLE IF PAGES BECOME SEPARATED Revised October 2016		

Case 3:19-cv-00208-GMG Document 10-14	Filed 06/04/20 Page 14 of	111 PageID #: 484
I certify that my answers in Section A are true, correct, and complete. I have r 4473. I understand that answering "yes" to question 11.a. if I am not the actual	ead and understand the Notices, instruct Litransferre/buyer is a crime nunishable :	ons, and Ocunitions on ALF rorm is a felony under Federal law, and
may also violate State and/or local law. I understand that a person who answe	rs "yes" to any of the questions 11.b. thro	ugh 11.1 and/or 12.b. through 12.c.
is prohibited from purchasing or receiving a firearm. I understand that a pers	on who answers "yes" to question 12.d.1.	is prohibited from receiving or
possessing a firearm, unless the person answers "yes" to question 12.d.2. and p	rovides the documentation required in 15	.c. I also understand that making
any false or altor written some ment, or exhibiting any false or misrepresented it felony under Federal law, and may also violate State and/or local law. I further	lentification with respect to this transaction	m, is a chine punishbilic is a of browns for the murace of
resale for livelihood and profit without a Federal firearms license is a violation		
14 Transferee's/Buyer's Signature		15. Certification Date
		5-2-17
	1 1 25 Pr 7 (27 t)	13-2-1/
Section B - Must Be Compl	•	
16. Type of firearm(s) to be transferred (check or mark all that apply):	17. If transfer is at a qualifying gun sho	w or event:
Handgun Long Gun Other Firearm (frame receiver, etc. crifles or See Instructions for Question 16.)	Name of Function:	
shotums)	Circ Crans	
	City, State:	
18.a. Identification (e.g., Virginia Driver's license (1 1 DI) or other valid government.		instructions for Unexhau (8.4.) ne of Identification (if any)
Issuing Authority and Type of Identification Number on Identification	Month	Day (Year
120DL F7619		
WUVU = 1 1 1011	$99 \qquad  09 $	09 2021
18.b. Supplemental Government Issued Documentation of identification document	nent does not show current residence ack	ress) (See Instructions fiv
Qncstion 18.b.)		
18.c. Exception to the Nonimmigram Alien Prohibition: If the transferee/buyer	r answered "YES" to 12.d.2. the transfero	ir seller must record the type of
documentation showing the exception to the probabilion and attach a cop-	v to this ATF Form 4473. (See Instructio)	rs for Question 18.c.)
Questions 19, 20, or 21 Most Be Completed Prior To The Transfer	Of The Firearm(s) 1See lustractions for	: Questions 19, 20 and 21 )
19.a. Date the transferee's/buyer's identifying information in Section A was	19.b. The NICS or State transaction nur	
transmitted to NICS or the appropriate State agency:		
. Manale J. D		
Slouth Day Year	169872686	
05 02 2017		
19 e. The response initially (first) provided by NICS or the appropriate State	19.d The following response(s) was wer	e later received from NICS or the
agency was:	appropriate State agency.	idate)
Proceed Delayed	Proceed	California
Denied   [The frearms) may be transferred on if State law permits toptional)	Denied	<sub>e</sub> tidawi
Cancelled	Cancelled	_ 6.k/(2)
	No response was provided within	3 business days.
19.e. (Complete if applicable). After the firearm was transferred, the following		
idates. Proceed L	parties and the same of the sa	
19 f. The name and Brady identification number of the NICS examiner. (Opti	hamania d	contains NH S chart (Outlow)
A	man frig trane of the surproject ex-	aguening of the meet. (Charman
Marie W (marker)		
tradies (miniber)  20. Fig. No NICS check was required because a background check was conjugated.	detrol during the NFA approval process o	n she individual who will reserve
the NFA firearm(s), as reflected on the approved NFA application. (c)		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
21. No NICS check was required because the transferce/buyer has a val		er is to take place, which qualifies
as an exemption to NICS. (See Instructions for Question 21)	,	
Issuing State and Permit Type Date of Issuance (if any)	Expiration Date (if any) Per	mit Namber af am)
Section C - Must Be Completed P		
If the transfer of the firearm(s) takes place on a different day from the date that	the transferee/buyer signed Section A. th	e transferee bijver must complete
Section C immediately prior to the transfer of the firearmits). iSee Instructions		
I certify that my answers to the questions in Section A of this form are still	true, correct, and complete.	gasaga ga
22. Transferee's Buyer's Signature		23. Recerufication Date
,	•	
Transferor/Seller Con	timue to Next Page	
STAPLE IF PAGES BEG	· · · · · · · · · · · · · · · · · · ·	
Page 2 of 6		VII (100 100 100 000)
F 4590C 441 ()		· · · · · · · · · · · · · · · · · · ·

	te Completed By Trans	siciui Beher E		in an managaga	mencental establishment popularish and over
24 (*) Manufacturer and Importer (If am ) (If the monufacturer and importer are different, (*) the FFL must include both )	25. Model (If Designated)	Seru	26 il Number	Type (See Institution)  For Question 27 (	28. Caliber or Gauge
14uzus	77/11 G 2	IR	5.6.458	Pistal	Ymn
· :	and the second of the second o			•	
	:	and the same of the same			
ATAINDED D. S. C. C. C.	(3.2. E. 3.4.)	(A 1 T) 32 1/2	T Po 1 F 3 T	the responsible consistent of the second cold consistent and the second cold cold cold cold cold cold cold col	D . P
REMINDER - By the Close of Business Co 9—Total Number of Firearms Transferred (Pl	·			aguns within 5 Consecution of this transaction is a paw	THE RESIDENCE OF THE PROPERTY
one, two, three, etc. Do not use numerals	ONE	mg r g , 1610.	Line Numi	per(s) From Question 24 Abo	u/s:
For Use by Licensee (See Instructions for	Question 31 1			isaction is to facilitate a priv ctions for Question 32.1	ate party transfer
FRAZIER'S PAWN 922 W. Queen St MARPINS BURG WIL The Pers	Ca 25404  on Transferring The	Firearm(s) Mu	st Complete Questio		
ection A (and Section C., if applicable); (2) my verus completed); and (3) State or local law applications of the firearm(s) listed on this form to the A. Transferor's Seller's Name (Please print 1).	de to the firearms busine person identified in Secti	ss — it is my beli on A.	ef that it is not unlawful	for me to sell, deliver, transpor	
William L. Hottman	While of	HALL	SAG	<b>)</b>	3717
NOTICES, INSTRUCTIONS, AND I turpose of the Form: The information and certain estimates of that a person because under 18 U.S.C. 9 may faw fully self or deliver a firearm to the person is a along the transferee/buyer of certain restrictions on the transaction and maintain proper records of the transferor/selfer must be fourthing with the provisions be regulations in 27 CFR Parts 478 and 479. In detains all of delivery of a rifle or shorgun to a resident ansferor/selfer is presumed to know the applicable relimances in both the transferor/selfer's State and tate. (See ATF Publication 5360.5, State Laws and tate. (See ATF Publication 5360.5, State Laws and	ation on this form are 23 may determine it he sh fentified in Section A, and the receipt and possession etermine the lawfulness of insection. Consequently, to 13 U.S.C. 921-931 and armining the lawfulness of another State, the State laws and published the transferoels/buyer's	to the trunce the ATh to which in alpha confidente the Hi the truncomp transfer error(s) revision Section	instor of a firearm is deal ster is not completed after from 4473 in his/her rec i a sale, delivery, or traits betteal the name of trans- tions order insteror/seller or the trans- ete or improperly comple- or seller or the transletee photocopy the maccurate is to the photocopy. The s B and D. The transferee	NOTELLED TRANSFERS MU- culcumselled by NICS, or if for r a NICS check is initiated, the i- ords for at least 5 years. Ferna- fer did not take place shall be so were or chronological the data stereethayer discovers that an A tool after the firearm has been to thayer wishes to correct the ome of form and make any necessary ransferor/seller should only may be buyer should only make chang	any other reason iconsec must retain. 4473 with respect iparately retained of numyleces.  TF Form 4473 is ansferred, and the ssionts; or additions of ke changes to east o Section A and es to Section A and
ienerally, ATF Form 4473 must be completed at the then a firearm is transferred over-the-counter. Fede flows a licensed importer, manufacturer, or dealer trensee who does not appear in person at the licensee the transferce/buyer meets certain requirements. Torth in section 922(c), 27 CFR 478,96(b), and ATF I	ral law, 18 U.S.C. 922(c). Fisell a firearm to a nonli- is business premises only hese requirements are set.	s photoco transfer Export firearms ports a f	py should be attached to or s/seliet's permanent re ation of Firearms: The i exporter to obtain a licer frearm without proper au	State or Commerce Department ise prior to export. Warning: thorization may be fined not mo	ined as part of the comp require a Any person who ex- re than \$1,000,000
tier the transferor/seller has completed the firearms take the completed, original ATF Form 4473 (n/hc/k	r meludes the Nances. Gen	· ·	riprisoned for not more the	nun 20 years   Sec 22 ( S.C 2°)   Section X	्तह्य र
ral Instructions, and Definitions), and any supporturermanent records. Such Forms 4473 must be retain the that period may be submitted to ATF. Filing may disposition, alphabetical thy name of purchasers, ion serial numbers, as long as all of the transferor's 473 are filed in the same manner.	ed for at least 20 years and by be chronological (b) deal or numerical (b) transac-	f file trag c (1991) the buyer is complet	at the answers are true, c anable to read and/or wr	rafty complete Section A of this orrent, and complete. However, the the answers tother than the childing the transferor seller. I was	if the transferee? vignature) may be

answers and signature/certification in question 14

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MF Form 44 3 (300.5) Revised October 2016

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Bineau of Alcohol, Tobacco, Finearity and Explosives

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#### Firearms Transaction Record

WARNING: You may not receive a firearm if prohibited by Federal or State law. The information you prove the ration of the Unit Control Set.	ide will be used to Transfe 13 U.S.C. 923 Union	cipat Sc	fid.
et, seq., are punishable by up to 10 years imprisonment and/or up to a \$250,000 fine.  Read the Notices, Instructions, and Definitions on this form. Prepare in original only at the licensed premises includes business temporarily conducted from a qualifying gun show or event in the same State in which the licensed.	("licensed premises" used premises is	ier 117 in F 7	n) A -
Incomed) unless the transaction qualifies under 18 U.S.C. 922(c). All entries must be handwritten in ink. 1914  Section A - Must Be Completed Personally By Transferee/Buy  Transferee's Payer's Full Name (# logal name contains on initial only, record "IQ" after the minute. It is have thechaling saffic reage, the Sr. II. III. First Name.	en e	<u>/_/(</u>   \\ \\ \\ \	<u></u>
RENNER JR. DANIEL	MARTIN		Not. No. 100 Control
2 Curreat State of Residence and Address (U.S. Postal abbreviations are acceptable. Cannot be a post Sumber and Street Address.  Cuy  County	State	Î	
3. Place of Birth   4. Height   5. Weight   6. Sex	COLLY WV	25	404
Redacted In 11 185 Family	Redacted		
10.a Ethnicity 10.b. Pace (In addition to ethnicity, select one or more race in 10.b. Both	10, a. and 10, b. must be answe.	cd.)	
Hispanie of Latino — American Indian or Alaska Native — Black of African American	W have		
Not Hispanic or Launo Asrao Native Hawanian or Other Pac	nhe I dander		
11. Answer the following questions by cheeking or marking "res" in "no" in the box is to the right of the que		No:	
a. Are you the settad transferee buyer of the fireaunts) listed on this term? Warning: You are not the act are acquiring the fireaemts) on behalf of another person. If you are not the actual transferee/buye, the fireaemes) to you. Exception If you are picking up a repaired fireaemts) for another person, equipond may precede a guestion II to the electron as for Quartier II to a.	r, the licenser cannot transfer	liet	
b. Are you mider indictment or information in any court for a fellowy or any other crime for which the budge more than one year? "(See Instruction) for QCASION (1882).	e tradd impreson you to		
It have you over been consisted in any count of a felony or any other transitive which the independent have that one year as on if you receive the Switzer orders a containing probation. See Institute party or Queen.		and the state of t	
d. Are parea linguage from justiced user, lawren towards Quarton (1) and			
Are you an unlawful user of, or addicted to maripone or any depressant, stimulent, narrotic drag, or any Warning: The use or possession of marijuana remains unlawful under Federal law regardless of a decriminalized for medicinal or recreational purposes in the state where you reside.	other controlled substance? hether it has been legalized or		- القرار
<ol> <li>Have you ever been adjudicated as a menual defective OR have you ever been committed to a menual inst jur Question 11,5 r.</li> </ol>	itetion?" (See Institutions		D.
g Have you been discharged from the Armed Forces under dishonorable conditions?			
h Are you subject to a count order restraining you from haraseing, stalking or threatening your child or an i- such partner? (See Instructions for Question 11 h.)	numate partner or child of		The state of the s
Flave you ever been convicted in any court of a misdemeaner crime of domestic violence (FSPe Inches)	on or Question Har		
12.a. Country of Civizenship - iCharle list more than one if applie the - Nationals of the United States may be United States of America (L.S. 6) — Other Country Countries (Specify):	ka esint takun tanta tattiiliika esta ja	The state of the s	1
		Yas	[20]
12.6. Have you ever renounced your United States citizenship?	er av 1900 – 1907 Floritario Paris anno 1900 a		
12.c. Are you an alien illegally or unlawfully in the United State; '		11	
(2.d.). Are you an after who has been admitted to the 1, mind States under a nontiminigrant visa? (See Instru 12.d.). If "yes", do you fall within any of the exceptions stated in the instructions?	ictions for Question 12 d ) GNA		
<ol> <li>If you are an affen, record your U.SIssued Affen on Admission number (1R\hat{\pi} USU IS\hat{\pi} \in t0.4\hat{\pi})</li> </ol>			
Pravious Editions Are Obsolete Transferee-Buyer Continue to Next Page	APF Form (473) 53	(k) = ;	Mr. Atlantic or Ann

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Respect Deligher 2016

Learnify that my answers in Section A are true, correct 4473. I understand that answering "yes" to question may also violate State and or local law. Lunderstand	H.a. if I am not the actua that a person who answe	I transferce/buyer is a crin rs "yes" to any of the quest	ie punishable a Jons 11.b. throu	s a felony und igh 11.i and oi	er Federal law, and r 12.b, through 12.c.
is prohibited from purchasing or receiving a firearm, possessing a firearm, unless the person answers "yes" any false or at or written statement, or exhibiting any the felony under Federal law, and may also violate State a resale for livelihood and profit without a Federal firea	to question 12,d.2, and p also or misrepresented it ind/or local law. I furthe	rovides the documentation lemineation with respect a r understand that the repet	required in 18. This transaction Titive purchase	e. I also undo a, is a crime p of firearms fo	erstand that making maishable as a
14. Transferce s/Buyer's Signature	a commence also construent and a market through the supply that the property of the supply of the su	والمستحدث والمستحدة والمستحددة وا		15. Certificat	ion Date
Nandon Hand.				4-6-	2017
Journal 1. Hessey.	ion B - Must Be Compl	eted By Transferor/Seller	Same a state in consistent of the character by the manner of a character of		
16. Type of firearm(s) to be transferred teheck or mar		17. Il transfer is at a qual		A OUG STORE	The second secon
Handgun Long Gun Other Firence	ov (frame, receiver, etc.) ous for Question 160	Name of Function:			
shotguns)		City, State:			
18.a. Identification (e.g., Virginia Deiver's license (VA) Issuing Authority and Type of Identification	DL) or other valid g ive Number on Identification	anneat-respect photo identi	fic, titen.) (Sec Expiration De Month	Instructions h	н Qисмия 18 ал
WUDL		576			
18.b. Supplemental Government Issued Documentation (18.b.)	m (if identification docu	nem does not show current	residence addi	eess) (See Ins	ruetions for
18.c Exception to the Nonimmigrant Alien Prohibuto documentation showing the exception to the pro-	n if the transferce buye hibition and attach a cop	r answered "YES" to 12.d. y to this ATF Form 4473	2. the transfero (See Instruction	viseller must i is for (Inextis)	record the type of $nJ \mathcal{R} _{C(I)}$
Questions 19, 20, or 21 Must Be Completed  19.a. Date the transferee's hayer's identifying informations transmitted to NICS or the appropriate State age    Month	ntion in Section A was	19.6. The NICS or State	trunsaction nun	nber (i) provie	i, 20 ema 273 kedi was;
19.c. The response initially (first) provided by NICS agency was.		19 d. The following responsible appropriate State ag	ancy: ancy:	e later receive	d from NICS or the Overturned
Cancelled 1/ Stat	randersed on e law parmir captainally	The remediated		. tikini	
		Ne response was p	ravided within	3 business day	. 5 .
19.2 (Complete of applicables). After the fireauto was (date).		g response was received be Denied Cana	an MCS or the	арргориіае (	State agency on:
10 ? The game and Ready identification number of t	he NCS examiner, 10pt  }	ional: [19.g. Name of FFI	. Employee Co	mbjannë MC	Scheck (Optional)
20. No NICS check was required because: bac the NFA firearms), as reflected on the appr	watonia carek was con	breasa miring are seevable	roval process o	n the melicide	evisser llev orly lo
21. No NICS check was required because the (	ransferee buyer bas a val • for Ourstion 21 i	id permit from the State w	here the transfo	r is to take pl	ace, which qualifies
	f Issuance 11/am	Expiration Date (If any)	Per	mit Namber :	if any (
Section	- Must Be Completed I	Personally By Transferee	Buyer	TI STORE STORE THE SEA OF A STORE SHARE THE	$b_{i,k}(k)+b_{i,k}(k$
If the transfer of the fitearm(s) takes place on a difference Section C immediately prior to the transfer of the fire	mi day from the date tha	the transferee buyer agne		e transferee b	in er must complete
I certify that my answers to the questions in Section			ete.	appropries to the contract of	The second secon
22. Transferee's/Buyer's Signature	and the second s			23. Recertifi	cation Date
	Transferor/Seller Co		may an abhairt ann ann ann ann ann ann ann ann ann an	gyggyggygginnen, o gameinnaghaf fan gang mennes gygrend	

ATT Form 4473 5380 9 i Resised October 2016

Case 3:19-cv-00208-GMG Document 10-14 Section D - Must Be Completed By Fransferd	I Filed 06/04/20 Page or /Seller Even II The Firearm(s)	e 18 of 111 Page is Not Transferred	ID#: 488
24. 25 Alamafacturer and Importe (I - ever It in Model - Model - Model - It Designated) the FFt most meliste by the	suntaningan and suntaningan an	Type (See Instruction for Question 27)	78. On Caliber ei
Murus PTING2	7KN C70/2	Pistal	7mm
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4.		)	
REMINDER - By the Close of Business Complete ATF Form 3310.4	For Multiple Purchases of Hand	lguns Within 5 Conseeu	tive Business Days
29. Total Number of Firearms Fransferred (Please hand crite by p. ming of one, two, three see Die not use numerals.)		r of this transaction is sepa tensi From Chestion 2 s A	
31 For Use by Licensee (See Institute II. 1 Stor Question 3)	32 Check (Fili) tear	saction is to tacifinde opi	
	See to m	Rate Position of State	
The Person Transfering The Fire	1 4.53-003	:02-8H-0	·
For Denied/Cancelled Transpetions, the Person Wh	, ,		5.
Leartify that: (1) I have read and understand the Notices. Instructions, and Del true, correct, and complete: and (3) this entire transaction record has been compensately conducted from a qualifying gun show or event in the same State is requirements of 18 U.S.C. 922(c). Unless this transaction has been denied or en Section A (and Section C. if applicable): (2) my verification of the identification was completed): and (3) State or local law applicable to the licearms business dispose of the firearm(s) listed on this form to the person identified in Section A.	pleted at my licensed business premi n which the licensed premises is local ncelled. I further certify on the basis recorded in question 18 (and my re- it is my belief that it is not unlawful	ises ("licensed premises" im ted) unless this transaction l of — (1) the transferce's bu crification at the time of tra	dudes business ras met the syer's responses in unsfer, if Section C
34. Transferor's Seller's Name (Please print) 35. Transferor's Seller's	Syrange 36. Transfer	or's Seller - Tale	Date Transferre
NOTICES, ANTRECTIONS, AND DEFINITIONS	Warsh of the property of	A TOTAL TRANSFERSAL	HET DE DETAILED
Purpose of the Form: The information and configuration on \$15. From nor designed so that a person factorised notice 1834. \$25, 923 mag. International so the analysis of the following proper a postum to the person algorithm Section of any institute transferee/buyer of contain restrictions on the receipt and nossession of firearms. The transferor/sefter of a bream must determine the lawfulness of the transaction and maintain proper records of the transaction. Consequently, the	If the transfer of a finantin is dear the transfer is not completed after the ATT Form 4473 in his her occ to which a pale delicity, or mats in alphabeteral directors of it may contain atomic order.	curemeetted by SECS, or 0.4 a PACS check is interest, th ords for at tenst 5 years. For let did not take place shot be Assect or chronological the d	it an Sther recein Theory of must remain in 147% with test of Squishely challed St ite of transferies
transferor seller unise be familiar with the previsions of 1844 8 ( -924-95) and the regulations in 23 CFR P at 8-478 and 4.79. In determining the law falness of the sale or definery of a rifle or shoughn to a resident of another State, the transferor yeller is presumed to know the applicable State lows and published ordinances in both the transferor seller is State and the transferor's butter's State. (See ATF Publication 530) 3, State Long and Published Ordinances.	if the transfer or softer or the trans- meouplete or improperly comple- transferor seller or the transferor errors a photocopy the inaccurate recisions to the photocopy. The 1 Sections B and D. The transferor C. Whoever made the change, sl	ted after the firearm has been buyer wishes to carreet the a romained make any necessar romaferor seffer should only re- buyer should only make tha	transferred, and the missionis) or ry additions or nake changes to nges to Section A and
Generally, ATF Form 4173 mass be completed in the licensed business premises when a freaunitis transferred by re-the-counter. Federal line, TX U.S.C. 922a.c., allows a licensed importer, maintenance, or dealer to sell a freaunitio a configense who does not appear in person at the licenser's business premises only if the transferred buyer meets certain requirements. These requirements are set forth in section 922(e), 27 CFR 478.00(f), and ATF Procedure 2013/2	photocopy situable he attached to transferor sweller a permanent for Exportation of Firenems: The Sitrearms exporter to obtain a licen ports a firearm without proper toot and/or impresoned for not more the	he original Form 4473 and re wrds with a comment. Departme se prior to expore Warning maiorism may be fined not i	thined as part of the fits may require a r. Any person who ex- nure than \$1,000,000
After the transferor seller has completed the thearns transaction, hetshe must make the completed, original AFF Form 4472 (which includes the Notice), 6500-	max to requirements a co. 1000 1000 10	Section A	er i terr

ALF Form 1473 (3) (May) Revised Deterer 2016

The transferee/buyer mass personally complete Section A of this form and certify

rsign) that the answers are true, correct, and complete. However, if the transferee

buyer is unable to read and or write, the answers tother than the signature) may be

completed by another person, excluding the transferor setter. Two persons cother-

than the transferor sellers must then sign as witnesses to the transferee's buyer's

answers and signature certification in question 14.

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eral by inctions, and Department, and any supporting documents, part of his her permitted records. Such harms 4472 must be retained for at least 20 years and

after that period may be submitted to ATF. Filing may be chronological (by date

of disposition), alphabetical the same of purchasers, or numerical fly transac-

tion sered manher), as long as all of the transferor's/seller's completed Forms

#### Case 3:19-cv-00208-GMG Document 10-14 Filed 06/04/20 Page 19 of 111 PageID#: 489.00000

L.S. Department of Justice

Bure is of Alcohol, Tobacco, Firearms and Explosives.

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	Are you under indicamen more than one you?" (Se			lony or my	other crime for wh	ich the ju	lge could imprison yo	e for		区
	lave you ever been cons han one year, even if yo							r Baffic		Z
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	dave you ever been adju or Question (14)	diented as a mental defi-	etive OR has	ह रेल्स स्ट. र ह	eea committed to c	i mental i	nstitution" (See Iran)	CHOIN		Œ
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12.0	Arc you an alien ill	egally or unlawfully in	the United S	tutes?				and the second section of the second section $\mathcal{C}_{p,q}$	15	図
12.d.		to has been admitted to	the United St	atas under a	nonimonigradi visa	1 /5ec In.	aractions for Question	12.d.i		应 区 区
1.4.	2 - If "yes", do you fall	l within any of the exce	ptions stated	in the instruc	tions?	an according to the contract of the contract o		<u>-9444</u>		
3. 1	i you are an attent record	your U.S. Issued Alien	or Admission	number ARF	#. USCIS#. or 194#	:				
	ous Edicious Ace Obsolete Lot és				tinue to Next Pag			Form 4/13 (3/4 sed - Cuber 20		. en varies in term of

I certify that my answers in Section 4 are tru	e, correct, and complete. I have r	read and understand the No	tices, Instructions, and Def	mitions on ATF Form
4473. I understand that answering "yes" to c may also violate State and/or local law. I und	puestion 11.a. il I am not the actu: acatand that a nerson who answe	il transferee/buyer is a crim rs "ves" to any of the onesti	e punishable as a telony un ons 11.b. through 11.i and/	ger Federal law, and a por 12.b. through 12.c.
is prohibited from purchasing or receiving a	firearm. Lunderstand that a pers	on who answers "yes" to qu	estion 12.d.1. is prohibited	from receiving at
possessing a firearm, unless the person answer	ers "ses" to question 12.d.2. and p	novides the documentation	required in 18.c. I also und	ierstand that making
any false or at or written statement, or exhibit felony under Federal law, and may also viola	ing any false or misrepresented to	ientification with respect to a nador tand that the reneti	this gransaction, is a crime two purchase of firearms f	punishable as a or the purpose of
resale for livelihood and profit without a Fedi	eral firearms license is a violation	of Federal law. (See Insti	ructions for Question 14.)	in the burbons of
14. Transferee's Buyer's Signature A		فسندخيخ والمدرق بالمهادي فالمدار والمدار والمد	:[1.5] Cenifica	ition Date
Mary & Malo	in A		[5]V	1110
7 300-7 33 333000	Section B - Must Be Compl	eted By Transferor/Seller	The state of the s	A constant to comment of the second s
16. Type of firearm(s) to be transferred (chee		17. If transfer is at a quali	fying gun show or event:	
Handgun M Long Gon C Oth	er Firearm (frame) receiver, etc. Instructions for Question (5.)	Name of Function:		
	Instructions for Question (6.)	and the second s	advaluter in promise and prompty residency of the mentioner of the filling in confidential filling	and the second s
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18.a Identification to g., Firginia Drivo k lie	ense d'ADL, or eiler valid gove	rument issued photo (deuth	ication (* 1820: Instructions) Production (* 1800)	tor Question 1822
Issuing Authority and Type of Identification	Number on Identification		Expiration Date of Identifi Month   Day	Year J
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WULL		~		
18 h. Supplemental Government Issued Docu	mentation of identification docu	nem does not show caurem	residence address). (See In	suractions for
Que sum 18 h t				
18.c Exception to the Nonlinmigram Alien P	rahibition: If the transferse baye	ranswered YES to 12 d.2	the transferor relier must	record the type of -
documentation showing the exception to	o the prohibition and acach a cop	y to this ATE benir 4473. 7	see histrictions for Questi	## IN C 1
4.0 3.0 3.1 5.7 Th. (2)	2 1 2 1 17 17 17 17 17 17 17 17 17 17 17 17 1	- 1731 T T. C	artin dan Friday turn l	0.30
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20. No NICS check was required becar the NFA firearm(s), as reflected on	ise a bockground check was com			ual who will receive S
21 No NICS check was required beca as an exemption to NICS: (See In-		lid permit from the State wh	iere the transfer is to take p	dace, which qualifies G
Issuing State and Permit Type	Date of Issuance /if ager	Expiration Date (it am)	i Permit Number	Wanya a
	·			C i
	ection C - Must Be Completed 1			fe
() the transfer of the firearm(s) takes place on Section C immediately prior to the transfer of	the tirearm(s). (See Instructions	for Question 22 and 23.)		~/
I certify that my answers to the questions i	n Section A of this form are stil	l true, correct, and comple	and the second s	
22. Transferee's Buyer's Signature			[23. Recentil	ication Date
				9. C
- Canada - Andrew State ( 18 Canada - Andrew State ) Canada - Andrew State ( 18 Canada - Andrew State ) Canada - Andrew State ( 18 Canada - Andrew State ) Canada - Andrew Sta	Fransferor/Seller Co	ntinue to Next Page	ar wasan laan aan ilandiishii dadhadii dadhadii dada wara shiriin Sammaran shirahda aga ya'i yi shay wadaya shi  -	(A) which is a second of the former of the common formal common in the form a market of the common of the common of the former of the former of the common o

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	CONTRACTOR SECURITY THE SECURITY STATE SERVICE SECURITY S	of mansioner	Sener even 11	ine errearm(s) is	and the second of the second o	in english on english desire d
24. Manufacturer and Importer (1: any) (1) the manufacturer and importer are slifferent. the FFL must include both i	25. Model (If Designated)		26. Secial Num	ber	27. Type (See In tru-t for Question 27	
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REMINDER - By the Close of Business	Complete ATF F	orm 3310.4 Fc	or Multiple Pur	chases of Handgu	ns Within 5 Consec	utive Business Days
29. Total Number of Firearms Transferred (	Please handwrite	by printing e.g	. zero.   30. (	Theck if any part of	this transaction is a p	pawn redemption.
one, two, three, etc. Do not use numer	ats.) — OL	JE .		Line Number(:	s) From Question 24	Above:
31. For Use by Licensee (See Instructions for	în Questian 31.1	gyggeggen og er endere av mendete skilve frakkelinge	32 (		tion is to facilitate a nx for Question 32.7	private party transfer.
922 N. Qui	I stamp may be use iven \$ 10 1 St.	% 25464	4.5		-84-0176	
For Denied/Concelled T						36.
Leartify that: (1) I have read and understand to true, correct, and complete; and (3) this entire temporarily conducted from a qualifying guns requirements of 18 U.S.C. 922(c). Unless this to Section A (and Section £', if applicable); (2) my was completed); and (3) State or local law applied dispose of the firearm(s) listed on this form to the	transaction record show or event in the ransaction has been verification of the cable to the firear	has been comple e same State in v i denied or cane identification rec os business — it	eted at my licenso chich the licensec elled, I further ce corded in questio	ed business premises: I premises is located) rtify on the basis of — n 18 (and my re-veril	(''licensed premises'' is unless this transaction — (1) the transferee's/I juarion at the time of t	ncludes business n has met the ouyer's responses in transfer, if Section C
34, Transferor's Seller's Many (Please pri	THE PERSON NAMED IN THE PE	and the second section of the section of the second section of the secti	altagnis,	36. Transferor's	Seller's Title	37. Date Transferred
Will. Am L Hollman	- alk	21/		1 SAL		DS=1417
Purpose of the Farm: The information and certification of the farm: The information and certification and so that a person friended under 18 U.S.C may lawfully self or deliver a firearm to the person to alert the transferoe buyer of certain restrictions of thearms. The transferor selfer of a firearm must the transaction and maintain proper records of the transferor/selfer must be familiar with the provision the regulations in 2° Cl R Parts 478 and 470° In a the sale or delivery of a tifle or shotgun to a reside transferor/selfer is presumed to know the applicable ordinances in both the transferor's selfer's State at State. (See ATF Publication 530) 5° State Long at	fication on this form, 923 may determing ulentified in Section the receipt and particles of the first transaction. Conserving the law of 18 U.S.C. 92 determining the law of another State, de State laws and pand the transferce is build the transferce is build.	e if he she on A, and iossession fulness of quently, the 1-931 and fulness of the ablished agen's	the transfer is a the ATT Lorm, to which a sale in alphabetical correlations on If the transferor incomplete or a transferor seller error(s), photoc revisions to the Sections B and	of thearm is denied a completed after a \$1473 in his her records delivery, or transfer dety manac of maintered at the manac of maintered at the transfer or the transfered in or the transfered hipside the photocopy. The trans D. The transferee buys D. The transferee buys and the transferee buys the transferee buys the transferee buys the transferee buys and the transferee buys the tra	ancelled by NICS, or if ICS check is mitiated, for at least 5 years. It is id not take place shall I of or chronological three e buyer discovers that a piter the firearm has been and make any necessificar seller should only our should only make of	the Incensee must reminorus 4473 with respect he separately retained date of transferon's an ATF Form 4473 is en transferred, and the oursesion(s) or sary additions or make changes to branges to Section A and
C. Whoever made the changes should initial and date the changes. The control of the photocopy should be attached to the original Form 4473 and retained as positive and the free sed business premises.						

Exportation of Firearms: The State or Commerce Departments may require a firearms exporter to obtain a beense prior to export. Warning: Any person who exports a firearm without proper authorization may be fined not more than \$1,000,000. and/or imprisoned for not more than 20 years. See 22 U.S.C. 2778(c).

transferor's/seller's permanent records.

#### Section A

The transferee/buyer must personally complete Section 4 of this form and certify isign) that the answers are true, correct, and complete. However, if the transferee buyer is unable to read and or write, the answers (other than the signature) may be completed by another person, excluding the transferor seller. Two persons other than the transferor/seller) must then sign as witnesses to the transfered's buyer's answers and signature certification in question 14

> Vff Form 44"3 (5300.9) Revised Outsbur 2016

4473 are filed in the same manner.

when a firearm is transferred over-the-counter. Federal law 181-S.C. 922(c),

allows a licensed importer, manufacturer, or dealer to sell a firearm to a nonliconsec who does not appear in person at the hoensee's business premises only

if the transferee/buyer meets certain requirements. These requirements tre-set

After the transferor/seller has completed the firearms transaction, he/she must make the completed, original ATF Form 4473 (which includes the Notices, Gen-

eral Instructions, and Definitions), and any supporting documents, part of his/her permanent records. Such Forms 4473 must be retained for at least 20 years and

after that period may be submitted to ATF. Filing may be chronological (by date

of disposition), alphabetical (by name of purchaser), or numerical (by transac-

tion serial numbers, as long as all of the transferor's soller's completed Forms

forth in section 922(c), 27 CFR 478 96(b), and ATF Procedure 2013-2.

Bureau of Alcohol, Tobacco, Freatons and Explosives

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Warning: The use or po decriminalized for medi	of, or addicted to occupants or assession of marijoung remain, icinal or recreational purposes	s unlawful under In the state wher	Federal law e you reside.	regardless of	whether it has been t	egalized or		Œ
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2.d.1 Are you an alien wi	egally or unlawfully in the Unit to has been admitted to the Unit	ed States under a r		visa <sup>a</sup> (See Ins	aria dons for Question	124.7		
and the second of the contract of the second	synthin any of the exceptions su	the first of the state of the s				<u>D</u> NA		U
3 H you are an alten, record trevious Editions Are Obsolete	your († 8. Assued Alien or Admis Trans 8. ra. pt. 1	ision number (.1R#, :ferce/Buyer Cant F 15 VACES REC	tinue to Sesi	Page		~7/1 Form 4473 (\$30)	10,	

Case 3:19-cv-00208-GMG Doc					
Lecrify that my answers in Section A are true, correct. 4473. Lunderstand that answering "yes" to question I may also violate State and or local law. Lunderstand th	La, if fram not the actua hat a person who answei	l transferce/buyer is a c s "ves" to any of the qu	rime punishable a Jestions 11.b. throu	s a felony under Fed igh 11.1 and/or 12.b.	deral law, and , through 12.c.
is prohibited from purchasing or receiving a firearm. I possessing a firearm, unless the person answers "yes" t	I understand that a perso	on who answers "yes" t	a question 12.d.1. i	s prohibited from r	eceiving or
any false and or written streement, or exhibiting any fr	ilse or missepresented id	entification with respec	a_in_this_teamac6o	n, is a crime, purish	iable,as a
felony under Federal law, and may also violate State at resale for livelihood and profit without a Federal firear	nd or local law. I further	understand that the re	epetitive purchase :	of Brearms for the p	aurpose of
14 Transfesce's/Buyer's Signature			in the second se	15 Certification D	(1) [C
Ran Do			į	5/11/20	17
Secti	on B - Must Be Compl				
16 Type of firearm(s) to be transferred teheck or mark		17. If transfer is at a q	inepijanë anu spor	v or event	
Handgun Long Gun Other Fixearn whatgans i		Name of Fourtion  City State:			
	kanber on Identification		Tapiration Dr	Insaru <i>coms for Qu</i> ae of Identification   Das     Yea	A angi
WUDL	F6622	39	02	17 6	2034
18.h. Supplemental Government Issued Documentation Question 18.h.1	n til lidentjäention doemi	sem does not show cur-	rem residonce ockl.	гэхэ (8ег Інганиен	ms for
18st Execution to the Nonthingrant Alien Prohibition documentation showing the exception to the prob	n Thibe transfered buye abition and attach a copy	ranswered (VES) to 1 g to this ATI Form 445	2 d 2, the transfero 3. (See Instruction	risell a must record o for Question 18 c	the type of
Questions 19, 20, or 21 Must Be Completed	Prior to the Transfer	Of the Fireurm(s) 18	in Instruction for	Questions 19, 20 c	m/217
19 a. Date the transfered's buyer's identifying informal transmitted to MCS or the appropriate State agen	tion in Section A was	19.b. The NICS or 50	ate transaction nur	sher (if provided w	314.
Month   Day   Year   2017			1		
19.c. The response mutally (tirst) provided by SICS of agency was:	the appropriete State	Pred. The following re appropriate State	р ищенсу:		
Proceed Delayed Denied The five names may be in Cancelled Please The five names may be in	and the second of the second o	l Proceed	ER - 112 MARIA BATTAN TO THE THE TAX TO THE	idan [] oldar	Undramed
Denved The historian control of State  Cancelled State  Cancelled	a se esta la Tana reconnes la establica de d	le l'honest	an annual to the same to see the contract to	, Chille I	
Can carea		Carcelled     No response as	an early that a three	, 10th T I broke ser dae	
19.e. (Complete if applicable). After the firearms vas t	enzari manya alah Kali ata iku	The response of the record of	15 provident With the History	r duamenta de la s	11101000 (311)
Adute)	Proceed III	Denied [] (	ancelled		· Et Till of the state of the s
19.6 The name and Brady identification number of the	& NICS examines (Opn	7997 [19.g. Name of	FFL Employee Co	mplenne NICS the	A. Openil
thuner  20. — No NICS check was required because a back	priority)	-late ( dr on the A.F.)	and and the second of the seco	o sho mais ideal sch	(7. S. S.) (3. S. S.)
the NIA firearms), as reflected on the appro-	vidd NI A application. 7	Sec Instructions for Qu	waten Ma		,
21. No NICS cheek was required becouse the trust as an exemption to NICS. (See Institution)	ansferce/buyer has a cal Jur Questeur 27 c	id permit from the Stat	e where the transfe		
Issume State and Permit Type Date of 10.	tasuance (1/ 1/2): 20-76	. Expiration Date (4.76) [	1/2	on North 17 m	) Designation of the second sequence of the second
Section C  If the transfer of the firearm(s) takes place on a different	<ul> <li>Must Be Completed I in day from the date that</li> </ul>			e transferce buyer i	nost complete
Section C immediately prior to the transfer of the area	rm(s). ASeg Instructions	jm Question 12 and 1.	i, j		Asset Paulin Co. Sa. Engineering registration 1 -
I certify that my answers to the questions in Section	1 A of this form are stil	true, correct, and co		23. Recertification	- Date
22. Transferee's Buyer's Signature				220 INCOMEDIATION	such the
	Transferor/Seller Co		and the second control of the contro	eriangene grand annungen er interne i vere er PF hand i de ett de ett er ett er ett ett ett ett ett ett	a pagas ima waganang gagarang anyang at wagan kanana sa
5	TAPLE IF PAGES BEI	こくいけた きヒドキドネイトし			

ATE Form 44.7 (550) Py Revised Cleaber 2016

Case 3:19-cv-00208-GMG Document 10-14 Filed 06/04/20 Page 24 of 111 PageID #: 494 Section D - Must Be Completed By Transferor /Seller Even If The Fireurm(s) is Not Transferred 25. 26 28. Manufacturer and Importer (If any) (If the Model Serial Number Type (See Instructions Caliber or (If Designated) manufacturer and impacter are different. tor Question 27 r Gauge the FFL must include both i 3. 4. REMINDER - By the Close of Business Complete ATF Form 3310.4 For Multiple Purchases of Handguns Within 5 Consecutive Business Days Total Number of Firearms Transferred (Please handwrite by printing e.g., zero, 30. Check if any part of this transaction is a pawn redemption. one two, three, etc. Do not use numerals.) Line Number(s) From Question 24 Above: 31. For Use by Licensee (See Instructions for Oucsilon 3. 32. Check if this transaction is to facilitate a private party transfer (See Instructions for Quastion 32.) Trade corporate name and address of transferor/seller and Federal Firearm License Number (Must contain at least first three and last five digus of FFL Number AAXAAAAA) (Hand stamp may be used.) FRAZICE'S PAUS Stop 922 W. Quen St MANADAY War 25404 4-15-03-02-8H-01768 The Person Transferring The Firearm(s) Must Complete Questions 34-37. For Denied Cancelled Transactions, the Person Who Completed Section B Must Complete Questions 34-36. 1 certify that: (1) I have read and understand the Notices, Instructions, and Definitions on this ATF Form 4473; (2) the information recorded in Sections B and D is true, correct, and complete; and (3) this entire transaction record has been completed at my licensed business premises ("licensed premises" includes business temporarily conducted from a qualifying gun show or event in the same State in which the licensed premises is located) unless this transaction has met the requirements of 18 U.S.C. 922(c). Unless this transaction has been denied or cancelled, I further certify on the basis of -- (1) the transferee's/buyer's responses in Section A (and Section C, if applicable); (2) my verification of the identification recorded in question 18 (and my re-verification at the time of transfer, if Section C was completed); and (3) State or local law applicable to the firearms business — it is my belief that it is not unlawful for me to sell, deliver, transport, or otherwise dispose of the firearm(s) listed on this form to the person identified in Section A. Transferor's/Seller's Name (Pleave prion) 35. Transferor's Seller's Signaylize Transfegor's/Seller's Title 37. Date Transferred NOTICES, INSTRUCTIONS, AND DEFENITIONS BORMS 4473 FOR DENIED CANCELLI D TRANSFERS MUST BE RETAINED. If the transfer of a firearm is denied cancelled by MCS, or it for any other reason Purpose of the Form: The information and certification to this form are the transfer is not completed after a NICS check is initiated, the becased must retain designed so that a person becomed under 18 t. S.C. 923 may determine if he/she the ATF Lorm 4473 in his her records for at least 5 years. Forms 4473 with respect may lawfully sell or deliver a firearm to the person identified in Section A, and to which a sale, delivery, or transfer did not take place shall be separately retained to alert the transferee buyer of certain restrictions on the receipt and possession in alphabetical (by name of transferee) or chronological (by date of transferee); of firearms. The transferor seller of a firearm must determine the lawfulness of certification) order. the transaction and maintain proper records of the transaction. Consequently, the it insteror/seller must be familiar with the provisions of 18 U.S.C. 921-931 and If the transferor/seller or the transferee buyer discovers that an ATF Form 4473 is the regulations in 27 CFR Parts 478 and 479. In determining the lawfulness of incomplete or improperly completed after the firearm has been transferred, and the the sale or delivery of a rule or shotgun to a resident of another State, the transferor/seller or the transferee/buyer wishes to correct the omission(s) or transferor/seller is presumed to know the applicable State laws and published error(s), photocopy the inaccurate form and make any necessary additions or ordinances in both the transferor's seller's State and the transferee's buyer's revisions to the photocopy. The transferor seller should only make changes to State ASee ATF Publication 5300 5. State Laws and Published Ordinances in Sections B and D. The transferee buyer should only make changes to Section A and C. Whoever made the changes should initial and date the changes. The corrected Generally, ATF Form 4473 must be completed at the licensed business premises photocopy should be attached to the original Form 4473 and retained as part of the when a firearm is transferred over-the-counter. Federal law, 18 U.S.C. 922(c). transferor's seller's permanent records. allows a licensed importer, manufacturer, or dealer to sell a firearm to a nonlicensee who does not uppear in person at the ticensee's business premises only Exportation of Firearms: The State or Commerce Departments may regime, a if the transferee/buyer meets certain requirements. These requirements are set firearms exporter to obtain a license prior to export. Warning: Any person who exforth in section 922(c), 27 CFR 478 96(b), and ATF Procedure 2043-2 ports a firearm without proper authorization may be fined not more than \$1,000,000 and/or imprisoned for not more than 20 years. See 22 U.S.C. 2778(c). After the transferor/seller has completed the trearms transaction, he/she must make the completed, original ATF Form 4473 (which includes the Notices, Gen-Section A eral Instructions, and Definitions), and any supporting documents, part of his/her

buyer is unable to read and/or write, the answers *(other than the signature)* may be completed by another person, excluding the transferor seller. Two persons *(other than the n ansferor/seller)* must then sign as witnesses to the transferee's/buyer's answers and signature/certification in question 14.

The transferee/buyer must personally complete Section A of this form and certify

(sign) that the answers are true, correct, and complete. However, if the transferee

4473 are filled in the same manner.

permanent records. Such Forms 4473 must be retained for at least 20 years and

after that period may be submitted to ATF. Filing may be chronological (by date

of disposition), alphabetical (by name of purchaser), or numerical (by transac-

tion serial numbers, as long as all of the transferor's/seller's completed Forms

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Bureau of Methol. Tensaco Firearms and Explosives

Page Lafe

#### Firearms Transaction Record

dvier	mine whether you are p	mohitoited from receis i	nibited by Federal or State log a firearm. Certahi sio nent and/or up to a \$250,0	lations of the Gr			to Transfero Lansasi Numbe	ishi i	1111
Read	the Notices. Instruction les business temporarily	ns, and Definitions on t conducted from a qual n qualifies under 18 U.	his form. Prepare in origi lifying gun show or evem it S.C. 922(c). All entries m	nal only at the li i <i>the same State i</i> ust be handwritt	in which the li en in ink. ¬P.	censed premises is LEASE PRINT."	ilses"	12	) /
2. (C. Number 1997) 3. P. U.S. (S. S. S	Name (Including suffice Red Address Redacte Ibee of Birth City and State Redacte Redacte Redacte Redacte Redacte Redacte	Name (II logal name ie.g., Jr. Sr. II. III.)  ce and Address (U.S.  d  -OR- Foreign Co.  Optional, but will help po	in. <u>00</u> ressent misidemiliaaniaan	acceptable. C.  // E  5. Weight (Lhs.)  0. Unique Palistrictions /	incinitial. If annot be a po Count A  Male Fentale as sonal Identif ar Question 9	no middle initial of Middle Name  Rober of the office box.)  ty  7. Birth Date  Month  ication Number ()	Day Se Redacted	le 75ec	45x
	Ethnicity (Inspanie or Latino Ver Hispanie or Latino		dition to elloif, ity, select it lian of Alasko Native		an Anterican		must be answere	d.)	
a Äi ar th	ic you the activit transfe wacquiring the firears e firearm(s) to you. E	eree buyer of the firear m(s) on behalf of ano vection: If you are pi	marking "yes" or "nn" in infst listed on this ferm" ther person. If you are t icking up a repaired firea, ions for Onexnon 11, a.	Warning: You not the actual t	are not the a ransferce/bu	ctual transferee ver, the licensee c	annot transfer	100	The second secon
b. A	re you under indictment ore than one year? (See	t or information in any I Instructions for Que :	count for a felony, or any gion 11 by	other crime for	which the jud	lge could impriso.	n you for		-
			foliany, or any other crims recover including probation				ou for more		
.) A	re you a fagnive from j	usike? Soc Insumetic	ns for Direction 11 (b)				* .	-	
11	arning: The use or po	essession of marijuan.	rifulium in any depressant. A remains unlawful unde purposes in the state who	er Federal las i	-	•			_
i. 11.			ective OR have you ever		(a a menta) ii	istitution? (See h	istructions		
t. H.	rve you been dischurge	d from the Armed For	ces under dishonorable c	conditions!				1	   ごご
1/ .i	re you subject to a court ch partner? I See Instru	i order restanting you ctions for Question 11	them harnssing scatteing.	or threatening y	our child or a	n intimate partner	or child of		
ı. Hi	ave you ever been <b>ean</b> v	icted in any court of a	misdemennor ennie of de	nmestic violence	C. See Instru	enom for Questio	en (1 i.)		7
			n one d'applicable. Natio		ted States maj	: check to \$.4.)			e e estado e
12.b.	Place you ever remo	anced your United Sta	tes entzenshin '		Annual Park - Name of Annual Annua		- Var	Yes	NU
i 2.c.		egally or unlawfully is					t tank di 10 mining nga 18000 di 1800 na mana mga nganggan ngga na ngan ni ngga		불
12.d.1. 12.d.2.	Are you an alien wh	o has been admitted to	the United States under a phone stated in the instru-	-	visa" (Sec Ins	tructions for Que.	nuon (2.d.)		百百
			or Admission number (4R	and the second transfer that the second the second transfer to the second transfer transfer to the second transfer transfer to the second transfer	94#);		<u> </u>	13-1	السيط ا
norvar	s Editions Are Obsobeiè		Transferee Buyer Co	ntinue to Yexr	Page	And the second section of the section of	ATF Form 4473 (534)	),41)	

STAPLE IF PAGES BECOME SEPARATED

Revised October 2016

Case 3:19-cv-00208-GMG Document 10-14 For I certify that my answers in Section 4 are true, correct, and complete. I have re 4473. I understand that answering "yes" to question 11.a. if I am not the actual may also violate State and/or local law. I understand that a person who answer is prohibited from purchasing or receiving a firearm. I understand that a person possessing a firearm, unless the person answers "yes" to question 12.d.2, and promote false or written statement, or exhibiting any false or misrepresented if felony under Federal law, and may also violate State and or local law. I further result for livelihood and profit without a Federal firearms license is a violation 14. Transferse 's/Buyer's Signature	end and understand the Notices. Instructions, and Definitions on ATF Form I transferce/buyer is a crime punishable as a felony under Federal law, and is "yes" to any of the questions 11.b. through 11.i and or 12.b. through 12.c. on who answers "yes" to question 12.d.1. is prohibited from receiving or royides the documentation required in 18.c. I also understand that making entification with respect to this transaction is a crime punishable as a understand that the repetitive purchase of firearms for the purpose of
W. W. Dogar	6/1/77
Section B - Must Be Comple	oted By Transferor/Seller
	17. If transfer is at a qualifying gun show or event:
Handgun Long Gun Other Firearm (frame, receiver, etc.)  See Instructions for Question 16.1	Name of Function:
	City, State:
18.a.Identification (e.g., Virginia Driver's license (VA DL) or other valid gover Issuing Authority and Type of Identification  Number on Identification	mment-issued photo identification.) iSee Instructions for Question 18 a.)  Expiration Date of Identification (if any)  Month Day Year  OR 23 2019
18.b. Supplemental Government Issued Documentation (if identification docum Question 18.b.)	
18.c. Exception to the Nonimmigrant Alien Prohibition: If the transferce/buyer documentation showing the exception to the prohibition and attach a copy	answered "YES" to 12.d 2, the transferor/seller must record the type of to this ATF Form 4473. <i>(See Instructions for Question 18.c.)</i>
Questions 19, 20, or 21 Must Be Completed Prior To The Transfer 19.a. Date the transferee's/buyer's identifying information in Section A was transmitted to NICS or the appropriate State agency:	Of The Firenrm(s) (See Instructions for Questions 19, 20 and 21)  19.b. The NICS or State transaction number (if provided) was:
	/00 6902 62 C  19.d. The following response(s) was/were later received from NICS or the appropriate State agency:  Proceed (dete) Overturned  Denied (dete)  Cancelled (dete)  No response was provided within 3 business days.
19.e (Complete if applicable). After the finearm was transferred, the following transfer.  Proceed  © E	Denied Cancelled
19.f. The name and Brady identification number of the NICS examiner. (Option	onal) 19.g. Name of 11 L. Employee Completing NCS check. (Optional)
the MFA filearm(s), as reflected on the approved MTA application. $iS$	
21 No NICS check was required because the transferee bayer has a validation as an exemption to NICS. (See Instructions for Question 21.)	a permu from the State where the drinster is to take place, which quarties
	Expiration Date (if any)  Permit Number (if any)
Section C - Must Be Completed P If the transfer of the firearm(s) takes place on a different day from the date that Section C immediately prior to the transfer of the firearm(s). (See Instructions Learlify that my answers to the questions in Section A of this form are still	the transferee/buyer signed Section A, the transferee buyer must complete for Question 22 and 23.)
22. Transferee's Buyer's Signature	23. Recertification Date
Transferor/Seller Cor STAPLE IF PAGES BEC	
Page 2 of 6	XF8 Form 4473 (5300.9) Revised October 2016

Case 3.19-cv-00208-GN	G Document	10-14 ransferor 3	Filed 06/04	1/20 Page 2'	7 of 111 Pagell	D #: 497
Nanufacturer and Importer iff am i (l) the manufacturer and importer are different, the FFL must include both)	25. Model (It Designated)		26 Serral Numb	et en	Type (See Instruction to Question 2%)	28 Caliber or Gauge
1. Hirona	(9)	PI	783036		Pistal	9mil
2.	A			ere eman in the contract of th	de confession de la companya del companya del companya de la compa	Table 1
3.			and a second second second second		1 2	
4.					· · · · · · · · · · · · · · · · · · ·	
REMINDER - By the Close of Business	Complete ATE Form	1310 : For	Multiple Pure	base of Hundaun	e Within & Concurrenti	Rusinass Day
29. Total Number of Firearms Transferred (					his transaction is a paw	
one, two, three, etc. Do not use numero	1 N 1	F		- ·	) From Question 24 Abo	,
31. For Use by Licensee (See Instructions for	or Ougstion 31 1		1 52		ion is to facilitate a priv	<i>f</i>
,					s for Question 32.)	me burd musica
77 77 44	C . II . I .	1 150			1.7	
33. Trade/corporate name and address of tra of FF1. Number V-XX-XXXX2 (Hand	insteror:sener and Fed Stamminen: be used i	ieral Fireann	i License Sumt	ex (Must contain at	least first three and las	t fixe digits
000 11 0110						
MARTINSburg	11/1/2 250	Fr. C	11	50 12-12	·02-8H-01	17/8
MARINGOURY	LULL XY	/U /				100
Use Pe For Denied/Cancelled T	rson Transferring Transactions, the Pe					
Legality that: (1) I have read and understand to	**************************************			*****		ections R and D is
true, correct, and complete; and (3) this entire t						
temporarily conducted from a qualifying gon s	how or event in the san	ne State in wh	ich the licensed	premises is located) i	inless this transaction has	s met the
requirements of 18 U.S.C. 922(c). Unless this fr						
Section A (and Section C, if applicable): (2) my was completed): and (3) State or local law applies						
dispose of the firearm(s) listed on this form to the						
34. Transferor's/Seller's Name (Please pri	111) 35. Transferor's	Seller's Sign	institute	36. Transferor's/	Seller's Title 37	. Date Fransferree
William L Abt man 2	Talle	XHef [	1 311-	5461		6-1-17
NOTICES, INSTRUCTIONS, AND	DEFINITIONS	H			LLED TRANSFERS MU	
Purpose of the Form: The information and certificesigned so that a person becaused under 18 U.S.C may lawfully self or deliver a firearm to the person to alert the transferee/buyer of certain restrictions of firearms. The transferor/selfer of a firearm must be transaction and maintain proper records of the	. 923 may determine it I i identified in Section A, on the receipt and posse t determine the lawfulne	and Salon Salon	the transfer is no the ATF Form 44 to which a sale, c	t completed after a M 23 in his her records : Jehvery, or transfer do y name of transferce.	neelfed by NICS, or o'for CS check is mitiated, the I for at least 5 years. Forms I not take place shall be set the chronological the date	reensee must retain 4473 with respect parately retained
transferor/seller must be familiar with the provision the regulations in 27 CFR Parts 478 and 479. In 4 the sale or delivery of a rifle or shotgan to a reside transferor seller is presumed to know the applicable ordinances in both the transferor/s/seller's State ar State. (See ATF Publication 5300.3, State Laws in	ms of 18 U.S.C. 921-931 etermining the Jawfulne nt of another State, the le State laws and publish id the transferee's bayer	and syof acd is s.	incomplete or im transferor seller ( errorts), photoco revisions to the p Sections B and E	property completed at or the transferce/buyer py the maccorate form hotocopy. The transfe t. The transferce/buye	Puryer discovers that an A For the firearm has been to wishes to correct the omi t and make any necessary tror seller should only make in should only make change initial and date the change	ansferred, and the solon(s) or additions or ce changes to see to Section A and
Generally, ATF Form 4473 must be completed at twhen a firearm is transferred over-the-counter. Fe allows a licensed importer, manufacturer, or dealer	deral law, 13 U.S.C. 922	mises (c).	photocopy should		lginal Form 4473 and retain	
censee who does not appear in person at the ficens if the transferee buyer meets certain requirements, forth in section 922(e), 27 CFR 478.96(b), and AT	ee's business premises of These requirements are	nly set	firearms exporter ports a firearm w	to obtain a license pr ithout proper authoriz	or Commerce Departments for to export. Warning: a ntion may be fined not mo I years. See 22 U.S.C 271	Any person who ex- re than \$1,000,000
After the transferor/seller has completed the firear		ust				
make the completed, original ATF Form 4473 (who eral Instructions, and Definitions), and any support				3	ection A	
permanent records. Such Forms 4473 must be reta- after that period may be submitted to ATF. Filing to	s and	The transferree/buyer must personally complete Section A of this form and certify (xign) that the answers are true, correct, and complete. However, if the transferce				

ATF Form 4473 (5300.9) Revised October 2016

buyer is unable to read and/or write, the answers (other than the signature) may be

completed by another person, excluding the transferor/seller. Two persons (other

than the transferor/seller) must then sign as witnesses to the transferee's/buyer's

answers and signature/certification in question 14.

4473 are filed in the same manner.

of dispositions, alphabetical (by name of purchaser), or numerical (by transac-

tion serial numbers, as long as all of the transferor's seller's completed Forms

U.S. Department of Justice Burcau of Alcohol Tobacob, Euroapes and Explosives

Page Lofo

#### Firearms Transaction Record

WARNING: Non-me-on-brecoive a firement sprohibited by Federal or State law. The information you provide will be used to determine whether you are prohibited from receiving a firearm. Certain violations of the Gnn Control Act, 18 U.S.C. 921  Transaction Server, are punishable by up to 10 years imprisonment and/or up to a \$250,000 fine.	[] i
Read the Notices, Instructions, and Definitions on this form. Prepare in original only at the licensed premises ("licensed premises": includes husiness temporarily conducted from a qualifying gun show or event in the same State in which the licensed premises is located) unless the transaction qualifies under 18 U.S.C. 922(c). All entries must be handwritten in link. "PLEASE PRINT."  Section A - Must Be Completed Personally By Transferee Buyer	
Transferce's Buyer's Full Name (If legal name contains on initial only record "10" after the initial. If no middle minal or name, record "NVN Last Name (Including suffix (e.g., Jr. Sr. II, 1ll))  [First Name]  [First Name]	
Charezadeh shirazi Ramin  2. Current State of Residence and Address (U.S. Postal ubbreviations are acceptable. Cannot be a post office box.)	
Number and Street Address  Redacted  City  RANSON  County  Jefferson  OVU 25	
3. Place of Birth U.S. City and State U.S. Cit	A A A A A A A A A A A A A A A A A A A
Social Security Number (Ontornal, has will habe account mish build had on the properties of the Computation	
10 b. Rase (In addition to ethnicity, select one or more race in 10.6. Both 10.a. and 10.b. mixt be unswered.)    Hispanic or Latino	* 11 10° **
11. Answer the following questions by checking or marking "yev" or "no" in the boxes to the right of the questions.  Yes  Are you the regulal transferce/buyer of the lirearms) listed on this form? Warning: You are not the actual transferce/buyer if you	No
are acquiring the Greatm(s) on behalf of another person. If you are not the actual transferee/buyer, the licensee cannot transfer the firearm(s) to you. Exception. If you are picking up a repaired firearm(s) for another person you are not required to ans set II a.  and may proceed to greatly it b. (See Instructions for Question II x)	
b. Are you and r indictment or information in any court for a feliuny, or any other crimp it which the radge could improve you for more than one year." (See Instructions for Queenson 11 h.)	K
2. Have you ever been convicted in any court of a fellony, or any other crime for which the judge could have imprisoned two for more than one year, even if you received a shorter remonce including probation? "See his a remons for Question (1)	K
d. Are you a fugitive from justice? (See Instructions in Question II J)	Z)
e. Are you on unlawful user of, or addicted to, marijuana or any depressant, stimulant, narcond drug, or any other controlled substance?  Warning: The use or possession of marijuana remains unlawful under Federal law regardless of whether it has been legalized or decriminalized for medicinal or recreational purposes in the state where you reside.	K
Have you ever been adjudicated as a mental defective OR have you ever been committed to a mental institution? (See Instructions for Question 11):	7
g Place you be an discharged from the Armed Forces under dishumrable conditions?	K
h. Are you subject to a court order restraining you from hardssing, stalking or threatening your child or an intimate partner or child of such partner? "See Instructions for Question 11 h."	R
Have you ever been convicted in any court of a misdementor crune of domestic violence. (See Instructions for Question 11 v.)	0()
12 a. Country of Citizenship. (Check Lis. more than one if applicable. Nationals of the United States may check U.S.A.)  [ United States of America (U.S. t)	neurinis subermentery page <sup>3</sup>
12.b. Have you ever renounced your United States citizenship?	No
12 c. Are you an alien illegally or unlawfully in the United States.	X
12.d.1 Are you an alien who has been admitted to the United States under a nonintimigrant visa? (See Instructions for Question 12.d.)	S.
12 d.2 If "yes", do you fall within any of the exceptions stated in the instructions?	G)
13. If you are an alien, record your U.SIssued Alien or Admission number (1R \(\lambda\) SC IS \(\text{or 194=}\): \(\Delta\) = 519 - 71 /  Previous Editions Are Obsolete	

STAPLE IF PAGES BECOME SEPARATED

Revised Scrober 2000

#### Legrify that my answers in Section A are true, correct, and complete. I have read and understand the Notices, Instructions, and Definitions on ATF Form 4473. I understand that answering "yes" to question 11.a. if I am not the actual transferee/buyer is a crime punishable as a felony under Federal lan, and may also violate State and/or local law. I understand that a person who answers "yes" to any of the questions 11.b. through 11.i and or 12.b. through 12.c. is prohibited from purchasing or receiving a firearm. I understand that a person who answers "yes" to question 12.d.1. is prohibited from receiving or possessing a firearm, unless the person answers "yes" to question 12.d.2, and provides the documentation required in 18.c. I also understand that making any false or at or written statement, or exhibiting any false or misrepresented identification with respect to this transaction is a crime punishable as a felony under Federal law, and may also violate State and/or local law. I further understand that the repetitive purchase of firearms for the purpose of resale for livelihood and profit without a Federal firearms license is a violation of Federal law. (See Instructions for Ouestion 14.) 14. Transferce's Buyer's Signature Section B - Must Be Completed By Transferor/Seller 16. Type of firearm(s) to be transferred (cheek or mark all that apply): 17. If transfer is at a qualifying gun show or event: Long Gun Other Firearm thame, receiver, etc. Name of Function: See Instructions for Question 16 i. rrifles or shoisuus) 18.a. Identification (e.g., Virginia Driver's license (VA DL) or other valid government-resued photo identification ). (See Instructions for Question 18 a. Expiration Date of Identification (if convi-Issuing Authority and Type of Identification Number on Identification F662289 18.6 Supplemental Government Issued Documentation (if identification document does not show current residence address). (See Instructions for 18 c. Exception to the Nonimmigrant Alien Prohibition: If the transferee buyer answered "YES" to 12 d.2, the transferor seller must record the type of documentation showing the exception to the prohibition and attach a copy to this ATF Form 4473. (See Instructions for Question $18(\epsilon)$ Questions 19, 20, or 21 Must Be Completed Prior To The Transfer Of The Firearm(s) (See Instructions for Questions 19, 20 and 21.) 19 a. Date the transferee's buyer's identifying information in Section A was 19 b. The NICS or State transaction number tif provided was transmitted to MICS or the appropriate State agency: 19.c. The response initially (first) provided by NICS or the appropriate State 10.d. The following response(s) was were later received from NICS or the agency was: Proceed The freemats ones by rousier edon- $-i\sin(\varphi)$ at State Lise permits cornorally Cancelled tikata) No response was provided within 3 business days. 19.e. (Complete if applicable). After the firearm was transferred the following response was received from NICS or the appropriate State agency on Proceed Cancelled The name and Brady identification number of the NICS examiner. (Optional) [19.8] Name of FFL Employee Completing NICS check. (Optional) No NICS check was required because a background check was completed during the NFA approval process on the individual who will receive the NFA firearmus), as reflected on the approved NFA application. (See Instructions for Question 20) No NICS cheek was required because the transferre buyer has a valid permit from the State where the transfer is to take place, which qualifies as an exemption to MICS - (See Instructions for Question 21) DIV CCW

Transferor/Seller Continue to Next Page STAPLE IF PAGES BECOME SEPARATED

If the transfer of the firearm(s) takes place on a different day from the date that the transferce buyer signed Section A, the transferce buyer must complete

Section C immediately prior to the transfer of the firearm(s). (See Instructions for Question 22 and 23.)

I certify that my answers to the questions in Section A of this form are still true, correct, and complete.

ATT Form 4413 (300-1) Recised October 1994

23. Recertification Date

22. Transferee's/Buyer's Signature

Case 3,19-cv-00208-GMG Document 10-14  Section D - Must Be Completed By Transferor	Filed 06/04/20 Page./Seller Even If The Firearm(s) is		) #: 500
24.  Manufacturer and Importer (If any) (If the Model manufacturer and importer are different. (If Designated) the FFL mist include both)	26. Serial Number	Type (See Instructions for Question 27)	28. Caliber or Gauge
1. Deno Agres ONEN	DIMTPO/Q2	Pite	300 W. 114
2.		entropy of the control of the contro	
3.			1
4.	(		
REMINDER - By the Close of Business Complete ATF Form 3310.4 Fo			
29. Total Number of Firearms Transferred (Please handwrite by printing e.g. onc. two, three, etc. Do not use numerals.)	1	f this transaction is a paw (s) From Question 24 Abo	
31. For Use by Licensee (See Instructions for Question 31.)		nction is to facilitate a priv ons for Question 32.)	ate party transfer
33. Trade/corporate name and address of transferor/seller and Federal Firear	rm License Number (Must contain	at least first three and lay	i five digity
of FFL Number X-XX-XXXXX) (Hand stamp may be used.)			
FRAZIERS PHUN Shop			
922 N. Queen St.			C.
MARTINSbury W. Un 25404	4.53-003-0	2-8H-0176.	3
The Person Transferring The Firear For Denied/Cancelled Transactions, the Person Who			
Legrify that: (1) I have read and understand the Notices, Instructions, and Defin			ections B and D is
requirements of 18 U.S.C. 922(c). Unless this transaction has been denied or cano Section A (and Section C, if applicable); (2) my verification of the identification rewas completed); and (3) State or local law applicable to the firearms business—it dispose of the firearm(s) listed on this form to the person identified in Section A.  34. Transferor's/Seller's Name (Please print) 35. Transferor's/Seller's Signature (Please print)	corded in question 18 (and my re-ve. is my belief that it is not unlawful fo	rification at the time of tran- r me to sell, deliver, transpor	sfer, if Section C
William L Hottman While of the	LE Sitte		<u> </u>
NOTICES, INSTRUCTIONS, AND DEFINITIONS  Purpose of the Form: The information and certification on this form are designed so that a person beensed under 18 U.S.C. 923 may determine if he/she may lawfully self or deliver a fire-trin to the person identified in Section A, and to after the transferee/buyer of certain restrictions on the receipt and possession of fire-trins. The transferor selfer of a breatm must determine the lawfulness of	FORMS 4473 FOR DENIED CAN If the transfer of a frearm is denied the transfer is not completed after a the ATF Form 4473 in his her feet to which a sale, delivery, or transfer in alphabetical thy name of tremsfer confidention order.	cancelled by NICS, or if for NICS check is initiated, the l ds for at least 5 years. Forms did not take place shall be so	any other reason recusee must retain 4473 with respect parately retained
the transaction and maintain proper records of the transaction. Consequently, the transferor/selfer must be fainthar with the provisions of 18 U.S.C. 921-931 and the regulations in 27 CLR Parts 478 and 479. In determining the law falness of the sale or delivery of a rifle or shotgun to a resident of another State, the transferor/selfer is presumed to know the applicable State laws and published ordinances in both the transferor's selfer's State and the transferor's huyer's State. Are 17th Publication 3300 5. Stat. Love and Published Ordinances.	(Cthe transferor seller or the transfer incomplete or improperly complete transferor seller or the transferor be error(s), photocopy the inaccurate I revisions to the photocopy. The tra Sections B and D. The transferor b	d after the firearm has been to yer wishes to correct the omi- orm and make any necessary asteror setter should only ma- saver should only make chang	unsferred, and the ssion(s) or additions or ke changes to tes to Section A and
Generally, ATF Form 4473 must be completed at the beensed business premises when a bream is transferred over the counter. Federal law, 18 U.S.C. 922(c), allows a beensed importer, manufacturer, or deafer to sell a firearm to a nonlinease who does not appear in person at the beensed's business premises only if the transferred buyer meets certain requirements. These requirements are set	C. Whoever made the changes show photocopy should be attached to the transferor's seller's permanent reconstruction of Firearms: The Staticarms exporter to obtain a license.	soriginal Form 4473 and reta rds. ite or Commerce Department sprior to export. Warning: .	med as pair of the smay require a Any person who ex-
forth in section 922(c), 2° CFR 478 96(b), and ATF Procedure 2013-2	ports a firearm without proper authorand or imprisoned for not more that	atzation may be inten not me 1 20 years. See 22 U.S.C. 27	re uma St.000,0490 78(e).
After the transferor seller has completed the firearms transaction, he she must make the completed, original AFF Form 44.73 (which includes the Nov. 18. Gen-		Section A	
eral Instructions, and Definitions), and any supporting documents, part of his her permanent records. Such Forms 44.3 must be retained for at least 20 years and after that period may be submitted to ATF. Filing may be chronological this date of Atsposition), alphabetical by name of purchaser), or numerical this transac-	The transferee/buyer must personal (sign) that the answers are true, corbuyer is unable to read and/or write	rect, and complete. However	. if the transferee

AFF Form 44"3 (5300.9) Revised October 2016

completed by another person, excluding the transferor/seller. Two persons todier

than the transferor/seller) must then sign as witnesses to the transferee's/buyer's answers and signature/certification in question 14.

4473 are filed in the same manner.

tion serial number; as long as all of the transferor's seller's completed forms

## U.S. (Case 3:19 cv; Q0208-GMG Document 10-14 Filed 06/04/20 Page 31 of 111 PageID #: 501 10 0020

Panent (L'Alcohol, Tobacco, Firearns and Explosives)

- to canina-reperturbation-are-	eceive a firearm if prohibited by in prehibited-from-resolving-a-firear p to 10 years imprisonment and/o	ın. Cert <del>s</del> in <del>siol</del> :	ations of the Lorin Co			ing the	(i-d)
includes business temporarily	ns, and Definitions on this form.  conducted from a qualifying guant on qualifies under 18 U.S.C. 922(c)  Specifion A., Wust	show or event in ). All entries mu	the same State in wh	ich the licensed premise. ink. "PLEASE PRIST	vis 55	<u> </u>	
1. Transferee's Boyer's Ful Last Some thichaling vidits	Name (If legal name contains of teg. Jr. Sr. II. III)   First Na	r initial only rec ne	cord "10" after the ti		A or name, record	\ \/\ ·	
Lake.	nce and Address (U.S. Postal ab)	chare	1	mic	ncel	No. 10 (10 ft of 1 (10 (10 ft of 10 of 10 ft of	
Number and Street Audress Reda	Ciç	artins	borg	Respecting	Sinte		,
L'S City and State	OR-   Foreign Commis	4 Height Fr. 5	5. Weight 6 St		. I Day   No	NT.	
Evident K. M. S. Social Security Sumber Redacte	(Optional, has edible to neeve monst	kmutu, au ora)	O. Unique Persons Lestres Consilies Qu				
Hispanic or Launo Not Hispanic or Launo	[]] American Indian or Ala		Black or Voicini Ar		D'ATTIO		
	extons by checking or marking " erre buyer of the Brearasts) listed				annon compressione de la compres	Yes	No
are acquiring the firear the firearm(s) to you. F	ence doyer or the measure) used m(s) on behalf of another perso resertion. If you are picking up o tion 12 is (See Instructions for Q	n. If you are n repoired firear	not the actual transf	eree buyer, the licensi	se cannot transfer		ALITA PARA PARA PARA PARA PARA PARA PARA PA
	it as information in any court for $\varepsilon$ begins there for Questina $E(k)$		other crime for which	h the "algo could impr	ison you for		Z
	detect in any court of a felony, or a received a shorter is meaned incl				уов йм ввотс	-	Z
d. Arryana highiye har i	profice? (See Instrumenties) (or Que	veries II de	and a management of the Management of the Commission of the Commis		and the second s	1	
Warning: The use or pedecriminalized for med	rof, or addicted to marijuma or ; ossession of marijuma remains icinal or recreational purposes	nalawiul unde in the state who	r Federal law regar we you reside.	dless of whether it ha	, been legalized or	and the state of t	
i – Have you ever been adju Ior Question In fig.	dicated as a meanal defective OR	have you even t	neen committed to a	mend instinction? So	e last uethus		[z]
	ed from the Armed Focuses nodes i						Z
	t order restraining you from hara actions for Question (1./1.)	ssing, stalking, i	or direction ing your e	hild or sa iniinale part	ner er shild et		Ø
i. Have you ever been ouns	victed in any court of a misdeme	ina crime of do	mestic violence? 75	n luctractions for Que	smon II i r		
	Check Ust more than one, that erica (U.S.) Other Coul			tans men shock U.S.A.			
12.b. Have you ever rone	nunced your United States citizen	ship:	and processing the second control of the control of		Birmer (B. addition of the m. in the method are proportion or approximately	1735	
	legally or unlawfully in the Unic						
*	ho has been admitted to the Unite		กอกโทนมาโฐเฉาะ ( 154)		mestion 12.d		
The state of the s	I within any of the exceptions sta	regimente de minima de como mayor de la desta de la companya de la companya de la companya de la companya de l			- ATVA	نيال	لليا
13. If you are an alien, record Previous Editions Are Obsolete	your U.SIssued Alten or Admiss		E. USCIS=. or 194=1:  ntinue to Next Page		and the state of t		ators sate a Branch
Previous Educate Are consolere. Prage 1 of 6			COME SEPARAT		ATF from 44-3 (530) Revised Onober 2019		

Case 3:19-cv-00208-GMC I certify that my answers in Section A are true, 4473. I understand that answering "yes" to que may also violate State and/or local law. I under is prohibited from purchasing or receiving a fir possessing a firearm, unless the person answers any false or alternative restatement, or exhibitin felony under Federal law, and may also violate resale for livelihood and profit without a Federal 14. Transferce's Buver's Signature	correct, and complete. I have restion 11.a. if I am not the actual stand that a person who answerearm. I understand that a persity os' to question 12.d.2, and presented it is a person of taken or instrumental and a person of taken or misrepresented it.	ead and understand the "\c l transferee buyer is a crim rs "yes" to any of the quest on who answers "yes" to quest rovides the documentation lentification with respect to r understand that the repet	otices, Instructive punishable a fons 11.b. throusestion 12.d.l. required in 18 a this transacticities purchase for <u>Q</u>	ons, and Defit is a felony und ugh I i, and or is prohibited f i.c. I also unde on, is a crime p of firearms fo	er Federal law, and r 12.b. through 12.c. rom receiving or erstand that making punishable as a r the purpose of
			(	Dune	16,2017 =
Jachay Jake	Section B - Must Be Compl				R R
16. Type of firearm(s) to be transferred teheck		17. If transfer is at a qual	ifying gun sho	w or event:	20
irifles or See In	Firearm (frame, receiver, etc. suructions for Question 16.)	Name of Function:			31
shotgims)	1.1	City, State:	S. alan (San	In constinus I	
18.a. Identification te.g., Virginto Driver's licentification Authority and Type of Identification	se (14 DL) or ather valid gove Number on Identification	rmment-issuesi puote täenä i	Expiration D  Month	ace of Identific Day	cation of any 33
WUDC	F7680	933	03	1/6	202/
18.b Supplemental Government Issued Docum  Ouestion 18.b.)	aentation (if identification doct)	ment does not show entron	r responence ach	0 C287 136C 110	n pero majur
18.e. Exception to the Nonimmigrant Alien Prodocumentation showing the exception to	hibition: If the transferee/buye the prohibition and attach a cop	er answered "YES" to 12.d by to this ATF Form 4473	2. the transfer (See Instruction	or seller musi ons for Questic	record the type of
Questions 19, 20, or 21 Must Be Cor 19 a. Date the transferee's buyer's identifying i transmitted to NIC5 or the appropriate St    Month	nformation in Section A was ate agency:	19.b The NICS or State	bistructions for transaction nu	вірск <i>і ў Тиогі</i>	9, 20 and 21.5 tr feet) was: te ru Si
19.c. The response initially (first) provided by agency was.	NICS or the appropriate State	19.d. The following respinate State as	gency: onse(s) was/we	ere later receiv	
Proceed Delayed   Denied   The fivearmis   v   Cancelled	. If State law permits toptionals	Denied Cancelled No response was a	provided within	tchne) _ tchne ; r 3 business da	
19.e. (Complete if applicable). After the firear	Proceed	Denied Can	celled		tì
19.f. The name and Brady identification num	ber of the NICS examiner $i\partial p$	tional) 19.g. Name of FL	L Employee C	ompleting MC	
(name)  20. No NICS check was required becauthe NFA firearm(s), as reflected on t	he approved NFA application.	(See Instructions for Quest	nun 2013		` ·
21. No NICS check was required because as an exemption to NICS. ISee Inst	aructions for Question 21.)	illid permit from the State v  [Expiration Date (if ain)]		fer is to take p ermit Number	75
Issuing State and Permit Type	Date of Issuance tif any)	Extraction once in mily			1
If the transfer of the firearm(s) takes place on Section C immediately prior to the transfer of	the firearm(s). (See Instruction	at the transferee/buyer sign as for Question 22 and 23 )	ed Section A	the transfèree	ř.
I certify that my answers to the questions in 22. Transferee's Buyer's Signature	a Section A of this form are sti	ill true, correct, and comp	oicte.	3. Recerti	fication Date
	Transferant Saller F	ontinue to Yeat Page	and the second of the second o		
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				ATI Form 4	473 (53(N) 9) abor 1916

Resiscal Dotober 2016

Commission of the Commission o	De Completed O) 1	<mark>10-14 Filed 06/04/20 Pa</mark> ransferor/Seller Even II The Firearm	
24. Manufacturer and Importer (If any) (If the manufacturer and importer are different the FF1 must include both)	25 Model (If Designated)	26. Serial Number	27 28 • Type (See Instrumon: Caliber of for Question 27) Gauge
I. Kugi	LCPI	380042/63	15th 38 miles
2.			
3.			
<b>.</b>	7		4
REMINDER - By the Close of Business	Complete ATF Form		andguns Within 5 Consecutive Business Depart of this transaction is a pawn redemption
1. For Use by Licensee (See Instructions ).  3. Trade/corporate name and address of transfer X-XY-XYXXXXX (Hand)  12.42 (See S. P. Auer. S. S. 2.2 M. Quer. S.	insferor/seller and Fe stamp may be used.) her	deral Firearm License Number (Must co	
martisburg 6	UW 577	· · · · · · · · · · · · · · · · · · ·	02-84-01788
The Po	erson Transferring 🖯	The Firearm(5) Must Complete Ques rson Who Completed Section B Mus	
Lecrtify that: (1) I have read and understand true, correct, and complete; and (3) this entire temporarily conducted from a qualifying gan requirements of 18 U.S.C. 922(c). Unless this t Section A (and Section C, if applicable); (2) my	he Notices, Instruction transaction record has show or event in the sar ansaction has been det verification of the iden	s, and Definitions on this ATF Form 4473; been completed at my licensed business pr me State in which the licensed premises is le nied or cancelled, I further certify on the ba tification recorded in question 18 (and my	(2) the information recorded in Sections B and D emises ("licensed premises" includes business

NOTICES, INSTRUCTIONS, AND DEFINITIONS

Purpose of the Form: The information and certification on this form are designed so that a person licensed under 18 U.S.C. 923 may determine it he she may lawfully sell or deliver a firearm to the person identified in Section A, and to afert the transferee/buyer of certain restrictions on the receipt and possession at Irrearms. The transferor/seller of a firearm must determine the lawfulness of the transferor/seller must be familiar with the provisions of 18 U.S.C. 921-931 and the regulations in 27 CFR Parts 478 and 479. In determining the lawfulness of the sale or delivery of a rifle or shotgun to a resident of another State, the transferor/seller is presumed to know the applicable State laws and published ordinances in both the transferor's seller's State and the transferor's buyer's State. (See ATF Publication 5.100.3, State Laws and Published Ordinances).

Generally, ATF Form 4473 must be completed at the licensed business premises when a firearm is transferred over-the-counter. Federal law, 18 U.S.C. 922(c), allows a licensed importer, manufacturer, or dealer to sell a firearm to a nonlicensee who does not appear in person at the licensee's business premises only if the transferred buy ir meets certain requirements. These requirements are set forth in section 922(c), 27 CFR 478 96(b), and ATF Procedure 2013-2.

After the transferor seller has completed the firearms transaction, he she must make the completed, original ATF Form 4473 twhich includes the Notices. General Institutions, and Definitions), and any supporting documents, part of his her permanent records. Such Forms 4473 must be retained for at least 20 years and after that period may be submitted to ATF. Filling may be chronological the date of dispositions, alphabetical thy name of purchasers, or numerical the transferor teen sprint numbers, as long as all of the transferor's seller's completed Forms 4473 are filled in the same number.

FORMS 4473 FOR DENIFO CANCELLED FRANSFERS MUST BE RELAMNED If the transfer of a firearm is denied envelled by MCS, or if on any other reason the transfer is not completed after a NICS check is initiated, the biconsec must return the ATF Form 4475 in his/her records for at least 5 years. Forms 4473 with respect to which a safe, delivery, or transfer did not take place shall be separately returned in alphabetical rhy name of transferse) or chronological thy date of numberee's certification order.

If the transferon/seiter or the transferor buyer discovers that an ATF Form 4473 is meoniplete or improperly completed after the firearm has been transferred, and the transferor seller or the transferor buyer wishes to correct the antission(s) or error(s), photocopy the inaccurate form and make any necessary additions or revisions to the photocopy. The transferor seller should only make changes to Sections B and D. The transferor buyer should only make changes to Section A and C. Whoever made the changes should initial and date the changes. The corrected photocopy should be attached to the original Form 4473 and retained as part of the transferor syseller's permanent cecords.

Exportation of Firearms: The State or Commerce Departments may require a tirearms exporter to obtain a license prior to export. Warning: Any person who exports a licensu without proper authorization may be fined not more than \$1,000,000 and or apprisoned for not more than 20 years. See 22 U.S.C. 2778(c).

#### Section A

The transferee-buyer must personally complete Section A of this form and certify (vign) that the answers are true, correct, and complete. However, it the transferee buyer is unable to read and/or write, the answers tother than the vignature) may be completed by another person, excluding the transferor/seller. Two persons tother than the transferor/seller) must then sign as witnesses to the transferee's/buyer's answers and signature/certification in question 14.

ATF Form 4473 (5300.9) Revised October 2016

(.8. Department of Justice

Bureau | FAlcohol, Tobacco, Firearms and Explosives |

WARSING: You may not receive a firearm if probit determine whether you are prohibited from receiving et. seq., are punishable by up to 10 years imprisonm	ig a firearm. Certain viol	utionsof the c			L fransfera Transaci Number	ion Sci	řía!
Read the Notices, Instructions, and Definitions on th includes business temporarily conducted from a quality located) unless the transaction qualifies under 18 U.S.	fying gan show or event in	the same Statest be handwr	e in schich the lit itten in ink. "Pi	rensed premises is LEASE PRINT."	55	4(	)
Transferce': Buyer's Full Name the legal name:	•	•	or the missal. If	•	пате, елена	/ W ::	<i>j</i>
School State of Residence and Address (U.S. I	Konul-L Pastal abbreviations are	acceptable.	Cannot be a pr	920132 St office how.)		roger - re-pri page - r- print) - r	ss.
Number and Street Address	Control of the contro		Loun	Ŋ	Sime 1	ZTC.	ule:
Redacted 3 Place of Birth	martinsby	CS  5 Weigh	16 Sept 2+	S D	[ZQV]	2.5	110
U.S. Cit; and State -OR- Poreign Cou	. 3	190	✓ Male Female	Month   E	Redacted	.1F	
3 Social Security Number (Optional, but will help pro	Sent at alentification	19. Unique	Personal Identify New Question 9	ication Munther 27		lu täer	
Redacted	mos w memory, where	_l ne or mor∉ r	uce in 14,6. Bo	th 10.a. and 10.b. m	ust be answere	il.)	****
Hispanic or Latino   American Indi	an or Aig-Lo Mouse   🔀	*	icar Americae alian or Other P	2	White		
11. Answer the following questions by cheeking or i	naking "res" or "no" in	the boxes to	the right of the s	nestions.	erroren artifera erroraktion i saturatus rarinamente errora	Yes	No
a Are you the actual transfered buyer of the freezn are acquiring the firearm(s) on behalf of anor							
the Breatings to you. Execution If you are ple and now proceed to question P.b. (See Insures)	cking up a repaired firem					RI   RI	
	<ol> <li>Are you under indictivate or information in any court for a falony, or any other crime for which the takes could imprise a you for more than one year? (See instructions for Quartics 11-6).</li> </ol>						
e. His comments been consisted in my court of a than one year, even if you received a shorter sen					igo inemi		
d. Are your figitive from justice: (See Institute)	e for Question (Lg)						
e. Are you an unlaw ful user of, or addicted to, mar Warning: The use or possession of marijuans decriminalized for medicinal or recreational p	cremains unlawful unde	r Federal ta	regardless of				3
This e you ever been adjudicated as a mental defe for Question 11.5.1				istitution? (See Insi	P(a)/p:0)\s		B
g Have you been discharged from the Armed Fore	es inder dishanorable e	onditions!			na international subsettle and province constitution of		[3]
h. Are you subject to a court order restraining you such partner? (See Instructions for Question 1).		or threatening	your child or a	intimate partner of	r child of		
i Have you ever been convicted in any count of a	misdemeanor crime of do	mestic violei	ace 1. 7 Nec 1918 age	Dom bu Question	11:		卤
12 a Country of Chizenship a Check Pist more than	rona Bepplicable. Sala	male of the E	huned State med	. Hech L' 5 1)			Steer Car at Navadian
United States of America (U.S.4)	Wher Country Countries p.	Special in	Jama	C.S.	and the second	- ;	
12 b Have you ever renounced your United Stat	es cinzenship?	annes and a second				Ves   □	No.
12.c. Are you an alien illegally or unlawfully in		papermining agreement and methods and other fields a de-	that with a selection the energy payment of every constant described by				園
12.d.1 Are you an alien who has been admitted to		полининута	m∈sisa^ ≀Scelle	iructions for Qiksii	on 12 ii -		函
12 d.2. If "yes", do you fall within any of the exce	ptions stated in the instru	ctions?		and the selection of th	IN VA		T
13. If you are an afien, record your U.SIssued Afien	or Admission number (AR	ta USC/St. o	1945) Db.	2-492-8	28		
Previous Editions Are Obsolete Page 1 of 6	Transferee/Buyer Co STAPLE IF PAGES BE				[[ dm   F - ] ]]   d   d   b - ]	- 1 ,	and an electrical state of the

Case 3:19-cv-00208-GMG D	ocument 10-14 F	Filed 06/04/20 Pag	ge 35 of 1	L11 Pagel	D #: 505
Lertify that my answers in Section A are true, corrected 4473. Lunderstand that answering "yes" to question may also violate State and/or local law. Lunderstand is prohibited from purchasing or receiving a firearm possessing a firearm, unless the person answers "yes any false-oral or written statement, or exhibiting any felony under Federal law, and may also violate State	ect, and complete. I have r i 11.a, if I am not the actus I that a person who answe i. I understand that a pers " to question 12.d.2, and p false or misrepresented in and/or local law. I furthe	read and understand the North transferce/buyer is a criming a criming the question who answers "yes" to querovides the documentation that the repetion that the repetion	fices. Instruct e panistrable c ons 11.b. thro estion 12.d.t. required in 18 this transaction tive purchase	ions, and Definius a felony under ugh 11.1 and or is prohibited fo i.e. I also under on, is a crime, po of firearms for	itions on ATF1 oroiser Federal law, and 12.6, through 12.6, om receiving or estand that making unishable as a
resale for livelihood and profit without a Federal fire	garms license is a violation	of Federal law. (See Insti	uctions for <u>C</u>	Juestian 14.)	
14. Transferee's/Buyer's Signature				15. Certificati	
Monute Jacks	0-		alanda arana, maka menderi mana Tan Malika Bili denerbih dibeka Tan Sebaghi	6/15	<u> </u>
Sec	etion B - Must Be Compl	cted By Transferor/Seller	71 2		
16. Type of firearm(s) to be transferred <i>(check or mo</i>	ark all that apply):	17. It transfer is at a quan	thug ann sno		
	wm (frame, receiver, etc. righs for Question 16.)	Name of Function:  City, State:			
18.a.Identification te.g., Virginia Driver's license (I Issuing Authority and Type of Identification	A DLs or other valid gove   Number on Identification	rument-is sied photo identifi	cution.) (See Expiration D Month	ate of Identifie:	r Question 18 o. r mon tif anvi TYear
WVDL	F7760	7		12	í
18.b. Supplemental Government Issued Documentat Onestion 18 b.)	ion tif identification docu	nent does not show current	rexidence ada	tress) (See Inst	ructions for
Questions 19, 20, or 21 Must Be Complete  19.a. Date the transferee's buyer's identifying inform transmitted to NICS or the appropriate State ag    Month   Day   Year   O     Do      19.c. The response initially (first) provided by NICS agency was.   Proceed   Delayed     Demod   O   O     Cancelled   O   O     Cancelled   O   O     O   O     O   O     O   O	nation in Section A was beney."  or the appropriate State	19 b. The NICS or State to the NICS of State to the NICS of State to the NICS of State age.	ransaction nur	re later recoived  (date)	edi was
Amount / / /		No response was pr			\$
19.e. (Complete if applicable). After the firearm wa		e response was received fin Denied Canes		e appropriate S	taje ugancy om
19.f. The name and Brady identification number of frame?  (name) 20 No NICS check was required because a book.	the MCS examiner. (Optional)	ionath 19 g. Name of FFL			
the NFA firearm(s), as reflected on the app No NICS check was required because the as an exemption to NICS. (See Instruction	proved NEX application of transferce buyer has a va- nex for Question 21 (	See Instructions for Questi- lid permit from the State wh	on 20.1 Here the transi	er is to take pla	ce which qualifies
Issuing State and Permit Type Dote	of Issuance (if any)	Expiration Date (# any)	re	rmit Number /r	e serieto
Section ( Section to the transfer of the firearm(s) takes place on a diffe Section C immediately prior to the transfer of the fir Legiff that my answers to the questions in Section	rent day from the date tha earm(3). TSee Instructions	for Question 22 and 23.i	l Section A. ti	ne transferee bu	yer must complete
22. <u>Fransferee's Buyer's Signature</u>		g.	ar Januarian garagang pagaint han men O melinandan dan ekselli S disebugi	23. Recertific	ation Date
777 X				6/16	114
Pec1559-	Transferor/Seller Co	ntinue to Next Page			
	STAPLE IF PAGES BE	<del>-</del>			
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Resised Details, 1916

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	THE STATE OF THE S			(S) IS ADDITIONAL TEN	
24. Manufacturer and Importer (If an ) (If the manufacturer and importer are different the FFL must include both)	25. Model (If Designated)		26 Number	Type (See Instru for Question :	
1 Ault	PTHIGA	TKNO	7059	Pistal	1 mm
2.		7			7,111
3.				THE STATE OF THE S	
4.				,	
REMINDER - By the Close of Business		·			
29. Total Number of Firearms Transferred		rinting e.g., zero,		part of this transaction is	
one, two three, esc. Do not use numer			Line Nu	mber(s) From Question 2	14 Above:
31. For Use by Licensee (See Instructions)		And transfer data products of the same		ransaction is to facilitate	
· · · · · · · · · · · · · · · · · · ·	-6567		L. more	ractions for Question 32.	
33. Trade corporate name and address of tr		eral Firearm License	Number /Must co.	main at least first three a	nd last five digits
OFFI. Number 1-18-1881 Color Home FRAZIER'S PALE 922 N. Queen	mother				
martinsburg	W.Cb 25	<i>1</i> 04	455-00	3-02-84-0	1768
The P For Denied/Cancelled	erson Transferring T Transactions, the Per				4-36.
I certify that: (1) I have read and understand			en		
true, correct, and complete; and (3) this entire temporarily conducted from a qualifying gun- requirements of 18 U.S.C. 922(c). Unless this t Section A (and Section C, if applicable); (2) my was completed); and (3) State or local law appli- dispose of the firearm(s) fisted on this form to t	show or event in the sam ransaction has been deni verification of the identi icable to the firearms bus	e State in which the lic ied or cancelled, I furtl fication recorded in qu siness — it is my belief	ensed premises is lo er certify on the ba estion 18 (and my i	cated) unless this transacti sis of — (1) the transferee': re-verification at the time o	on has met the s/buyer's responses in f transfer, <i>if Section C</i>
34. Transferor's/Seller's Mamy (Please pr		- marine and the second	36. Transl	eror's Seller's Title	37. Date Transferred
William L Hottman I	Wille	2/4/1/2	SAL		6-16
NOTICES, INSTRUCTIONS, AN	D DEFINITIONS	// FORMS	473 FOR DENIED	CANCELLED TRANSFER	S MUST BE RETAINED:
				anied cancelled by NCS, or	
Purpose of the Form: The information and zert designed so that a person been sed under 18 U.S.				lter a NICS check is inhibited records for at least 5 years.	
may lawfully sell or deliver a firearm to the perso		and to which a	sale, delivery, in tra	insfer did not take place shal	If he separately retained
to alen the transferee/buyer of certain restrictions of firearms. The transferor seller of a firearm min	st determine the law folnes	ड भ - इल्लाफ़ेटाक		insfereer or chronological $ec{r}$ .	y date of transferers
the transaction and maintain proper records of the transferor/seller must be familiar with the provisi-	·	*	deroriseller or the tr	ansferee bayer discovers the	u an ATF Form 4473 is
the regulations in 27 CFR Parts 478 and 479. In a	determining the law fulues	s of incomplet	e or improperly com	pleted after the firearm has t	neen transferred, and the
the sale or delivery of a tifle or shotgun to a resid- transferor/selfer is presumed to know the applicat-				corbuyer wishes to correct that form and make any nece	
ordinances in both the transferor's seller's State a State (Sec. ATF Publication 3300-5, State Laws c	nd the transferee's/huyer's	s revisions ( .) Sections E	o the photocopy. The and D. The transfe	are roun and make any nective transferon/seller should on ree buyer should only make a should mitial and date the c	ily make changes to changes to Section A and
Generally, ATF Form 4473 must be completed at when a firearm is transferred over-the-counter. For allows a licensed importer, munufacturer, or dealer	ederal law, 18 (J.S.C. 922)	nises photocopy c), transferor		to the original Form 4473 ar	
consec who does not appear in person at the been if the transferoe/buyer meets certain requirements forth in section 922(c), 27 CFR 478.96(b), and Al	sec's husiness premises or . These requirements are FF Procedure 2013-2.	nly Exportati set lirearms e ports a fire and or imp	sporter to obtain a li- arm without proper	e State or Commerce Departense prior to export. Warn authorization may be fined a than 20 years. See 22 U.S.	ting: Any person who ex- not more than \$1,000,000
After the transferor/seller has completed the firear make the completed original ATF Form 4473 (n.f.				Section A	

answers and signature/certification in question 14.

ATF Form 4473 (3300.9)
Revised October 2016

The transferee/buyer must personally complete Section A of this form and certify

(xign) that the answers are true, correct, and complete. However, if the transferee

buyer is unable to read and/or write, the answers tother than the signature) may be

completed by another person, excluding the transferor/seller. Two persons (other

than the transferor/seller) must then sign as witnesses to the transferee's buyer's

4473 are filed in the same manner.

eral Instructions, and Definitions), and any supporting documents, part of his/her permanent records. Such Forms 4473 must be retained for at least 20 years and

after that period may be submitted to ATF. Filing may be chronological the date

of disposition), alphabetical thy name of purchaser), or numerical (by transac-

tion serial number), as long as all of the transferor's seller's completed forms

### 1.S. DCase 3:19-cv-00208-GMG Document 10-14 Filed 06/04/20 Page 37 of 111 PageID #:\5070 0010

Burne Makrobel, Tabacco, Fireirms and Explosites

k <mark>besidister</mark> g on a composition as a constant	arm If prohibited by Federal or State law. The information you provide that receiving a florator. Certain violations of the Coan Common Act. of Imprisonment and/or up to a \$250,000 fine.	HACYT Bank		10.00
Read the Norices, Instructions, and Defi includes business temporarily conducted	nitions on this form. Prepare in original only at the licensed premises of from a qualifying yan show or event in the same State in which the licens ander 18 U.S.C. 922(c). All entries must be handwritten in ink. "PLE-Section A - Must Be Completed Personally By Transferee/Buye	"licensed premises" sed premises is ASE PRINT."  5	<u>54</u> .	5/
i - Transferee's Buyer's Full Name (f) Last Name (Including saffix to g), In Sn	eyat mane contains an jaitest only, record "10" after the initial. If go t		NAV.	.7
Folkins Current State of Residence and Ada Number and Street Address Redacte	ress (U.S. Postal abbreviations are acceptable. Cannot be a post of the Country o		Zir ci	
3 Place of Right U.S., City and State OR- 11	breign Country Pr 6 (Librar 17 March	l. Birth Date	21/25/	
RichLANORS	The second secon	Redact	ed	
Redac	tec (Instructions for Question)	 1981 Уливес (2 1777) и врриевс	ile / tury	
	ace IIn addition to eithnicity, select one or more race in 10.6. Both I	the state of the s	2(1.)	
Hispanic or United Ar	nerican Indian of Alaska Nariy.   Block of African American inn   Native Havanon of Other Pacif	i - Islandor		
11. Answer the following questions by e	weeking or markang "res" or "no" in the barkes to the rotat of the cours	operations and the second of the second second [1] [1] [1] [1]	Tres	TNA
<ol> <li>Are you the actual manalence buyer;</li> </ol>	I the begarnes) listed on this form! Warning: You are not the actual of another person. If you are not the actual transferge/buyer.	al transferee/huyer if you		
the fivearm(s) to you. Everytien, If and may provided to marriage II h. (8)	you are packing up a repaired firearm(s) for canoner person, con ac- ee fastine team for Question Peace	not readined to an even Hele.	آسلي	
b Are you under indicasses, or informa- more ibno one vent? The Decime of	tion in any court for a felony, or any other crime for which the pulge of the Question 14.8 c	asald amprison you its		
e. Have von ever been convicted in any than one year, even if you received a	court of a felony, a any other crime for which the peans could be a stronger scorence including probation? (See Intercentials for this one)	Branco rocky out the more		I I I I I I I I I I I I I I I I I I I
d. Am you a fagiths, from pisth of the	$fusion(s,w) \stackrel{def}{=} s(g) words(f(w))$			
<ul> <li>Warning: The use or possession of</li> </ul>	and to mornium or my depression, stimulant, in received rag, or one or marijuana remains unlawful under Federal law regardless of whi reational purposes in the state where you reside.	fler controlled substance" wher it has been legalized or		-
f Have you ever been adjusticated as a 200 Question 11 f i	mental defective OR have you ever been committed to a mental instit	ation" (See Instrumt) on		
	erned Forces under dishonorable conditions?			
h. Are you subject to a court briler restr such partner! (See Justi a time for t	sining you from harassing scalking, or the straing your child or an induction $P(n)$	inate parties of child of		
i. Have you ever been convicted in any	court of a misdemeanor crime of domestic violence? 1862 Instruction	as per Question (C) e		
12.a. Country of Citizenship: (Check(L), United States of America (L)S,A	Critics than one, it applicable - Nationals of the United States may also the Tail Other Country Countries a Smoother	38 5 S 4 1	-4	on an employment german
12 b. Have you over renounced your	United States citizenship?		Ves	
i2.c. Are you an atten iflegally or ar	1		111	
12.d.( Are you an alien who has been	admitted to the United States under a nonnumigram x (a) See Institu	tions for Question (2,0)		
12.d.2. 16 yes', do you fall within any	of the exceptions stated in the instructions."	<u>Libert</u>		
	sued Alien or Admission number (AR=, L SCIS=, or 194=);			
Previous Editions Arz Obsolete Page 1 of 6	Transferee/Buyer Continue to Next Page STAPLE IF PAGES RECOMF SEPARATED	AFF Form 4473 : 1 : 6	* -/	

4473. Lunderstand that auswering "yes" to question may also violate State and or local law. Lunderstand is prohibited from purchasing or receiving a firearm	H.a. if I am not the actual that a person who answe I understand that a pers	d trunsferee/buyer is a crin rs "yes" to any of the quest on who answers "yes" to q	ie punishable a lions 11.b. throi uestion 12.d.t.	ign 11.1 ameror 1 is prohibited fro	m receiving or
possessing a firearm, unless the person answers "yes my false oral or written statement, or exhibiting any felony under Federal law, and may also violate State resale for livelihood and profit without a Federal fire	title or misrepresented it and or local law. I furthe	tentification with respect to r understand that the repe	ram transcu Hiive purchase	nt, is a count for the	HINTERN AND A
14. Transferge's Buyer's Stanature	MU	ah		15. Certification	n Daile 12017
	The second secon	eted By Transferor/Seller			
16. Type of firearm(s) to be transferred tcheek or me		17 If transfer is at a qual	lifying gun sho	a or event;	
Handgun Long Gun Other Firen See Instruc- shorgunsi	em (frame, receiver, etc. tions for Questian 16.)	Name of Function:			
18.a. Identification to g., Virginia Driver's license of Issuing Authority and Type of Identification	J <i>DL) ar other valid gove</i> Number on Idemification	rament issued photo identi	ท้องเทียดร.ย (850	Instructions for are of Identificat	Question Pear
	EZITI		[07	1 1	2020
18.b Supplemental Government Issued Documental Question 18.b (	iow of identification doesn	went does not show curten	t residem v taki	hessi (See lustri	actions for
18.c Exception to the Nonimmigrant Alien Prohibit documentation showing the exception to the pr	ion. If the transferee buy confidence and artisch a cop	n answered "YES" to 12.d y to this ATF Form 4473	2. the transfer (See Instruction	wiseller must rec as far Question	road the type of 18 c.)
19.5 Date the transferee's buyer's identifying inform transmitted to NICS or the appropriate State as    Month	neney:	1999 F.	51050		
19.e. The response initially (first) provided by NICS agency was:    Proceed   Delayed     Denied   The firearm(s) may h.     Cancelled   J.S.		appropriate State as Proceed Denied Cancelled	geney:	(slate)	Oversmed
19.e. (Complete of applicable). After the fireway is a tdown.	Î Proesed	Denied Can	rom MCS or th celled	к аручортак 8н	rge affauch ou.
19.6. The name and Brady identification number of	the NICS examiner. (Op)	thmali 19.g. Kame of FF	t Employee Co		
20 No NICS check was required because a bithe NFA firearm(s), as reflected on the ap-	area ad N.C.A. analyzation —	i Sasi Justinii arana far Onasi	osna 2014		
as an exemption to NICS. (See instruct)	r transitive bayer nos it sa ins for Question 21.7 of Essuance 717 mgs	. Expiration Pate (it on)		emic Sumber (d	
	and the second section of the second section of the second section is a second section of the second section of		219	With the state of	* - Tablettik alit for fillme menerolek il fillme para aman pandon e menerolek menerolek menerolek menerolek m
If the transfer of the firening stakes place on a diffe	erent day from the date the cerem(s). (See Instruction	s for Question II and II.i	ed Section A. 1	he transferee/bu	yer must complete
I certify that my answers to the questions in Section 22. Transferee's Payer's Signature	ion A of this form are sti	If true, correct, and comp	olete.	23. Recertifica	nion Date .
	Transferor/Seller Co	ontinue to Next Page	and the second s	( ) 	The wide free algorithms of the 123 feedback of the 124 feedback o

Case 3:19-cv-00208-GMG Document 10-14 Filed 06/04/20 Page 38 of 111 PageID #: 508
Lecrify that my answers in Section A are true, correct, and complete. I have read and understand the Notices, Instructions, and Definitions on ATF Form

ATF Form 4473 (536)(9) Recised October 2016

Case 3/19-cv-00208-GMG Document 10-14 Filed 06/04/20 Page 39 of 111 PageID #: 509 Section D - Must Be Completed By Transferor /Seller Even If The Firearm(s) is Not Transferred 28. 26 Manufacturer and Importer (I) am i (I) the Model Serial Number Type (See Instructions Caliber or manufacturer and suporter are different. 41 Designated) tor Ouesnon 27 i Gauge the EFL must include both i MM 35701E REMINDER - By the Close of Business Complete ATF Form 3310.4 For Multiple Purchases of Handguns Within 5 Consecutive Business Days 29. Total Number of Firearms Transferred Please handwrite by printing e.g., zero, 30 Check if any part of this transaction is a pawn redemption one, two, three, etc. Do not use numerals.) Line Number(s) From Question 24 Above: 31. For Use by Licensee (See Instructions for Question 31.) Check if this transaction is to facilitate a private party transfer. (See Instructions for Question 32.) 33. Trade/corporate name and address of transferor/seller and Federal Firearm License Number (Music antam at least first three and less five digits of FFL Number X-XX-XXXXX2 (Hand stamp may be used.) FRAZIEN PAW Stop 922 N. Queen St. Martinshurg W. Va 25404 4/55-003-02
The Person Transferring The Firearm(s) Must Complete Questions 34-37.

P. Must Complete Compl 455-003-02-84-01768 For Denied/Cancelled Transactions, the Person Who Completed Section B Must Complete Questions 34-36. Lecrtify that: (1) I have read and understand the Notices, Instructions, and Definitions on this ATF Form 4473; (2) the information recorded in Sections B and D is true, correct, and complete; and (3) this entire transaction record has been completed at my licensed business premises ("licensed premises" includes business temporarily conducted from a qualifying gun show or event in the same State in which the licensed premises is located) unless this transaction has met the requirements of 18 U.S.C. 922(c). Unless this transaction has been denied or cancelled, I further certify on the basis of — (1) the transferee's/buyer's responses in Section A (and Section C, if applicable): (2) my verification of the identification recorded in question 18 (and my re-verification at the time of transfer, if Section C was completed); and (3) State or local law applicable to the firearms business — it is my belief that it is not unlawful for me to sell, deliver, transport, or otherwise dispose of the firearm(s) listed on this form to the person identified in Section A. Transferor's/Seller's Name Mease print) 35. Transfeyor's/Seller's Signal fre Transferor's/Seller's Title 37. Date Transferred FORMS 4473 FOR DENIED/CANCELLED TRANSFERS MEST BE RETAINED. If the transfer of a firearm is denied cancelled by NICS, or if for any other reason the transfer is not completed after a NICS check is initiated, the licensec must retain Purpose of the Form: The information and certification on this form are designed so that a person beensed under 18 U.S.C. 923 may determine if he she the ATF Form 4473 in his her records for at least 5 years. Forms 4473 with respect may lawfully sell or deliver a finarm to the person identified in Section A, and to which a sale, delivery, or transfer did not take place shall be separately retained in alphabetical (by name of transferce) or chronological (by date of transferce). to alert the transferee buyer of certain restrictions on the receipt and possession of firearms. The transferor selier of a firearm must determine the lawfulness of certifications order. the transaction and maintain proper records of the transaction. Consequently, the transferor seller must be familiar with the provisions of 18 U.S.C. 921-931 and If the transferor seller or the transferee buyer discovers that an ATF Form 4473 is the regulations in 27 CFR Parts 478 and 479. In determining the lawfulness of incomplete or improperly completed after the firearm has been transferred, and the transferor/seller or the transferee/buy or wishes to currect the omission(s) or the sale or delivery of a rifle or shotgun to a resident of another State, the transferor seller is presumed to know the applicable State laws and published error(s), photocopy the inaccurate form and make any necessary additions or revisions to the photocopy. The transferor seller should only make changes to ordinances in both the transferor's seller's State and the transferee's buyer's State, (Sec. 177 Publication 5300 5, State Laws and Pairlished Ordinances): Sections B and D. The transferee buyer should only make changes to Section A and C. Whoever made the changes should initial and date the changes. The corrected photocopy should be attached to the original Form 4473 and retained as part of the Generally, ATL Lorm 4473 must be completed at the licensed business premises transferor's/seller's permanent records. when a firearm is transferred over-the-counter. Federal law, 18 U.S.C. 922(c). allows a licensed importer, manufacturer, or dealer to sell a firearm to a nonlicansee who does not appear in person at the heensae's business premises only Exportation of Firearms: The State or Commerce Departments may require a if the transferee buyer meets certain requirements. These requirements are set firearms exporter to obtain a license prior to export. Warning: Any person who exforth in section 922(e), 27 CFR 478 96(b), and ATF Procedure 2013-2.

ports a firearm without proper authorization-may be fined not more than \$1,000,000 and or imprisoned for not more than 20 years. See 22 U.S.C. 2778(c).

#### Section A

The transferee/buyer must personally complete Section A of this form and certify (sign) that the answers are true, correct, and complete. However, if the transferee buyer is unable to read and/or write, the answers tother than the signature) may be completed by another person, excluding the transferor/seller. Two persons tother than the transferor/seller) must then sign as witnesses to the transferoe's buyer's answers and signature/certification in question 14.

> ATF Form 1473 (5300 9) Revised Domber 2016

4473 are filed in the same manner.

After the transferor seller has completed the firearms transaction, he she must make the completed, original ATF Form 4473 (which includes the Notices, Gen-

eral Instructions, and Definitions), and any supporting documents, part of his/her permanent records. Such Forms 4473 must be retained for at least 20 years and

after that period may be submitted to ATF. Filing may be chronological thy dais

of disposition), alphabetical (by name of purchaser), or numerical (by transac-

tion serial numbers, as long as all of the transferor's/seller's completed Forms

Page Lofb

Bureau of Alcohol. Tobacco, Firearms and Explosities

### Firearms Transaction Record

determine whether you are prohibited from receiving a tirearm. Certain violations of the Gun Control Act, 184-8.C. 271 Consact	r's/Seller's ten.Senal r (If am)	
Read the Notices, Instructions, and Definitions on this form. Prepare in original only of the licensed premises collected premises of includes business temporarily conducted from a qualifying gun show or event in the same State in which the licensed premises is located) unless the transaction qualifies under 18 U.S.C. 922(c). All entries must be handwritten in ink. "PLEASE PRINT."  Section A - Must Be Completed Personally By Transferee/Buyer	5/5	<u>)</u>
Transferer's Buyer's Full Name (If legal name contains an initial only, record '10" after the initial If no middle initial or name, record '1.  Last Name (Inchalung suffix to g., Ir. Sr. II. III)  LOOG  DOCTOVOS  DOCTOVOS	VWV ".)	
2 Current Latic of Residence and Address (U.S. Postal abbreviations are acceptable. Cannot be a post office box.)  Number and Street Address  Redacted  Adar Hastung  Berekbey  WW	ZIP Code	04
3. Place of Birth  E.S. City and State  -OR - Foreign Country  In 11   S   Weight 6 Sex 7 Birth Date    Day   Ye	d /	
Redacted To a. Linniens  To be the pairs of Launo  American Indian of Alaska Naive Males of African American  National Department of Control of States and Department of Control of Control of States and Department of Control of Co	(d.)	
It Answer the following questions by checking or marking "yev" or "no" in the boxes to the right of the questions.  The you the actual transferse payer of the firenants listed on this form? Warning: You are not the actual transferse buyer if you are acquiring the firenam(s) on behalf of another person. If you are not the actual transferse/buyer, the licensee cannot transfer the firenam(s) to you. Livepton If you are picking up a repaired firenam(s) for another person, you are not expliced to answer It is, not may be exceed to quest in the 18se has increase for Question II as	Yes N	
<ul> <li>And you hader indicament or information in any court for a feltons, or any other crime for which the furge could imprise two for another it an one year for a feltony for any other crime for which the judge could have emprised you for more.</li> <li>Howe you ever been convicted in any court of a feltony for any other crime for which the judge could have emprised you for more.</li> </ul>	1	
than one year to mid you recrused a shorter senioner including probation? (See First methods for Queets in 17) is  d. Are you'd lugary, from postice? (See Instructions for Queets ), (Ed.)		
e Are you an individe user of, or addicted by reactions or any depressant, stimulant, increase drug, or any offer controlled substance? Warming: The use or possession of marijuants remains unlawful under Federal law regardless of whether it has been legalized or decriminalized for medicinal or recreational purposes in the state where you reside.		
Have you ever been adjudicated as a mental defective OR have you ever been committed to a moutal sustainable. ** ** ** ** ** ** ** ** ** ** ** ** **		
For you subject to a court order restraining you from horassing stabling, or threatening your child or an infimate portner or child or such parmer in Section where the Question 11 h. )		
1. Have you ever been convicted many count of a misdemeanor ecime of domestic violence? (See Instructions for Quarter 11.1)	d	
12.a. Country of Chizenship. (Cheek List more than one. if applicable). Valiands of the United States may cheek (+S.1)  [ United States of America (+S.1)	TVIIN	Ta 7
12 b Have you ever renounced your United States citizenship?		
12 c. Are you an alien illegally or unlawfully in the United States?  12.d.1 Are you an alien who has been admitted to the United States under a nonimmigrant visa? (See Instructions for Question 12.d.)  12.d.2. If "yes", do you fall within any of the exceptions stated in the instructions?		計
13. If you are an alien, record your 1. SIssued Alien or Admission number (AR#, USCIS#, or 1949):	والمنافقة	
Previous Editions Are Obsolete Transferee/Buyer Continue to Next Page ATE Form 4472 (230)	(), () }	

STAPLE IF PAGES BECOME SEPARATED

Revised October 1916

Case 3:19-cv-00208-GMG Document 10-14 Fine that my answers in Section A are true, correct, and complete. I have resulted that answering "yes" to question 11.a. if I am not the actual may also violate State and or local law. I understand that a person who answer is prohibited from purchasing or receiving a firearm. I understand that a person possessing a firearm, unless the person answers "yes" to question 12.d.2, and plany lake oral or written statement, or exhibiting may faise or universepresented in felony under Federal law, and may also violate State and/or local law. I further resale for livelihood and profit without a Federal firearmy license is a violation	end and understand the Sotices, Instructions, and Definitions on ATF Form I transferee/bayer is a crime punishable as a felony under Federal law, and rs "yes" to any of the questions 11.b. through 11.i and or 12.b. through 12.c. on who answers "yes" to question 12.d l. is prohibited from receiving or royides the documentation required in 18.c. I also understand that making tentification with respect to this franshaulture become qualitable as a runderstand that the repetitive purchase of firearms for the purpose of of Federal law. (See Instructions for Question 14.)
14. Transferee's Buyer's Signature	15. Certification Date
Section B - Must Be Comple  16 Type of firearm(s) to be transferred tehzek as mark all that apply:	ered By Transferor/Seller  17 If transfer is at a qualifying gun show or event:
Handgun Long Gun Other Firearm (frame receiver, etc.  Sue Instructions for Question 1/2.)	Name of Function:
Aborganse  18 a Identification is g. Virginio Driver's floores (134 DL) to other with I giother testing Authority and Type of Identification  Number on Identification	Menth Day Year
18.b Supplemental Government Issued Documentation ell admillication document	68 9 26 19
18.b Supplemental Government Issued Documentation ell alembication documentation to his	nem the same show encrent resultance address). (See Instructions for
18 c. Exception to the Nonimmigrant Alien Profubition: If the transferee buyer documentation showing the exception to the profibition and attach a cop-	r miss and "YES" to 12 d.2, the termsferon soller maist record the type of gite this ATT Form 4473. "See hostinetiens for Question 18 ca
Questions 19, 20, or 21 Must Be Completed Prior In The Transfer (9.a Date the imasteree's buyer's alcoutfying information in Section A was transmitted to NICS or the appropriate State agency	Of The Firearmits) (See Instructions for Questions 19, 20 and 27) (19 b) The NICS or State transaction mumber (if provided) was
Month Day Your 2017	C. VIV. wife
19 2. The response initially (first) provided by NFCS or the appropriate State agency was	19.d. The following responsers: wastwere later received from NICS or the appropriate State agency:
Program  Defined  Defined  The present of many has a section of a section of the	Proceed adate Overturned Denied adate
Cancelled The Cancelled	Carcellea tilare
19.e. (Complete if applicable.) After the firearch was transferred, the following ideas:  [10.1] The name and Brady ideasification number of the NICS examiner (Org.)	g response was received from NICS or the appropriate State agency on Theorem 1 Cancelled
19.1 The name and Brady identification number of the NICS examinen (1) of	than II   19 g. Name of FTL Employee Completing SICS check. It intomits
$P(\partial W) = -\frac{(\partial W)^2}{(\partial W)^2}$	the state of the second
Thank?  20 No NiCS check was required because a background check was contained the NFA firearm(s) as reflected on the approved NFA application.	Para Distribution Res (Data Nort 2012)
21 No NICS check was required because the transferee buyer has a value as an exemption to NICS. (New historical and for Cherylop II)	Deren Amster iii on
issume State and Permit type    Date of Usuary City on   Date of Usuary City on	Personally By Transferee/Buyer
If the transfer of the fireaph(s) takes place on a different day from the date the Section C immediately prior to the transfer of the fireaph(s). (See Instruction	n the transferee buyer signed Section A, the transferee only of fifthe complete is for Question 22 and 23.)
I certify that my answers to the questions in Section A of this form are sti 22. Transferce's Buyer's Signature	11 true, correct, and complete.
	ontinue to Next Page

ATT From 42 (15 obtain Record October 2010

## Case 3 19-cv-00208-GMG Document 10-14 Filed 06/04/20 Page 42 of 111 PageID #: 512

Section D - Ylu	st Be Completed By	Transferor Seller E	en If The Firearm(s) is	Not Transferred	
24. Manufacturer and Importer (If ans) (If the manufacturer and importer are different, the Est, must in the least).		Sern	26. I Number	Type r5ce Instructions for Question 27 r	28. Caliber or Gauge
1. Grlack 2 Sig Speek 3.	27 gm 3 229 Egunsy	WKZG ANI 51	Commercial Services of the Control o	Pistl Pistl	40 grav Stsm
4.  REMINDER - By the Close of Business	Complete ATF For	m 3310.4 For Multip	le Purchases of Handgi	ins Within 5 Consecutiv	e Business Days
29 Total Number of Firearms Transferred one, two, three, etc. Do not use nume.	(Please handwrite b	rrmfing e.g., zero,	30. Check if any part of	this transaction is a pawn s) From Question 24 Abo	redemption
31. For Use by Licensee (See Instructions)	for Question 31.1			etion is to facilitate a priva ons for Question 32.)	ite party transfer
33. Trade/corporate name and address of the of FFL Number A-XX-XXXXX (Ham FRAZION) PALL S 922 N PAZION S	d stamp max he used.			an least first three and last	_
			st Complete Questions : ed Section B Must Com		erengen alle general sent en
I certify that; (1) I have read and understand	the Notices, Instruction	ons, and Definitions on t	is ATF Form 4473; (2) the	information recorded in Se	ctions B and D is

1 certify that; (1) I have read and understand the Notices, Instructions, and Definitions on this ATF Form 4473; (2) the information recorded in Sections B and D is true, correct, and complete; and (3) this entire transaction record has been completed at my licensed business premises ("licensed premises" includes business temporarily conducted from a qualifying gun show or event in the same State in which the licensed premises is located) unless this transaction has met the requirements of 18 U.S.C. 922(c). Unless this transaction has been denied or concelled, I further certify on the basis of — (1) the transferee's/buyer's responses in Section A (and Section C, if applicable); (2) my verification of the identification recorded in question 18 (and my re-verification at the time of transfer, if Section C was completed); and (3) State or local law applicable to the firearms business — it is my helief that it is not unlawful for me to sell, deliver, transport, or otherwise dispose of the firearm(s) listed on this form to the person identified in Section A.

34. Transferor's Seller's Name (Please print) 35. Transferor's Seller's Standard

36. Transferor's Seller's Title

37 Date Transferred

NOTICES, INSTRUCTIONS, AND DEFINITIONS

Purpose of the Form: The information and certification on this form are designed so that a person licensed under 18 U.S.C. 923 may determine if he she may lawfully self or deliver a firearm to the person identified in Section A, and to alert the transferce buyer of certain restrictions on the receipt and possession of firearms. The transferor selfer of a firearm must determine the lawfulness of the transaction and maintain proper records of the transaction. Consequently, the transferor/selfer must be familiar with the provisions of 18 U.S.C. 921-931 and the regulations in 27 CFR Parts 478 and 479. In determining the lawfulness of the sale or delivery of a rifle or shotgan to a resident of another State, the transferor selfer is presumed to know the applicable State laws and published ordinances in both the transferor's selfer's State and the transferor's buyer's State. (See ATF Publication 5306.5. State Laws and Published Ordinances).

Generally, ATF Form 4473 must be completed at the licensed business premises when a firenest is transferred over-the-counter. Federal law, 18 U.S.C. 922(c), allows a licensed importer, manufacturer, or dealer to sell a firearm to a nonlicensee who does not appear in person at the licensee's business premises only if the transferee/buyer meets certain requirements. These requirements are set forth in section 922(c), 27 CFR 478.96(b), and ATF Procedure 2013-2.

After the transferor/seller has completed the firearms transaction, he/she must make the completed, original ATF Form 4473 (which includes the Notices, General Instructions, and Definitions), and any supporting documents, part of his her permanent records. Such Forms 4473 must be retained for at least 20 years and after that period may be submitted to ATF. Filling may be chronological (by date of disposition), alphabetical (by name of purchaser), or numerical (by transaction serial number), as long as all of the transferor's/seller's completed Forms 4473 are filed in the same manner.

FORMS 4473 FOR DENIED/CANCELLED TRANSFIRS MUST BE RETAINED If the transfer of a frearm is denied/cancelled by NICS, or if for any other mason the transfer is not completed after a NICS check is initiated, the licensee must retain the ATF from 4473 in his/her meords for at least 5 years. Forms 4473 with respect to which a sale, flelivery, or transfer did not take place shall be separately remined in alphabetical (by name of transferee) or chronological (b) date of transferee's confication) order.

If the transferor/seller or the transferoe buyer discovers that an ATF Form 4473 is incomplete or improperly completed after the firearm has been transferred, and the transferor seller or the transferoe/buyer wishes to correct the omission(s) or error(s), photocopy the inaccurate form and make any necessary additions or revisions to the photocopy. The transferor seller should only make changes to Sections B and D. The transferoe/buyer should only make changes to Section A and C. Whoever made the changes should mitial and date the changes. The corrected photocopy should be attached to the original Form 4473 and retained as part of the transferor's seller's permanent records.

Exportation of Firearms: The State or Commerce Departments may require a firearms exporter to obtain a license prior to export. Warning: Any person who exports a firearm without proper authorization may be fined not more than \$1,000,000 and/or imprisoned for not more than 20 years. See 22 U.S.C. 2778(c).

#### Section A

The transferee/buyer must personally complete Section A of this form and certify (sign) that the answers are true, correct, and complete. However, if the transferee buyer is unable to read and/or write, the answers (other than the signature) may be completed by another person, excluding the transferor seller. Two persons (other than the transferor/seller) must then sign as witnesses to the transferee's/buyer's answers and signature/certification in question 14.

ATF Form 4473 (5300.9) Revised October 2016

# Case 3:19-cv-00208-GMG Document 10-14 Filed 06/04/20 Page 43 of 111 PageID #:\518\) 10000 Bure at al Alcohol. Tobacco Function, and Explosives Firearms Transaction Record

	y Federal or State law. The information you provide will be used to corn. Cornain violations of the Cum-Control Act, 18 U.S.C. 921	Transferor's Seller's Transaction-Serial
et, seq., are punishable by up to 10 years imprisonment and		Number (It am)
includes business temporarily conducted from a qualifying gra	Prepare in original only at the licensed premises ("licensed premises an show or event in the same State in which the licensed premises is typ). All entries must be handwritten in ink. "PLEASE PRINT"	5.522
Section A - Mu	st Be Completed Personally By Transferee/Buyer	and the second s
Transferee's Bayer's Full Name (Is legal name contains) Last Name. In Juding sail's ε g., Ir Sr. II, III is [First )	Same   And Annual Control of the Indial of monthally initial or n	ame, record (NAIN), e
Long. Du	Wight Octavo	<u> </u>
2 Curded State of Residence and Address (U.S. Pasta) a Number and Street Address (U.S. Pasta) a	tibres Withins are acceptable. Cannot be a post office box.) : Comes	Sens 1710 a.c.
	artinsburg Berkeley	
AN ARCHITICAL ASSESSMENT OF THE PROPERTY OF TH	<u> </u>	- WV 2504
U.S. City and State -OR-   Foreign Country	in & What Islands Ma	edacted
8. Social Society Number Optimal but will help force in	All Statistics of the Linguist Personal Identification removes to the	er a approvence oc
Redacted	Instruction for Question 9.3	
	ethnich, wheet one of more race in 10.5. Both 10.a. and 10.5. mi	
Hispanic of Latine	Jaska Nauve - M Black of Aferens American - Nuive Hawanan 50 Other Pierff: Talander	Main
11. Answer the following questions by che, king or marking	g "yes" or "no" in the beyes to the right of the quastions.	Yes No
	ted on this form? Warning: You are not the actual transferee/buy eson. If you are not the actual transferee/buyer, the licensee can	
the firearmest to you. Exception: If you are picking a	p a repaired finearm(s) to come the openions, not one more expansed to c	
and may proceed to greation of but See Instructions for but Are son under indictment or information in any court for	gerstam ti u i era felany arang other teme for a luch dic judges, old imprison y	n for
ii) Advision on the manufacture of the angle of the properties		'''''''   M   C
	or any other crime for which the judge could have imprisoned your facilities probation of $(S_{n},h_{n})$ to the $n\in S_{n}$ graph of $(G_{n})$ .	Griner: . M [.]
A. Arz you - fugitive from motice? (See Insert trens for Q	Reservant Health	
	or my depression, sometant pair our drug, or any other controlled se ins unlawful under Federal law regardless or whether it has been	
·	OR lines from ever been consmitted to a mean Constitution" $B \otimes han$	ordine d c
g – Hore you been discharged from the Armed Forces and:	redishonocable conducts?	
b. And you subject to a court order restraining confront to such partner? (See Instructions for Question (I. i)).	aussing, stailing or threatening pour child or an uniorate partier or	empt vi
i. Have you ever been convicted in any court of a mission	nedvar ar hie bi dinnessic violence". (No. 169 ma nors for Qr., 116n i	
12.a Country of Cirizenship: (Check List more then 1816)	Complicante Normals of the United Sourcemen shock (CSA)	
[ ] United States of America (U.S. D		
		Yes No
12.6 Have you ever renounced your United States only		
<ul><li>12 c. Are you an alien illegally or unlawfully in the Ur</li><li>12 d.1. Are you an alien who has been admitted to the Ur</li></ul>	uned States! uned States under a nonminigrant visa!! 15ce Instructions for Questio	
12.d.2 If "yes", do you fall within any of the exceptions		
13. If you are an alien, record your U.S. Assued Alien or Adm	and again many groups and many and an account of the standard and against the standard and account of the standard	ا ما المحمد المح
	en and a second control of the contr	F Fram 4-73 (5300.9)
		r man 44 5 (200), n siscal October 2016

Case 3:19-cv-00208-GMG D I certify that my answers in Section A are true, correct 4473. I understand that answering "yes" to question may also violate State and/or local law. I understand is prohibited from purchasing or receiving a firearm, possessing a firearm, unless the person answers "yes" any false oral or written statement, or exhibiting any felony under Federal law, and may also violate State resale for livelihood and profit without a Federal fire	That if I am not the actual that a person who answer I understand that a person to question 12.d.2, and printer under local law. I further and/or local law. I further	transferee/buyer is a crude s'yes" to any of the question who answers "yes" to que to vides the documentation a confiscation with respect to understand that the repetit	ons 11.b. through 11.i and or testion 12.d.l. is prohibited for required in 18.c. I also unde this transaction is a crime p this transaction is a crime p	12.b. through 12.c. on receiving or restand that making unishable as a the purpose of
14. Transferee's/Buyer's Signature			1./3	/11 =
X J	tion B - Must Be Comple	oted Ry Transferor/Seller	14/1	4
16. Type of firearm(s) to be transferred <i>(check or ma</i>	the second secon	17. If transfer is at a quali	fying gun show or event:	1
		Name of Function:		15
Handgun Long Gun Other Firea See Instruc-		City, State:		3
18.a.Identification te.g., Virginia Driver's license (V	4 UL) or other valul gover	mment-issued photo identif	Geation.) (See Instructions fi	w Question 18.a.)
Issuing Authority and Type of Identification	Number on Identification		Expiration Date of Identifie  Month   Day	Year 3
WIVDL	F744	068	09 26	2019
18.b. Supplemental Government Issued Documental Ouestion 18.b.:	ion (if identification docum	iem does not show current	residence address) (See his	tructions for
18.c. Exception to the Nonimmigrant Alien Prohibition documentation showing the exception to the properties of the Prohibition	obibition and attach a cop	Of The Fivenem(s) 1See 1	Instructions for Questions 15	7. 20 and 27.3
19.a. Date the transferee's buyer's identifying inform transmitted to NICS or the appropriate State ag    Month   Day   Year	ration in Section A was	19.b. The NICS or State of	transaction number <i>(if provi</i> e	led) was:
06 03 307	a ann aidean a a a a a ann an a a ann an an ann an a			J. Comma MICC on the
19.c. The response initially (first) provided by NICS	or the appropriate State	19.d. The following responsible appropriate State ag	nnse(s) was were later receive enev	At Bourdard 2 of the
agency was:			<i>(सेवार)</i>	[] (Nerturned
Proceed Delayed   Denied   The fivermess may be	transferred on	Denied	iday)	
Cancelled 1/ 3/	are low permits coptionali)	Cancelled	tikiti)	1
turner (			novided within 3 business da	
19.e. (Complete if applicable ). After the firearm wa		g response was received for	am NICS or the appropriate	State agency on:
		Denied Canc		15 de sale > Electrica de la 15
19.1. The name and Brady identification number of		ionali 19.g. Name of FFL	Trubio/se Combiguiră sarc	, 5 висек. Торпинат П
(name)  No NICS check was required because a b	rmanber) ockgromyt check wis com	Inleted during the NFA and	roval process on the individ	ual who will recense
at the Search of as reflected on the an	aray ed NFA application.	(See Instructions for Quest	1011 - 11 <sub>-1</sub> 1	
21. No NICS cheek was required because the as an exemption to NICS. (See Insurance)	e transferee/buyer has a va	lid permit from the State w	there the transfer is to take p	
Issuing State and Peripit Type Date	of Jasuanyo (if any)	Expiration Date (illumy)	Permit Number 079 6	tij anyt YQ
WVCCL Soution	04/04/15	Personally By Transferee	i a company and a company	C. C.
If the transfer of the firearm(s) takes place on a difference Commediately prior to the transfer of the fi	erent day from the date the rearm(s). (See Insuruction)	a the transferce buyer sign s for Question 22 and 23.1	ed Section A, the transferce	buyer must complete
I certify that my answers to the questions in Section	tion A of this form are sti	ll true, correct, and comp	lete.	
22. Transferee's Buyer's Signature	province and the second se	-	23. Recertif	ication Date
				administrativa and consistence of the consistence o
	Transferor/Seller Co STAPLE IF PAGES BE	ontinue to Next Page COMF SEPARATED		
	CONTROL OF AMERICA DE		ATA Langer	i sumo

Page 1 of 6

Revis Outena 2017

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Section D - Mus	t Be Completed By	fransferor /Seller Even If The Firearn	i(s) is Not Transferred
24. Manufacturer and Importer (h.m.) (lf the mumfacturer and importer are different the EFL must include both.)	25. Model (II Designated)	26. Serial Number	27 28. Type (See Institutions Caliber or Jor Question 2") Gauge
ALO 1	Subsect the acquirement of an electric control and control con	58A 0878/3	Pishe Sm
REMINDER - By the Close of Business	Complete ATF Forr	n 3310.4 For Multiple Purchases of H	andguns Within 5 Consecutive Business Day
Total Number of Firearms Transferred one, two, three, etc. Do not use numer	Please handwria by als.) OD	printing e.g. zero. 30 Check if any Eline Nu	part of this transaction is a pawn redemption. umber(s) From Question 24 Above:
1. For Use by Licensee (See Invituenons)		(See Ins	transaction is to facilitate a private party transfe structions for Question 32.1
FRAZIERS PALENS 122 N. Quelen S MANLASUY W.	samp may be incided  25 404	455-03-0	main at least first three and last five digits 2-84-01768
For Denied/Cancelled	Transactions, the Pe	The Firearm(s) Must Complete Ques erson Who Completed Section B Mus	et Complete Questions 34-36.
nue, correct, and complete; and (3) this entire temporarity conducted from a qualitying gun requirements of 18 U.S.C. 922(c). Unless this	transaction record has show or event in the sa ransaction has been de	, been completed at my livensed business pa me State in which the licensed premises is t mied or cancelled, I further certify on the b	(2) the information recorded in Sections B and D remises ("licensed premises" includes business located) unless this transaction has met the axis of — (1) the transferee's/buyer's responses in re-verification at the time of transfer. If Section C

was completed); and (3) State or local law applicable to the firearms business — it is my belief that it is not unlawful for me to sell, deliver, transport, or otherwise

NOTICES, INSTRUCTIONS, AND DEFINITIONS

Transferor's Seller's Name (Please print) 35

Purpose of the Form: The information and certification on this formare designed so that a person been sed under (8 U.S.C. 923 may determine of he she may havefully self or deliver a treatment to the person identified in Section A, and to alert the transferee/buyer of certain restrictions on the receipt and possession of frearms. The transferor selfer of a firearm unusi determine the law fulness of the transaction and maintain proper records of the transaction. Consequently, the transferor/selfer must be familiar with the provisions of 18 U.S.C. 921-931 and the regulations in 27 CER Parts 478 and 479. In determining the law fulness of the sale or delivery of a rifle or shotgum to a resident of another batte, the ransferor/selfer is presumed to know the applicable State laws and published ordinances in both the transferor's selfer's State and the transferor's buyer's State. (See ATF Publication 3,900.5. State Laws and Published Ordinances.)

dispose of the firearm(s) listed on this form to the person identified in Section A.

Concrafty, ATF Form 1473 must be completed at the figuresed business premises when a firenim is transferred over-the-counter. Federal law, 18 U.S.C. 922(c), allows a licensed importer, manufacturer, or dealer to self a firearm to a nonfigurese who does not appear in person at the figurese's business premises only if the transferred buyer means certain requirements. These requirements are set forth in section 922(c), 27 CFR 478.96(b), and ATF Procedure 2013-2

After the transferor/seller has completed the firearms transaction, he/she must make the completed, original ATF Form 4473 twhich includes the Norlees. General Instructions, and Definitions), and any supporting documents, part of his/her permanent records. Such Forms 4473 must be retained for at least 20 years and after that period may be submitted to ATF. Filing may be chronological thy date of dispositions, alphabetical thy name of purchosers, or numerical thy transaction serial numbers, as long as all of the transferor/s/seller's completed Forms 4473 are filed in the same manner.

FORMS 4473 FOR DENIED CANCELLED TRANSFERS MOST BE RETAINED: If the transfer of a firearm is denied/concelled by MCS, or if for any other reason the transfer is not completed after a MCS cheek is initiated, the becased must retain the ATL Form 4473 in higher records for at least 5 years. Forms 4473 with respect to which a sale, defivery, or transfer did not take place shall be separately retained in alphabetical thy name of transferees or chronological thy data of transferee's combinations order.

Transferor's/Seller's Title

If the transferor/seller or the transferor/buyer discovers that an ALF Form 4473 is monuplate or improperly completed after the firearm has been transferred, and the transferor seller or the transferor-buyer wishes to correct the omission(s) or error(s), photocopy the inaccurate form and make any necessary additions or revisions to the photocopy. The transferor/seller should only make changes to Section B and D. The transferor/buyer should only make changes to Section A and C. Whoever made the changes should initial and date the changes. The corrected photocopy should be attached to the original Form 4473 and retained as pair of the transferor sheller's permanent records.

Exportation of Firearms: The State or Commerce Departments may require a firearms exporter to obtain a license prior to export. Warning: Any person who exports a firearm without proper authorization may be fined not more than \$1,000,000 and/or imprisoned for not more than 20 years. See 22 U.S.C. 2778(c)

#### Section A

The transferee/bayer must personally complete Section A of this form and certify (sign) that the answers are true, correct, and complete. However, if the transferce buyer is unable to read and/or write, the answers tother than the signature) may be completed by another person, excluding the transferor/seller. Two persons teaher than the transferor/seller must then sign as witnesses to the transferce's/buyer's answers and signature/certification in question 14

ATF Form 4473 (5300.9) Revised October 2016

37. Date Transferred

U.S. Department of Jostice

Bureau of Alcohol, Tobacco, Firearns and Explosives

WARNING: You may not receive a firearm if prohibited by Federal or State law. The information you provide will be used to determine whether you map robinited from receiving a firearm of entire is the Gundont outrol Act. 16-b. act. 921 et. seq., are punishable by up to 10 years imprisonment and/or up to a \$250,000 fine.	Fransferor's Fransaction Number //	i Abeti	is i
Read the Notices. Instructions, and Definitions on this form. Prepare in original only of the licensed premises ("licensed premises" includes business temporarily conducted from a qualifying gun show or event in the same Note in which the licensed premises is Incuted) unless the transaction qualifies under 18 U.S.C. 922(c). All entries must be handwritten in ink, "PLEASE PRINT."  Section A - Must Be Completed Personally By Transferee Buyer  1 Fransferee's Buyer's Full Name (I) legal name contains an initial only, record "IO" after the initial of name the initial or name.	<u>560</u>	0	-
Last Name (Including sight to g., Jr Se II III)   First Name   Middle Name	1125. 111		
Reynalds Jr. Sosaph Elgin	AND REPORT OF THE PROPERTY.		
Current State of Residence and Address 1U.S. Postul abbreviations are acceptable. Cannot be a post office box 3  Number and Street Address  Redacted  LAUGO  City  City	State ZI	P Co	10 1 T A
3 Place of Birth 4 Height   5 Weight   6 Sex   7 Birth Dele U.S. City and State   OR- Forcier Country   D. G.   (Lho)   T. Nialz   Menth	UMbrow		
Let Sby   Va   In T   23   Temple   Rec	dacte		
Redacted Passes the Question V.			
10.4 Ethnicity (10.6 Both 10.6, and 10.6, must be	answered.		
Hispanic of Lanne American Japan of Mark - Nation   Black of African American	ite		
Note Hispanic or Latine Asian Asian Annual State Hawritian or Other Pacific Islandor			1
11. Answer the following questions by checking or marking "jers" in "ant" in the boxes to the right of the questions. 2. Are you the netual transferee buyer of the firearms i listed on this torns? Warning: You are not the actual transferee buyer if	7.00		No
are acquiring the fireatmes) on behalf of another person. If you are not the actual (transferee buyer, the licensee cannot to the firearmes) to you. For enour If you are picking up a repaired firearmly for another person, concern not required in most another person. On another the 1890 hospitals is for Question 11 a c			The state of the s
b. Are you under indictment or information in one court for a fellowy or any other crime for a bide the judge could imprise a you to more than one year? (3) a linearism for Queenot 11, b. c.	The Fu		1
<ul> <li>Hirse powers is team considered in any court of a followy covery other runs, for which the judge could have couprisoned you for me than one your even if you received a storter some in Andrey probation? (See Institutional to Quarter (Fig.))</li> </ul>	316		
d. Are you a fugitive teem justice? See Instructions on Question 17 dec.	The state and		
e Are you an unjoyful user of, or addicted to, martinear or my depressant, stimulant, nancone drug, or an other controlled subana Warning: The use or possession of marijuana complus unfamful under Federal law regardless of whether it has been legal decriminalized for medicinal or recreational purposes in the state where you reside.	lized or		Ź
Three you ever been adjudicated as a month dishering OR have you ever been committed to a mental martinities." See Instruction Quantities U <sub>2</sub> (i)	17:5		[2]
g Have you been discharged from the Armod Forces under dishanarable conditions?	An an included and an included an included an included an included and included an include		
In Are you subject to a court under restraining you from barraining stalking, or threatening year cloud or an indimate partner or orthograch partner. (See Internetional for Question 1) h.)	inf		
i. Have you ever been convicted at mericourt of a misdemeanor erime of domestic stolence? See howeverous on Question 11)	78.		I
12 a. Confucy of Cuizzashipt' Check List more than one. If apply while Annoted of the United States may thick List 1.  United States of America (1. S. 1)			man, Pana Pana Ana,
13.h Have you ever renounced your United States citizenship!			No. 171
12.c. Are you an alien illegally or untawfully in the United States?			团
12.d.). Are you an alien who has been admitted to the United States under a nonunungrant visa? (See Instructions for Question 1.2)	11.1		
12.d.2. If "yes", do you fall within any of the exceptions stated in the instructions?	∐ N/A ∐		
13. If you are an alien-record your U.SEssued Afien or Admission number (AR#, USC)S# or 194#):	و ما الله الله الله الله الله الله الله ا		
	nt 4473 (3308,4 Deroker 2016	,	

I certify that my answers in Section 4473. I understand that answering "	A are true, carre	et, and complete. I have r	ead and understand	the Notices, Instruct	tions, and Defin	itions on ATF Form
#4/3. I understand that answering " may also violate State and/or local la	yes to question w. Lunderstand	that a person who answer	rs "yes" to any of the	guestions 11.b. thro	as a reamy andor rugh 11.i and/or	12.b. through 12.c.
is prohibited from nurchasing or reci	eiving a firearm.	I understand that a pers	on who answers "ye	$\circ$ " to question $12.d.1.$	is prohibited fr	om receiving or
possessing a firearm, unless the person	on answers "yes"	to question 12.d.2. and p	rovides the documer	ttation required in 15	S.c. I also under	estand that making
any false or all or written statement, o	r exhibiting any	false or misrepresented ic	lentification with res	pect to this transacti	on, is a come p	anishabicas a tha outrose of
felony under Federal law, and may a resale for Jivelihood and profit without	150 violate State at a Fadoral firm	and/or toem taw. Tiurmet arms license is a violation	r undersama am am - of Federal law - 7S	e repetitive purcuise se Instructions for C	enementus ter Duestian 14.)	тертрож от
14 Transferee's Buyer's Signature	a A	ENTRY THE STORE IS IT STORESTORE	m i cucim am po	e man mental services	15. Certificari	on Date
14 Thinkielee Sibiliyer's Signature	st ()			ř	7/10/	- manage
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16. Type of firearm(s) to be transferr		tion B - Must Be Complete all that apply		/Selfer a qualitying gun she	w or event:	hanness or an annual contraction of the second of the seco
Handgun Long Gun	•					and the state of t
shaigieis)			Cny. State:			
18.a Identification (e.g., Firginia Dr.	icer's Heense (C	DL) or other valid et se	лицин-тыккі ріневі	ыжинисинен ј. Съсс	i instructions je	r Emestion (va.)
Issuing Authority and Type of Identi		Number on Identification		Expiration D	hate of Identifier   Day	tion (if any t
	NOT PACK are II annual to	<u> </u>	1/38	63	26	20/8.
18.b. Supplemental Government Issu	red Documentati	on (if identification docum	nent thes not show .	preent residence ade	dress) (See Inst	ructions for
Question 18 h.i						
18.c. Exception to the Nonmingrant	Alten Prohibiti	on. If the transferee buye	ranswered "YTS" to	12.d.2 the numsfer	or/seller must re	eard the type of
documentation showing the exc	eption to the pro	shibition and atmeh a cop-	yao this VII Ferm 4	473 – 15ce Instructio	ms for Question	18.00
Questions 19, 20, or 21 Ma	st Be Complete	d Prior To The Transfer	Of The Firearm(s)	18ce Instructions fo	ir Questions 19.	20 and 21 1
19 a. Date the tran ferce's buyer's id-				State transaction no		
transmitted to NICS or the appr	opriate State ago	andyt				
i Manth I Mar	Vane					
Month Day 15	2017		100 B	400 PX		
19 c. The response unitially (first) pro	wided by MCS	or the appropriate State	19.d. The following appropriate S	z responsy(s) was we	re later received	From NICS or the
agency was:			Proceed	iate agreties.	ahter 1	T) Objectioned
Proceed   Delayer	i e, asur o man be	norm dance of the	1 '		į.	
l	ยากคาย สามา คะ สำนัก	a ingrenea in ai lun permite topitamili)			_ ahtter	
Cancelled	.,	,	(ancelled _		(iktt2)	
			No response	uns provided within	3 business day	5.
19 c. (Complete et applicable 1-After	the fireurin was	transferred, the following Proceed	z response was recei	ved from NICS or th	ie appropriate S	tate agency on.
	السعيد	None and	4.00			The state of the s
19 f. The name and Brady identifies	nuon number of	the NICS examiner, $iOpti$	iagal) (19.g. Mame)	of FFL Employee Cr	ambiering vir c	s check. (Optional)
(name)		immher i				
20 No NICS check was required the NFA firearm(s), as refle	red because a ba	ckground check was com roved NEA application. ()	ploted during the NE Sec Instructions for	A approval process i Ouestion 200	on the individus	il who will receive
21. No NICS check was requi				AND THE RESIDENCE OF THE PROPERTY OF THE PROPE	ier is to take pla	ce, which qualifies
as an exemption to SICS.						
Issuing State and Permit Type	Date a	of lasuance (if am)	[Expiration Date 1]/	anyo Pe	ımit Number 4	Lary i
	and the state of t					
		: - Must Be Completed f				
If the transfer of the firearm(s) takes Section C immediately prior to the tr	place on a differ ansfer of the fire	ent day from the date that arru(s). (See Instructions	, the transfered buyer for Que tron 2 and	r signed Section A. II I 23.7	he transferce/bi	iksa umar combleta
I certify that my answers to the qu	estions in Section	on A of this form are still	true, correct, and	complete.	AND THE RESERVE OF THE PROPERTY OF THE PARTY	gazzaga yanan ini mahalatan Arabatha yanan garagay kazaya ini ke
22. Transferee's Buyer's Signature			, gg papagag, gelg gg quyung pun haribahkanin a aghhammenanga na sabaka iban manananan musa		123 Recenific	ation Date
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	,	Transferor/Seller Co STAPLE IF PAGES BEO	-			
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ATF Form 4472 (5300.9). Revised Outslee 10th

Case 3/19-cy-00208-GN	<b>IG</b> Document 1	.0-14 Filed 06/04/20 P	age 48 of 111 PageID #: 518
Section D - Mus	t Be Completed By Tra	nsferor/Seller Even If The Firear	m(s) is Not Transferred
Vianutacurrer and Importer II as the su- manufacturer and importer are different, the FLL must in such is the		Serial \under timber	Tpe (See Tex rener) Calle or for Querner 3" Canas
Granelel	P13	80677	Distal 380mxo
2			
5	en e	e e commentante de la commentante de l	<del></del>
},		- 100 - 101 - 102 - 103	
REMINDER - By the Close of Business	Complete ATF Form 3	310.4 For Multiple Purchases of l	Handguns Within 5 Consecutive Business Das
9. Total Number of Firearms Transferred one, two, three, etc. Do not use numer	Please handwrite by pri	ming saster 136. Chief Par	opare of this transaction is a power redestry for Summares strom Question 23 Above
For Use by Lacenses (See Joshing (Line))	hr (mest) = M -		a trans indian is to Province a principle object principles is, including for Leanter 2.2 s
		ral Circaim License NonSer (27).cc.	and the first of the state of the design
172 Azirele Porce 172 Azirele Porce 922-N. Q'Leon 100 Archib way	ns Shop	4.55-00	302-811-01768
		e Firearmis) Must Complete Que in Who Completed Section B Mu	
war visit of the second of the	Hambachons, the Fern	the second secon	or Complete Carterian nation

Lecrtify that: (1) I have read and understand the Notices, tastructions, and Definitions on this ATT Form 4473; (2) the information recorded in Sections B and D is true, correct, and complete; and (3) this entire transaction record has been completed at my licensed business premises ("licensed premises" includes business temporarily conducted from a qualitying gun show or event in the same State in which the licensed premises is located) unless this transaction has met the requirements of 18 U.S.C. 922(c). Unless this transaction has been denied or cancelled, I further certify on the basis of — (1) the transferee's fluyer's responses in Section A (and Section C, if applicable); (2) my verification of the identification recorded in question 18 tand my re-verification at the time of transfer, if Section C was completed); and (3) State or local law applicable to the firearms business— it is my belief that it is not unlawful for me to sell, deliver, transport, or otherwise dispose of the firearm(s) listed on this form to the person identified in Section A.

Transferor's Soller's Same Please prim 35. Transferor's Soller's Signature College (1) 11 5 50 1/1/4 2015

6 Transferor's Seller's Title

100

37. Date Fransterred

NUTICES, INSTRUCTIONS, AND DEFINITIONS

Purpose of the Form: The information and continuous actions from the designed a data a person been sellunder 18.1. 8.0. 92.3 may determine it he also may rawfully sell or deliver a freezing to the parson identified in Section A. and a latert the transferee boyer of certain restrictions on the receipt and possession of freezing. The gransferor seller of a freezing must determine the travillations of the transaction and maintain proper records of the transaction. Consequently, the transaction must be familial with the processor. CTS Cold., 1921-934 and the regulations in 20 CFR Paris 40s and 459. In determining the lawfulness of the sale or derivery of a rife or shorton to a resident of another state, the transferor/seller is presumed to know the applicable State taws and published ordinances in both the transferor scaller is State and the manuaries obeyer is State. (See ATF Publication 5300.5, State Line, and Published Ordinance.)

Generally, ATE Form 44.73 must be completed at the becase I business premises when a firearm is transferred aver-the-counter. Federal law, 18.1., S.C., 92.260. Allows a ficensed impound, manufactured, or dealer to self a firearm to a nonlocensee who does not appear in person at the ficensee's business premises only. If the transferee/bayer moots certain requirements. These requirements are self-forth in section 92.260, 27.CFR 4, 8,96(b), and AFF Procedure 2013-2.

After the transferor softer has completenable lirearms transaction, he she must make the completed, original AFF Form 4473 treduch includes the Yorices. General Instructions, and Definitions, and any supporting documents, part of his her permanent records. Such Forms 4473 most be routined for at least 20 years and after that period may be submitted to AFF. Filing may be chronological thy clute of dispositions, alphabetical thy name of purchasers, or numerical the transfer tion veriet numbers, as long as all of the transferor's seller's completed Forms 4473 are filed in the same manner.

TRAIS 41") FOR DENIED CANCELLED TRANSFERS MUST BY RETAINED If the orangen of a fivearm is denied earestled by NICS for it for any other reason for more fer is not assumed that a NICS cheek is minuted, the beam as main relaining XII from 4473 in his her records for a fivear is years. Forms 4473 with respect to which a sale, denivery, or transfer diamentake place shall be separately retained in alphanetical the sounce or reconsider to chromological thy dament in mylere.

Committation under

If the transferor/selter or the transferor buyer discovers that an AD From 44. Us mecomplete or improperly completed after the firstern has been transferred, and the transferor selter or the transferor/buyer wishes to correct the outroston(s) or arrows in placeocopy the uncertaint form and make any necessar, additions or revisions to the photocopy. The transferor selter should only make changes to Sections B and D. The transferor buyer should only make changes to Section A and C. Whoever made the changes should initial and dute the changes. The corrected photocopy should be attached to the original Form 4473 and retringel as part of the graniferor selter's permanent records.

Exportation of Firenems: The State of Commerce Departments may dequive a diseasons exporter to obtain a license prior to export. Warning: Any person who exports a fireason without proper authorization may be fused not more than \$1,000,000 and/or amprisoned for not more than 20 years. Sec 22 U.S.C. 2778(c).

#### Section 4

The transferee/boyer must personally complete Section A of this form and vertily reigns that the answers are true, correct, and complete. However, if the transferee buyer is mable to read and or write, the answers to their than the signatures may be completed by another person, excluding the transferor/seller. Two persons orther than the transferor/sellers must then sign as witnesses to the transferoe/sobuyer's answers and signature certaination in question 14.

ATS form 4473 (5300.9) Revised Cloubier 2016

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U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearm, and Explosives

WARNING South most reaches a figure mile	nrobibited by Federal or State law. The information you provide will be used to - Francis		
determine whether you are problibited from rec	The color of the c	iction 's	Merson erini
et, seq., are punishable by up to 10 years impris		ber (fra	
Read the Notices. Instructions, and Definitions (	on this form. Prepare in original only at the licensed premises tolicensed premises to	_	
includes business temporarily conducted from a q	pudlifying gun show or event in the same State in which the licensed premises is 8 U.S.C. 922(c). All entries must be handwritten in ink. "PLEASE PRINT."	20	/
	tion A - Must Be Completed Personally By Transferee/Buyer	<u> </u>	
1. Transferee's Buyer's Full Name (If legal na	me contains an initial only, record 10° after the initial. If no middle initial or name, record	<del>"NIX"</del>	<del>7</del> <del>1</del>
Alasi Name (Including suffix (e.g., Jr Se II, III))	First Name Middle Name		
A ELSECKER	GAIL LYNN		
2 Current State of Residence and Address (U	.5. Postal abbreviations are acceptable. Canant be a past office box.;		Military . pro a copyrig
Number and Street Address	County State	ZIP	"ode" #
10. Box 453	Hedgesville Berkeley WI	120	5421
3 Place of Birth	4 Height 5. Weight 6 Sex 7 Birth Date	.1.0.0	
U.S. City and State -OR- Foreign	Consuly Fr 5 (16) Make Mo		
ARTINSBURG WV	Redac	Цe	a
Redacted	Instructions for Obestian 9.1	A111775 - 1117	
Will a Million Pro-	addition to efficiety, select one or more race in 10.6. Both 10.4, and 10.6, must be answer	red.)	
[ ]	Indian or Alaska Native: Black or African American White		
Not Hispanic of Lorento Asian	Native Hawaiian or Other Pacific Islander		
<ol> <li>Answer the following questions by checking a Are you the coural transferrer buyer of the fire</li> </ol>	or marking "yes" or "no" in the boses to the right of the questions rearns a listed on this form! Warning: You are not the actual transferce/buyer if you	Yes	No
are acquiring the fivorrm(s) on behalf of a	mother person. If you are not the actual transferce bayer, the licensee cannot transfer		
the firearmiss is you. The epition: If you are condition increased to question tich, they have	we picking up a sepaired firearm(s) for another person was are not required to answer Har-	170	
		_	
<ol> <li>Site you make makens as a management in more than one year? (New Instruction Serve)</li> </ol>	any connetional felony, ce any other crime for which the judge earlid impressor you for Describer 11 her		Z
e. Have you over been convocted in an leging of	of a felony, or any other trime for which the halo, could brive numeroned you for many		+ 1
	someone unimiting probable of the Instructions for Question (1) and		
d Are you a fugitive from justice? (See Instruc	aums fin Que man (13)		101
e. Are you an units while user of, or addicted to,	autojeana or my depression sumulant, narcotic drug, er my other comrolled substance!	1	14.1
Warning: The use or possession of mariful decriminalized for medicinal or recreation	tions remains unlawful under Federal law regardless of whether it has been legalized or		X
	despend to OB has a your even been committed to a manual institution? (See Instruction)		+
for Question $H_{\gamma}(t)$			
g - Have you been discharged from the Armed i			Z
h Are you subject to a court order restraining y such partner? (Sc. Instructions for Question	evo front burnsamg, stalking, or threatening your child or an intimate partner or child of a 14 h.c.		太
: Have you ever been convicted in any court;	of a misslemeanor crime of despesde violence! They in minerior; the Onesities Harris		
	thon one if applicable. Nationals of the United States may check U.S.A.)	1	
Cinited States of America (7.15.4)			
(	7	1758	[ No.]
12.b. Have you ever renounced your United	States chizenship?		國
12.c Are you an olien illegally or unlawfull	ly in the United States*		Z K
	d to the United Sintes under a nonlimmigrant visa? (See Justimetions for Question 12 de)		X
(2 d.2). If "yes", do you fall within any of the a	exceptions stated in the instructions?		
3. If you are an alien, record your U.SIssued Al	lien or Admission number (4R≒, USCIS≒, or 194±):		
Previous Editions Am Obsolete	Transferee/Buyer Continue to Yext Page \\11 form 44 \cdot 53	(3) 11-	eren (1996 Samuel 1994)
Page Lofo	STAPLE IF PAGES BECOME SEPARATED Revised On table 20	) (c.	

## Case 3:19-cv-00208-GMG Document 10-14 Filed 06/04/20 Page 50 of 111 PageID #: 520 I certify that my answers in Section A are true, correct, and complete. I have read and understand the Notices. Instructions, and Definitions on ATF Form

4473. Lunderstand that answering "yes" to question 11.a. if I am not the actual transferee/buyer is a crime punishable as a felony under Federal law, and may also violate State and/or local law. I understand that a person who answers "yes" to any of the questions 11,b, through 11,i and/or 12.b, through 12.c. is prohibited from purchasing or receiving a firearm. I understand that a person who answers "yes" to question 12.d.t. is prohibited from receiving or possessing a firearm, unless the person answers "yes" to question 12.d.2, and provides the documentation required in 18.c. I also understand that making any false or all or written statement for exhibiting any false or misrepresented identification with respect to this mansaction, is a crime panishable as a felony under Federal law, and may also violate State and/or local law. I further understand that the repetitive purchase of firearms for the purpose of resale for livelihood and profit without a Federal firearms license is a violation of Federal law. (See Instructions for Question 14.) 115. Cenification Date 14. Transferce's Buyer, s Menature Section B - Must Be Completed By Transferor/Seller 17. If transfer is at a qualifying gun show or event: Type of firearm(s) to be transferred teheck or mark all that apply: | Long Gun Other Fuearm frame, receiver, etc. Name of Function: See Instruct our for Question 16) oillever shoreuns City, State: 18.a. Identification re.g., Virgima Debuge's Inscrise (VATA) or other valid government issued photo identification.) (See Instructions for Question 18.a.) Expiration Date of Identification (if any) : Sumber on Identification Issuing Authority and Type of Identification Month Day 18.b. Supplemental Government Issued Documentation (if identification document docs not show current residence address). (See Instructions Question 18.6.) 18 c. Exception to the Nonimmigrant Alien Prohibition. It the transferee buyer answered "YES" to 12 d.2, the transferor seller must record the type of documentation showing the exception to the prohibition and attach a copy to this ATF Form 4473. (See Instructions for Question 18.c.) Questions 19, 20, or 21 Must Be Completed Prior To The Transfer Of The Firenem(s) (See Instructions for Questions 19, 20 and 21.) 19.a. Date the transferce's buyer's identifying information in Section A was 1.19 b. The XICS or State transaction number (if provided) was: transmitted to NICS or the appropriate State agency: Month 19 d. The following response(s) was were later received from NICS or the appropriate State agency: agency was. Overturned Proceed Delayed AL Proceed (The focusity) may be transferred on \_\_\_\_\_akute) if Stilve law permits (opnored) Cancelled (date) No response was provided within 3 business days. 19.e. (Complete if applicable.) After the firearm was transferred, the following response was received from NICS or the appropriate State agency on: Proceed 19.g. Name of FFL Employee Completing NICS cheek. (Optional) The name and Brady identification number of the NICS examiner (Optional) omatheri (name) No NICS check was required because a background check was completed during the NFA approval process on the individual who will receive the NFA firearm(s), as reflected on the approved NFA application. (See Instructions for Question 20.) No MICS check was required because the transferce/buyer has a valid permit from the State where the transfer is to take place, which qualifies as an exemption to MICS. (See Instructions for Question 21.) Expiration Date tif any Date of Issuance (if em) Issuing State and Permit Type Section C - Most Be Completed Personally By Transferee/Buyer If the transfer of the firearm(s) takes place on a different day from the date that the transferee buyer signed Section A, the transferee buyer must complete Section C immediately prior to the transfer of the firearm(s). (See Instructions for Question 22 and 23.) I certify that my answers to the questions in Section A of this form are still true, correct, and complete, 23 Recertification Date 22. Transferee's Buyer's Signature Transferor/Seller Continue to Next Page STAPLE IF PAGES BECOME SEPARATED

> ATF Form 4473 (5300-0) Revised October 2016

	24,	25	26.		27.	28.		
	facturer and Importer (I) any) (I) the facturer and importer are different. (the FFL must include both.)	Model (If Designated)	Serial Number		Type (See Instructions for Question 27.)	Caliber or Gauge		
	TAURUL	PTILL GIZ	TX # 83460		Pishel	9 mm		
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3.								
4.	,		·					
	INDER - By the Close of Busines:					AND THE PROPERTY OF THE PERSON AND T		
	tal Number of Firearms Transferred		64 W		his transaction is a pawn			
€17	ie, two, ihree, etc. <mark>Do not use nume</mark>	rais.) ONE		Line Number(s)	From Question 24 Abov	6:		
I. Fe	t Use by Licensee (See Instructions	for Question 31 1		32 Check if this transaction is to facilitate a private party transfer (1) See Instructions for Question 32 (1)				
	nde corporate name und address of the FFL Number A-AV-AAAAAA Han	stanne men be reed i	ral Firearm License Number	r (Mast vontom at	least first three and last	tive digits		
	FRAZIENS PA 922 N-Qua	nSf.	, f					
	Mystinsburg	3 WUL 257	64 45	55-003-0	2-840176	.8		
	The l	gerson Transferring Ti	ne Firearm(s) Must Compl on Who Completed Section	•				
rue, co empor	that: (1) I have read and understand arrect, and complete; and (3) this entire wrily conducted from a qualifying gur ments of 18 U.S.C. 922(c). Unless this	the Notices, Instructions, transaction record has be show or event in the same	and Definitions on this ATF For sen completed at my licensed by State in which the ficensed pr	orm 4473; (2) the in ousiness premises ( remises is located) t	formation recorded in Sec dicensed premises" includ- inless this transaction has i	es business met the		

was completed); and (3) State or local law applicable to the firearms business — it is my belief that it is not unlawful for me to self, deliver, transport, or otherwise dispose of the firearm(s) listed on this form to the person identified in Section A. Name (Please print) 35 Transferoy's Seller's Signay(1): Transferor's Select's Intle 37 Date Transferred

NOTICES, INSTRUCTIONS, AND DEFINITIONS

Purpose of the Form: The information and certification in this form are designed so that a person been sed under 18 U.S.C. 923 may determine if he she may lawfully sell or deliver a firearm to the person identified in Section A, and to alert the transferee buyer of certain restrictions on the receipt and posse/sion of firearms. The transferor seller of a firearm must determine the lawfulness of the transaction and maintain proper records of the transaction. Consequently the transferor/seller must be familiar with the provisions of 1544.5 C. 921-931 and the regulations in 27 CER Parts 478 and 479. In determinian the law fulness of the sale or delivery of a rifle or shotgan to a resident of another State, the transferor/seller is presumed to know the applicable State laws and published ordinances in both the irrinsferor's seller's State and the transferee's buyer's State: (See ATF Publication 5300 ). State Lines and Published Ordinances, (

Generally, ATF Form 4473 must be completed at the beensed business premises when a firearm is transferred over-the counter. Lederal law, 18 (1.S.C. 922(c), playes a licensed importer, manufacturer, or dealer to sell a firearm to a nonliecused who does not appear in person at the licenses's business premises only if the transferee buyer meets certain requirements. These requirements are set forth in section 922(c), 2° CFR 478 96(b), and ATF Procedure 2013-2

After the transferor seller has completed the brearms transaction, he she must make the completed, original XII Form 44.3 techich includes the Natices. Gengral Instructions, and Definitions), and any supporting documents, part of his her permanent records. Such Forms 4473 must be retained for at least 50 years and after that period may be submitted to ATL. I thing may be chronological the date of disposition), alphabetical by name of piur havers, or immerical (by transact tion serial numbers, as long as all of the transferor's seller's completed Forms 4473 are filed in the same manner

JRAIS 447) FOR DENIED-CANCELLIED TRANSFERS MUST BE RETAINED.

Withe transfer of a firetim is demedicancelled by SICS, or if for any other reason. the transfer is not completed after a Mil S check is initiated, the licensee most retain the AFE Form 4473 in his her records for at least 5 years. Forms 4473 with respect to which a sale, delivery, or transfer did not take place shall be separately retained. in alphabetical the name of many/over or chronological the date of transferee's communications order.

If the transferor/seller or the transferee/bayer discovers that an ATF Form 4473 is incomplete or improperly completed after the firearm has been transferred, and the transferor seller or the transferee/buyer wishes to correct the omission(s) or errorgan photocopy the inaccutage form and make any necessary additions or revisions to the photocopy. The transferor seller should only make changes to Sections B and D. The transferee buyer should only make changes to Section A and C. Whoever made the changes should initial and date the changes. The corrected photocopy should be attached to the original Form 44.73 and retained as part of the transferor's/seller's permanent records.

Exportation of Fireness: The State of Commerce Departments of firearms experter to obtain a hoose prior to export. Warning: Alt process to the a ports a firearm without proper authorization may be fined not more and or imprisoned for not more than 20 years. See 22 U.S.C. 2775 ...

#### Section A

The transferee buyer must personally complete Section A of this form and the ulti-(sign) that the answers are true, correct, and complete. However, if the considerer buyer is unable to read and/or write, the answers tother than the segretary among the completed by another person, excluding the transferor seller. Two persons making than the transferor/seller) must then sign as witnesses to the transferee schaper's answers and signature/certification in question 14.

> ATF Form 4473 (5.7% -Revised October 1975

## Case 3:19-cv-00208-GMG Document 10-14 Filed 06/04/20 Page 52 of 111 PageID #: 522 10-0020

Bureau of Alcohol, Tobacco, Eucarms and Explosives

determine s	obelier yas are ja	eise a firearm if pro shibited <i>Same</i> raceiv o 10 years Imprison	ing a firegre <del>n.</del>	Gweir Ad	Henrof the (				t (f.80%)	or's Sell dem her et Hj gri	dat
includes bu	siness temporarily c	and Definitions on onducted from a qua qualifies under 18 U Section	lifying gun sho	or <i>or event in</i> Al entries mu	the same Stat st be handwr	te in schio itten in i	ch the lice ink. "PL	nsed premises is EASE PRINT."	ises". S(c	0/10	
Lasc Name	the haling suffix to	Vame (II legal name					itial. If n		r name, record	WW.	1
<ol> <li>Current</li> </ol>	1 State of Residence of Street Address	e and Address (U.S.	Kon   Postal abbre   City	viations are	acceptable.	Cannot	he a pos		State	ZIP Co	ode
	Redac	cted	Hek	Jesul 4 Height	1/e  5. Weight	16. Se.	TB.	2 HT Ley	(LVV)	25	马2
U.S. City of	3 . 3	-OR-   Foreign Co	outstey.	Ft. 5'	18-4	Ŋ	Mate Female	R	edacte	d	
S. Social 3	Redacte				Institution	sjin Qu	estion bu		(P/N) if applied		
<u></u>	en : nic :a Launo 1 ganée et Launo :	10.b. Race (In ac	ldition to ethn dion or Ataska		Black or Añ	rican An	nerie an	r <i>10.a. and 10.b.</i>  - cific Islander	must be inviced	v(l, )	
a. Are you	the following ques i the actual transfer uiring the firearm	alons by checking of the buyer of the firea (a) on behalf of and	rmist listed on Mer person.	this form! A If you are n	the boxes to Warning: Youth	the righ on are n	of the quantities of the action of the actio	er, the licensee c	annot transfer	Yes De l'	No D
b Veyor	r or awed in griesti eur der incheunen	opnor <b>If you are 1</b> on 11 h 18ec Instruc or information as an	tions for Que- y court for a fe	mm H <sub>a</sub> ar							_     20
e. Have so	en ever Neen congr	Instanctions for One etod many court of one enorgh a shorter so	a felony or so	y other crime	To which the	iz jadge aztreta e	sould income	ce implisaned so	on for more		及
		suger (See Justin), //					****	The second secon			図
Warnin	ig: The use or pos	of, or addicted to, no session of marijua- inal or recreationa	na remains ur	ilawful unde	r Federal la	w regar	ug, or any diesy of v	cother controller thether it has b	l substance? een legalized or		X
	on ever been adjud szion 71,7 i	ichted as a mental di	declive OR ha	ive you ever l	esch commit	ed to a	menusi in	stitution? 7Sec 7	DSTERNAS 91.5		LX2
g Have yo	on been discharged	from the Armed Fo					gan ganagan yan san asan'i Pina	, a , , , a , y bady a min a garagemy and a min matrix annual section and a			<b>[32]</b>
h Are you such pa	r subject to a court riner.) (See Instruc	order restraining 50 non- for Question 1	u trom hacussi † h i	ng. statking. :	or threatening	g yanr d	hald as tan	indinate partner	or child of		図
(. Have)	oo ever been com i	eted in any court of	a misdemeanc	r crime of do	mestic violet	neett aSe	ar fransu.	nans Tie Questie	m /f i i		図
	iry of Citizenship uted States of Amer	near (CSA)	an one, (Cappl Other Country			Trincel Si	ares man	Check U.S. (1)			
		r red your United St				- an analas and marrows of the fermion				Yes	No Tra
		gally or unlawfully								旹	2
		rans been admitted within any of the ex				mt visa?	iSec hist	rue tions for Que	ismon 12 d.) NA		
13. Hiyon a	re an their record	ear U.S -Issued Alie	n or Admission	number (4R	≢. ÚSCIS≄. o	n [0.1=);					*
Previous din Page 1 ot 6	na Ar-Ob-dete	en e		ee/Buyer Co F PAGES BE					ML Form 4413 (53 Re-faed October 20		

Lecrtify that my answers in Section A are true, correct, and complete. I have red 4473. I understand that answering "yes" to question U.a. if I am not the actual may also violate State and or local law. I understand that a person who answer is prohibited from purchasing or receiving a firearm. I understand that a person possessing a firearm, unless the person answers "yes" to question 12.d.2, and plany false or all or written statement, or exhibiting any false or misrepresented of felony under Federal law, and may also violate State and or local law. I further resale for livelihood and profit without a Federal firearms license is a violation	I transferce/buyer is a crime punishable as a set yes" to any of the questions 11.b. through on who answers "yes" to question 12.d.l. is provides the documentation required in 18.c. lentification with respect to this transaction, and the repetitive purchase of	felony under Federal law, and a 11.i and or 12.b. through 12.c. brohibited from receiving or I also understand that making is a crime punishable as a hrearms for the purpose of
14. Transferce's Buyer's Signature		. Certification Date
Though by strong,		7/22/2017
Section B - Must Be Comple	The state of the s	and the stage of t
16. Type of firearm(s) to be transferred tcheck or mark all that apply).	17. If transfer is at a qualifying gun show o	er event:
Handgun Long Gun Other Firearm (frame, receiver, etc. See Instructions for Question 16 1 - shorging)	Name of Function: City, State:	
18.a Identification to g., Urgana Di ver's heen a 184 fil.) or other called govern Issuing Authority and Type of Identification. Number on Identification	rment-resued photo identification." (See In- Expiration Date Month	aructions for Question 18.a.) of Identification (IJ any) Day — Year
WVDL F7408	354 10	14 2019
18 b. Supplemental Government Issued Documentation (if identify attended)  Question 18 b.)		,
18 c Exception to the Nonimmigrant Alica Prohibition: If the transferee buye document, non-showing the exception to the prohibition and attach a copy	r answered: VE 11 to 12 d 2, the transferor vito this ATF Form 4473. See In true trens (	eller must record the type of for Que non 18 c.)
Questions 19, 20, or 21 Must Be Completed Prior In The Transfer	Of The Firearm(s) (See Justication for Q	1 CM 91 19 20 and 21 /
19 a Date the transferee's buyer's identifying information in Section A was transmitted to NICS or the appropriate State agency:	19.b. The NIC's or State ususaction numb	es af provided) was:
Month Day Year 2017	100B933ZD	
19.c. The response initially (first) provided by NICS or the appropriate State agency was	19.d. The following responsers) was were bappropriate State agency	ater recented from MCS or the
	Proceed 4	late (Nernmed
Denied Delayed    Denied   The fire armies men be many proced on the State has permise appropriately formula a	Denied	dation
Cancelled it State has permite approvalif	Cancelled	dan)
·	No response was provided within 3 t	nisiness days.
19.e. (Complete if applicable.) After the firearm was transferred, the following	response was received from NICS or the a	
idator. Proceed	Denied [] Cancelled	
19.f. The name and Brady identification number of the NCS examiner, (Opt.	ionals [19.2] Name of FFL Employee Comp	nieung 1818 8 check. (Optional)
(name) (mimber)		
20. No NICS check was required because a background check was comthe NFA firearm(s), as reflected on the approved NFA application.	pieted during the NEA approvat process on t See Instructions for Onestion 20.1	are many most who will receive
21. No NICS check was required because the transferee buyer has a val		s to take place, which qualifies
as an exemption to MCS. (See Instruction) for Question 21)		
Issuing State and Permit Type Date of Issuance (if any)	Expiration Date (i) and (Permi	it Number (4f 1919)
Section C - Must Be Completed I		
If the transfer of the firearm(s) takes place on a different day from the date that Section C immediately prior to the transfer of the firearm(s). tSee instructions I certify that my unswers to the questions in Section A of this form are still	for Question 22 and 23.)	transferee boyer must complete
22. Transferou's Buyer's Signature		3. Recertification Date
Control of the Contro		
Transferor/Seller Co	ntique to Navi Paga	
STAPLE IF PAGES BE		
Contract of the contract of th		

ATF Form 4412 (5300.9) Revised October 2016

Case 3:19 cv-00208-GMG Document 10-14 Filed 06/04/20 Page 54 of 111 PageID #: 524 Section D - Must Be Completed By Transferor /Seller Even If The Firearm(s) is Not Transferred 36. Manufacturer and Importer (If any) (If the Model Serial Number Type (See Instructions Caliber or manufacturer and importer are different. (If Designated) for Ouestion 27 i Gauge the FFL must include both.) mar 3 -} REMINDER - By the Close of Business Complete ATF Form 3310.4 For Multiple Purchases of Handguns Within 5 Consecutive Business Days 29. Total Number of Firearm's Transferred (Please handwrite by printing e.g., zero. 30. Check if any part of this transaction is a pawn redemption. one, two, three, etc. Do not use numerals.) Line Number(s) From Question 24 Above: 31. For Use by Licensee (See Instructions for Question 32. Check if this transaction is to facilitate a private party transfer (See Instructions for Question 32.) Trade corporate name and address of transferor seller and Federal Firearm License Number (Miss) contain at least first three and last five digus of FFL Number A-AA-ANAAA). (Hand stomp may be used i The Person Transferring The Firearm(s) Must Complete Questions 34-37. For Denied Cancelled Transactions, the Person Who Completed Section B Must Complete Questions 34-36, I certify that: (1) I have read and understand the Notices, Instructions, and Definitions on this ATF Form 4473; (2) the information recorded in Sections B and D is true, correct, and complete; and (3) this entire transaction record has been completed at my licensed business premises ("licensed premises" includes business temporarily conducted from a qualifying gun show or event in the same State in which the licensed premises is located) unless this transaction has met the requirements of 18 U.S.C. 922(c). Unless this transaction has been denied or cancelled, I further certify on the basis of — (1) the transferee's/buyer's responses in Section A (and Section C, if applicable); (2) my verification of the identification recorded in question 18 (and my re-verification at the time of transfer, if Section C was completed); and (3) State or local law applicable to the firearms business — it is my belief that it is not unlawful for me to sell, deliver, transport, or otherwise dispose of the firearm(s) listed on this form to the person identified in Section A. Transferor's Seller's Name (Pleave print) 35. Transferor's Seller's Signature 36. Transferor's Seller's Title 37 Date Transferred FORMS 4473 FOR DENIED CANCELLED TRANSFERS MUST BE RETAINED NOTICES, INSTRUCTIONS, AND DEFINITIONS If the transfer of a firearm is denied cancelled by NICS, or it for any other reason the transfer is not completed after a MCS cheek is initiated, the licensee must retain Purpose of the Form: The information and certification on this form are designed so that a person licensed under 18 U.S.C. 923 may determine if he she the ATF Form 44.73 in his her records for at least 5 years. Forms 4473 with respect may lawfully sell or deliver a firearm to the person identified in Section A, and to which a sale, delivery, or transfer did not take place shall be separately retained to alert the transferee/buyer of certain restrictions on the receipt and possession in alphabetical the name of transferees or chronological the data of transferee's of firearms. The transferor/seller of a firearm must determine the lawfulness of certifications order the transaction and maintain proper records of the transaction. Consequently, the transferor/seller must be familiar with the provisions of 18 U.S.C. 921-931 and If the transferor seller or the transferce buyer discovers that an ATF Form 4473 is the regulations in 27 CFR Parts 478 and 479. In determining the lawfulness of incomplete or improperly completed after the firearm has been transferred, and the the sale or delivery of a rifle or shotgun to a resident of another State, the transferor/seller or the transferee buyer wishes to correct the omission(s) or transferor/selfer is presumed to know the applicable State laws and published errores), photocopy the maccurate form and make any necessary additions or ordinances in both the transferor's/seller's State and the transferee's buyer's revisions to the photocopy. The transferor seller should only mak: changes to State: (See 4TF Publication 5300,5, State Laws and Publish of Ordinances.) Sections B and D. The transferee buyer should only make changes to Section A and C. Whoever made the changes should initial and date the changes. The corrected Generally, ATF Form 4473 must be completed at the licensed business premises photocopy should be attached to the original Form 44.73 and retained as part of the when a firearm is transferred over-the-counter. Federal law, 18 U.S.C. 922(c), transferor's/seller's permanent records. allows a licensed importer, manufacturer, or dealer to sell a firearm to a nonli-Exportation of Firearms: The State or Commerce Departments may require a censee who does not appear in person at the licensee's business premises only if the transferee buyer meets certain requirements. These requirements are set firearms exporter to obtain a license prior to export. Warning: Any person who exforth in section 922(e), 27 CFR 478.96(b), and ATF Procedure 2013-2 ports a firearm without proper authorization may be fined not more than \$1,000,000. and/or imprisoned for not more than 20 years. See 22 U.S.C. 2778(e). After the transferor seller has completed the firearms transaction, he/she must make the completed, original ATF Form 44°3 (which includes the Notices, Gen-Section A eval listing tions, and Definitions), and any supporting documents, part of his/her permanent records. Such Forms 4473 must be retained for at least 20 years and The transferee/buyer must personally complete Section A of this form and certify after that period may be submitted to ATF. Filling may be chronological (by date (sign) that the answers are true, correct, and complete. However, if the transferee of disposition; alphabetical (by name of purchaser), or numerical (by transacbuyer is unable to read and/or write, the answers (other than the signature) may be

> ATF Form 4473 (5364.11) Revised October 2016

completed by another person, excluding the transferor/seller. Two persons tother

than the transferor/seller) must then sign as witnesses to the transferee's buyer's

answers and signature/certification in question 14.

4473 are filed in the same manner.

tion serial number), as long as all of the transferor's/seller's completed Forms

Bureau of Alcohol. Tobacco, Firearms and Explosives

WARNING: You may not re determine whether you use p et. seq., are punishable by up	rohibited fr	om receiving a firearn	n. Certain violi	itions of the C			Transfero Fransact Numbe	ion Se	nu)
Read the Notices, Instruction includes business temporarily located) unless the transaction	conducted fi	rom a qualifying gun s nder 18 U.S.C. 922(c).	how or event in All entries mu	<i>the same Sade</i> st be handwri	: <i>in which the li</i> (ten in ink. "Pl	censed premises is EASE PRINT."	56	3(	#
1. Transferee's/Buyer's Ful Last Name (Including suffer			initial only vec		r the initial. If		s. record "	V 1/ \ "	i
KACKLET	JR	BI	LLT		1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	LKIZ			
2. Current State of Residen	ce and Addr	ess (U.S. Postal abb	reviacions are	acceptable, 1	Cannot be a po		i State 1	ZIP C	Sv <del>1</del> . s
Redac	ted	4	ルストバー」	PURC	1	EXKRERE	40		
3. Place of Birth U.S. City and State MAR FINS BUK 6 Low L						7. Birth Date Month Day Red	[16	.ii	
8. Social Security Number /	Optional, bu	t will hebyprevent michel.		9 Unique I		ication Number 1677 V	actec Happiean	الرام الأوادا	
10.a. Ethnicity	10.b. R:	we (In addition to eth	micity, select o	ne or more ra	ce in 10.h. Bo	de 10.a. and 10.b. must (	be answere	ul, 1	*****
Hispanic or Latino  Not Hispanic or Latino		ierican Indian og Alasi an	Secure and		con American ilian or Other P	acific Islander	litte		
11. Answer the following que a. Are you the actical transfe							T. 20	Yes	No
a. Are you the actual transhare acquiring the firearm (s) to you. Exact map proceed to quast.	m(s) on beh xception: If	alf of another persor you are picking up a	i. If you are n repaired firear	of the actual	transferee/bu	er, the licensee cannot	transfer	团	and the state of t
b. Are you under indictmen more than one year? (No			felony, or any	other crime it	or which the jur	lge could imprison you l	or		
c. Have you ever been convibun one year, even if you							nore		
d Are you a fugitive from j	ustice? / See	Instructions for Que:	thor H.A.		***************************************		A CONTRACTOR OF THE PARTY OF TH		[X]
e. Are you an inflawful user Warning: The use or pe decriminalized for medi	ssession of	marijuana remains i	anlawful unde	r Federal lav	regardless of				X
f Have you ever been adjudence for Question U.F.r.				manager and the second	and the second s	isiitutam! <i>18ez İnstruct</i>	ISTA SA		
g. Have you been discharge	Tirom the A	rimed Forces under d	ishnorable c	onditiens?					Ø
h. Are you subject to a cour such partner? (See Instru			sing, stalking.	w thremening	your child or a	n intimate partiter or chil	ld of		B
i. Have you ever been com	teted in ans	court of a misdernear	ror crime of do	mestic violen	re) (See Insan	enews hie Question 11st.			B
12.a. Country of Chizenship:	1Chwek/Lis				nted Simes ma	Check U.S. Fi			marrial
United States of Ame	erica (USA)	Other Coun	rcy/Countries <i>d</i>	Specifis:			COLUMN TO THE RESIDENCE OF THE PROPERTY OF THE	7	
12.b. Have you ever reno	unced your l	United States citizens	hip1					Yes	No R
NAME AND ADDRESS OF THE OWNER OWNER OF THE OWNER OWNE	**************************************	lawfully in the United							Z]
· ·					it visa? (See Inc	trus tions for Question I			图
The state of the s		or the exceptions state	and the second s		16.1~.		N/A		
13. If you are an aften, record Previous Editions Are Obsolete	your U.SIs		on number (3K eree/Buyer Co)					() () ·	and the second s
Page 1 of 6			IF PAGES BE				rm 4473 (530) (Comber 2016		

Case 3:19-cv-00208-GMG D					
I certify that my answers in Section A are true, corre 4473. I understand that answering "yes" to question	ill,a, if I am not the actual	transferee/buyer is a crime	e punishable as	s a felony under	r Federal law, and
may also violate State and/or local law. I understand	I that a person who answer	's "yes" to any of the question	ons 11.b. throu	gh 11,i and/or	12.b. through 12.c.
is prohibited from purchasing or receiving a firearm possessing a firearm, unless the person answers "yes"	. I understand that a perso " to guestion 12 d 2 and no	on who answers "yes - to qu covides the documentation i	esuon 12.a.1. 1 reauired in 18.	s prombneo irc c. Talso under:	om receiving or stand that making
any false or all or written statement, or exhibiting any	false or misrepresented id	entification with respect to t	this transactio	n, is a crime pu	mishable as a
felony under Federal law, and may also violate State	and/or local law. I further	understand that the repetit	tive purchase (	of firearms for t	the purpose of
resale for livelihood and profit without a Federal fire	arms license is a violation	of Federal law. (See Instr		<i>testion 14.)</i> 15. Certificatio	m Date
14. Transferee's/Buyer's Signature			- 1		
All Frederick		J.B. T. J.C. 1/5 III		2-31-1	2
16. Type of firearm(s) to be transferred <i>(check or ma</i>	etion B - Must Be Compie	eted By Transferor/Seller 17. If transfer is at a qualif	fying gun shov	v or event:	
C	.,,				
Handgun Long Gun Other Firea	tions for Question 16.1	Name of Function:			
shotgims)		City, State:	***************************************		
18.a.Identification (e.g., Virginia Driver's license (V.	A DL) or other valid gover	mment-issued photò identifi	санон. Е 18ес і	instructions for	' Question 18.a.i
Issuing Authority and Type of Identification	Number on Identification	vose	Expiration Da	te of Identificat	tion <i>rif any)</i> (Year
1,1117/	0000		Month 6		
WIVUL	C29189		03		2021
18.b. Supplemental Government Issued Documentat	ion (if identification docum	nem does not show current i	residence addi	essi (See Instr	metians for
Question 18.b.1					
18.c. Exception to the Nonimmigrant Alien Probibiti	on. If the transferee/buyer	answered "YES" to 12.d.2	, the transfero	r/seller must re	cord the type of
documentation showing the exception to the pr	ohibition and attach a copy	to this ATF Form 4473. (S	See Instruction	s for <i>Q</i> nestian	18.c.)
		and the second s		2	371 / 37 /
Questions 19, 20, or 21 Must Be Complete 19.a. Date the transferee's/buyer's identifying inform		19.b. The NICS or State to	istrictions for	Ther til provide	zir ana ziri
transmitted to NICS or the appropriate State ag		1 1 5.10. THE THE 5 OF CHAIR O	imageneri non	teres esp processor	1)
	•				
Month Day Year					
07 3 20		19.d. The following respon	sa si al marinam	Marie en mirad	from NICS or the
19.c The response initially (first) provided by NICS agency was.	or the appropriate State	appropriate State age		S THICK YOUGH OU	HORESTC THERE
		Proceed		(date)	Overturned
Denied The fivearm(s) may be	transferred on	Denied		tdater :	mans ?
Cancelled	ne law permits toptional)]	+177740077788		(date)	
		No response was pro			
19.e. (Complete if applicable ) After the firearm was	s transferred, the following				
(date).		Denied Cance		, ,	
19.f. The name and Brady identification number of	the NICS examiner. (Onti-	analy 119.g. Name of FFL	Employee Cor	upleting NICS	cheek. (Optional)
194. The mane and drawly accommends hardest en	ma . ma ci smaniment (c) //	5	, .	1 5	
(name)	(number)				
20. No NICS check was required because a ba	ickground check was comp	oleted during the NFA appro	oval process of	i the individua	who will receive
the NFA firearm(s), as reflected on the app	proved NFA application. 73	See Instructions for Questio	m 30.1	e i a sa sa sa mina	ea which qualifies
21. No NICS cheek was required because the as an exemption to NICS. (See Instruction		id beumit from ma 2006 wh	ere me transte	r is to cake frac	e. which qualifies
	of Issuance (if any)	Expiration Date (if any)	Peri	mit Number 111	ant t
		,	Anna com		
Section (	C - Must Be Completed P	ersonally By Transferce/E	3uver		
If the transfer of the firearm(s) takes place on a differ	rent day from the date that	the transferee/buyer signed	l Section A. th	e transferee bu	yer must complete
Section C immediately prior to the transfer of the fire I certify that my answers to the questions in Secti	earm(s). ISee Instructions on A of this form are still	jor Quesnon 12 and 23.7 true, correct, and comple	rte.		
22. Transferee's/Buyer's Signature	ezio , a tra sistica sultita sita 34116	The second continuence delicenses and the second continuence and the second		23 Recentifica	ition Date
22. Canadered Subayer 3 Organisms					
		CONTRACTOR		Martin State of Albert State o	Market and the State of the State of St
	Transferor/Seller Cor STAPLE IF PAGES BEG				
	JIMILE IT INGES BEL	COUL GLIMMALES			

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Section D - Mus	t Be Completed By Tr	ansferor/Seller E	en If The Firearn	n(s) is Not Transferred	
24. Manufacturer and Importer (If am) (IJ the manufacturer and importer are different. the FFL must include both.)	25 Model (It Devignatech	26. Serial Number		Type (See Instruction for Question 2")	28. A Caliber or Gauge
1. Springtical	RAPCHOR	927/	90	RiAle	45,70
2.					
3.		et en et en traditet. Met skild 1990 te 1880 te 1990 t	er gemen mennennen i den i van Samera Cambra deler – e <sub>r men</sub> ger ab September den i den ist		
4.	)		The second second second second	omenine in a compression of the	~ {
REMINDER - By the Close of Business	Complete ATF Form	3310,4 For Multip	le Purchases of H	andguns Within 5 Consecuti	ve Business Davs
19. Total Number of Firearms Transferred one, two, three, etc. Do not use numer	Please handwrite by p	rinting e.g., zero,	30. Check if any	part of this transaction is a paw imber(s) From Question 24 Ab	n redemption.
81 For Use by Licensee (See Instructions )	or Question 31.)			transaction is to facilitate a privictions for Question 32.)	vate party transfer.
33. Trade corporate name and address of it of FFI. Number X-XX-LXXVV (Home FRA ZIEL PALL)	l stamp may be used.) L				
MARS-insburg	W.Ua 25	404		3-02-840176	8
	erson Transferring T Transactions, the Per			tions 34-37. t Complete Questions 34-36.	
Lecrtify that: (1) I have read and understand true, correct, and complete; and (3) this entire temporarily conducted from a qualifying gun requirements of 18 U.S.C. 922(c). Unless this t Section A (and Section C, if applicable); (2) my was completed); and (3) State or local law applidispose of the firearm(s) listed on this form to t	the Notices, Instructions, fransaction record has be show ar event in the sam ransaction has been deni verification of the identi cable to the firearms bus he person identified in S	and Definitions on the completed at my estate in which the hied or cancelled. I fur fication recorded in esiness—it is my belicection A.	nis ATF Form 4473; licensed business pricensed premises is lether certify on the bequestion 18 (and my of that it is not unlaw	(2) the information recorded in Semises ("licensed premises" included on the cated) unless this transaction has is of—(1) the transferee \dots buy re-verification at the time of transful for me to sell, deliver, transpo	Sections B and D is ades business as met the er's responses in asfer, if Section C art, or otherwise
14. Transferor's Seller's Name (Phuse pr	m / lansferor's	26A	Z SA	ob!	Date Transferred
NOTICES, INSTRUCTIONS, AN	D DEFINITIONS	Jolykis	4473 FOR DENIED	CANCELLED TRANSFERS MI	STBERETAINED

Purpose of the Form: The information and certification on this form are designed so that a person licensed under 18 U.S.C. 923 may determine if he she may lawfully self or deliver a licensm to the person identified in Section V, and to alert the transferee/buyer of certain restrictions on the receipt and possession of firearms. The transferee/buyer of a licearm must determine the lawfulness of the transaction and maintain proper records of the transaction. Consequently, the transferor/selfer must be familiar with the previsions of 18 U.S.C. 921-931 and the regulations in 27 CFR Parts 478 and 479. In determining the lawfulness of the sale or delivery of a rille or shotgun to a resident of another State, the transferor/selfer is presumed to know the applicable State faws and published ordinances in both the transferor's selfer's State and the transferoe's buyer's

Generally, ATF Form 4473 must be completed at the heeased business premises when a firearm is transferred over-the-counter. Federal law, 18 U.S.C. 922(c), allows a licensed importer, manufacturer, or dealer to self a firearm to a nonlicensee who does not appear in person at the heeasee's business premises only if the transferce/buyer meets certain requirements. These requirements are set forth in section 922(c), 27 CFR 478.96(b), and ATF Procedure 2013-2.

State: (Sec ATF Publication 3300.5, State Laws and Published Ordinances)

After the transferor/seller has completed the lirearms transaction, he/she must make the completed, original ATF Form 4473 (which includes the Notices, General Instructions, and Definitions), and any supporting documents, part of his/her permanent records. Such Forms 4473 must be retained for at least 20 years and after that period may be submitted to ATF. Filling may be chronological (by date of disposition), alphabetical (by name of purchaser), or numerical thy transaction serial number), as long as all of the transferor s/seller's completed Forms 4473 are filed in the same manner.

Otalis 4473 FOR DENIED CANCELLED TRANSFERS MISSEBERETANED if the transfer of a finearm is denied cancelled by NIC5, or if for any other reason the transfer is not completed after a NICS check is initiated, the heensee must retain the AFL Lorin 4473 in his/her records for at least 5 years. Forms 4473 with respect to which a sale, delivery, or unnsfer did not take place shall be separately retained in alphabetical thy name of transferent or chronological the date of maintenances.

If the transferor seller or the transferee buyer discovers that an ATF Form 4473 is incomplete or improperly completed after the firearm has been transferred, and the transferor seller or the transferee/buyer wishes to correct the omission(s) or error(s), photocopy the inaccurate form and make any necessary additions or revisions to the photocopy. The transferor/seller should only make changes to Section A and C. Whoever made the changes should mitial and date the changes. The corrected photocopy should be attached to the original Form 4473 and retained as part of the transferor/s/seller's permanent records.

Exportation of Firearms: The State or Commerce Departments may require a firearms exporter to obtain a license prior to export. Warning: Any person who exports a firearm without proper authorization may be fined not more than \$1,000,000 and or imprisoned for not more than 20 years. See 22 U.S.C. 2778(e).

#### Section A

The transferee/buyer must personally complete Section A of this form and certify (xign) that the answers are true, correct, and complete. However, if the transferee buyer is unable to read and/or write, the answers (other than the signature) may be completed by another person, excluding the transferor seller. Two persons (other than the transferor/seller) must then sign as witnesses to the transferee's/buyer's answers and signature/certification in question 14.

ATF Form 4473 (5300.9) Revised October 2016

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U.S. Department of Justice

Bureau of Alcohol. Tobacco Finearms as d'Explosives

WARNING: You may not receive a firearm if prohibited by Federal or State law. The information you provide will be used to determine whether you are prohibited from-receiving a firearm. Certain violations of the Gun Control Act. 18 US:CF921 (ransacted, seq., are punishable by up to 10 years imprisonment and/or up to a \$250,000 fine.	rion Se	iriā)
Read the Notices, Instructions, and Definitions on this form. Prepare in original only at the licensed premises Clicensed premises includes business temporarily conducted from a qualifying gun show or event in the same State in which the licensed premises is located) unless the transaction qualifies under 18 U.S.C. 922(c). All entries must be handwritten in link. "PLEASE PRINT."	> 60	2
Section A - Must Be Completed Personally By Transferee/Buyer  1. Transferee's/Buyer's Full Name (If legal name contains an initial only, record *10" after the initial. If no middle initial or name, record *1.  Last Name Alicheling suffix (e.g., Jr. Sr. II, III)   First Name   Middle Name	VWV	.,
Adkins william papels		
2. Current State of Residence and Address (U.S. Postal abbreviations are acceptable. Cannot be a post office box.)  Number and Street Address    State	ZIP C	ode
Redacted Lerona mercer wi	4:	357
3. Place of Birth U.S. City and State  OR- Foreign Country  In 27 September 12. Birth Date  Recac  8. Social Security Number (Optional, but will help present mysels military)  9. Unique Personal Identification	:te	ed
Redacted Instructions for Question 9.7		
10.5 Kace (in danum in emilicity, select one or more race in 10.6. Both 10.6. and 10.6. must be answere  [ Hispanic or Latino	d.1	
11. Answer the following questions by checking or marking "res" or "no" in the boxes to the right of the questions.  a. Are you the actual transferee buyer of the firearm(s) listed on this form? Warning: You are not the actual transferee/buyer if you	Yes	No
are acquiring the firearm(s) on behalf of another person. If you are not the actual transferee/buyer, the licensee cannot transfer the firearm(s) to you. Exception: If you are picking up a repaired firearm(s) for another person, you are not required to answer 11.a and may proceed to question 11 b. (See Instructions for Question 11 a)		
b Are you under indictment or information in any court for a felony, or any other crime for which the judge could imprison you for more than one year? (See Instructions for Question 11.b.)		
e. Have you ever been consisted in any court of a felony, or any other crime for which the judge could have imprisoned you for more than one year, even if you received a shorter sentence including probation? (See Instructions for Question 1) ex		
d. Are you a digitive from justice? (See lustructions for Question 11 d.)		
Are you an unlawful user of, or addicted to marijuana or any depressant, stimulant, narcotic ding, or any other controlled substance? Warning: The use or possession of marijuana remains unlawful under Federal law regardless of whether it has been legalized or decriminalized for medicinal or recreational purposes in the state where you reside.		
f Have you ever been adjudicated as a mental defective OR have you ever been committed to a mental institution. (See Instructions for Question 11.f.)		la maria
g. Have you been discharged from the Armed Forces under dishunorable conditions?		
h. Are you subject to a court order restraining you from hacussing, stalking, or threatening your child or an intimate partner or child of such partner? (See histractions for Question 11.h.)		
i. Have you ever been convicted in any court of a misdemeanor crime of domestic violence? (See Instructions for Question 11 i)		
12.a. Country of Citizenship. (Check/List more than one. if applicable. Nationals of the United States may check U.S.A.)  United States of America (U.S.A.)  Other Country Countries (Specify)		12
Transparent (arrows read)		
12.b. Have you ever renounced your United States citizenship?		
12.c. Are you an alien illegally or untawfully in the United States?  12.d.1. Are you an alien who has been admitted to the United States under a nonimmigrant visa? (See Instructions for Question 12.d.)		
12.d.2. If "yes", do you fall within any of the exceptions stated in the instructions?		
13. If you are an alien, record your U.SIssued Alien or Admission number (AR#, USCIS#, or 194#):	rando	Seeming of
Previous Editions Are Obsolete Transferae/Rover Continue to Next Page		

Case 3:19-cv-00208-GMG Document	10-14 Fi	led 06/04	/20 P	age 59 of	111 Page	ID #: 529
I certify that my answers in Section A are true, correct, and comp						
4473. Lunderstand that answering "yes" to question 11.a. if Lum	not the actual	transferee/bu	yer is a er	ime punishable	as a felony und	er Federal lan, and
may also violate State and or local law. I understand that a person is prohibited from purchasing or receiving a firearm. I understa	in who answer:	s "yes" to any	of the que	stions H.b. thr	ough 11.1 and 0	r 12.6. through 12.c.
possessing a firearm, unless the person answers "yes" to question	17.d.2. and nr	n who missien avides the dad	s yes to umentatic	n teorina ju	. is promoneu i 8.e. I also undi	roin receiving or
any false or al or written statement, or exhibiting any false or mis						
felony under Federal law, and may also violate State and or local	law, I further	understand ti	nat the rep	etitive purchas	e of firearms fo	r the purpose of
resale for livelihood and profit without a Federal firearms license	a yiolation	of Federal la	w. (See.fr	istructions for		formation and the second and the sec
14. Transferee Buyer's Signature	S U				Certification /	ton Date
00000000000000000000000000000000000000	AJC.				1001	12017
Section B - Mu						
16. Type of firearm(s) to be transferred tcheck or mark all that a,	* -	17. If transfer	r is at a qu	alifying gun sh	ow or event:	•
Handgun Long Gun Other Firearm (frame, r	ercirci, eic	Name of Fun	ction:			dans distributions application of the term
Virifles or See Instructions for Que		Park Brance				-
	J. 1	City, State.		200 21 22 5	. I	Commence of the second
18.a Identification (e.g., Virginia Driver's license (VA DL) or oth Issuing Authority and Type of Identification Number on	er valid goverr Ideatification	ament-issuea <sub>i</sub>	ината ист		<i>e instructions fo</i> Date of Identific	
1550mg Additions and Type of Identification	10.24/1116/1117			Month	Day	Year
11111111111	2/7/	~ (L		7	14	2420
	Section 1					
18.b. Supplemental Government Issued Documentation (if identification 18.b.)	чеанен авент	ent does not s	HOW CHITE	nt residence ac	aress) (502 ms	tructions for
18 c. Exception to the Nonimmigrant Alten Prohibition: If the tradocumentation showing the exception to the prohibition and	insteree hiiyer. Carrash a cowo	answered "Yl az akie ATE E	65 - 10 12. oma 4473	d. 2. The transfe 	ror/seller must t ans far i havrin	record the type of
noethicingwa shawaig me excelutor to the brounden are	randen a copy	(C. HUS EXTER	OTH THE	THE PERMIT	tari'in Öne an	
Questions 19, 20, or 21 Must Be Completed Prior To	The Transfer (	Of The Fires	rmi(s) /50	· Instructions t	or Ouestions 15	10 and 17 i
19.a Date the transferee's buyer's identifying information in Sec					imber dif provid	
transmitted to NICS or the appropriate State agency:						
Moule Duy Wee						
Month   Day   Year   20   7		100	RCC	44m		
	and a State				ano let re canairon	d from NICS or the
19.e The response initially (first) provided by NICS or the approagency was:	priore State		iate Siate i	igency:		
Proceed Delayed  [The firearms a may be transferred]		Proceed				Overturned
Denied The firearms (may be transferred	16 3	Denied	***		fifther	
Cancelled	ra nyaomary j T	[ ] Cancell	cd		tihite)	
		Mo resp	onse was	provided withi	n 3 business day	3
19.e (Complete if applicable.) After the firearm was transferred.	the following	The second secon				
idate). Proceed	[] D	enied	Car	ncelled	,	
19.f. The name and Brady identification number of the NICS ex	aminer /Optio	mala [19.9 N	ame of FI	L Employee C	ompleting NIC	Sicheck (Optional)
		*				•
	nm/cr)					
20. No NICS cheek was required because a background ch					on the individu	al who will receive
the NFA firearm(s), as reflected on the approved NFA in the NFA firearm(s).					Can Data and Cal	var u bish mush 6 v
21 No NICS check was required because the transferee by as an exemption to NICS. (See Instructions for Quest.)		зреинсион	the Mate	A BELT THE DAMS	rat is to rever he	ico, winch quanties
Issuing State and Permit Type Date of Issuance /		Expiration Da	ite <i>tif am</i> .	; P	ermit Number i	Hemm
		,		;		•
Section C - Must Be						
If the transfer of the firearm(s) takes place on a different day from					the transferee b	uyer must complete
Section C immediately prior to the transfer of the firearm(s). (Section C immediately prior to the transfer of the firearm(s).					agent designing coming to agreement to the public below when I and the label and a second	
I certify that my answers to the questions in Section A of this	iorm are still i	true, correct,	and com	hists.	123. Recenific	Pation Data
22. Transferee's/Buyer's Signature					EJ. RECEIUR	Latten Ezitt
Transfer	or Seller Con	tinue to Next	Page		Commence and Commence of the State of the St	
STAPLE IF	PAGES BEC	OME SEPAI	RATED			

Case 3:19-cv-00208-GMG Document 10-14 Filed 06/04/20 Page 60 of 111 PageID #: 530 Section D - Must Be Completed By Transferor/Seller Even If The Firearm(s) is Not Transferred 26 Type (See Instructions Model Manufacturer and Importer (If any) off the Serial Number Caliber or manufacturer and importer are different, (If Designated) for Ouestion 27 r Gauge the FFL must include both) 7 2 YK REMINDER - By the Close of Business Complete ATF Form 3310.4 For Multiple Purchases of Handguns Within 5 Consecutive Business Days 29. Total Number of Firearms Transferred (Please handwrite by printing e.g., zero, 30. Check if any part of this transaction is a pawn redemption. one, (wo, three, etc. Do not use numerals.) Line Number(s) From Question 24 Above. 31. For Use by Licensee (See Instructions for Onestion 32. Check if this transaction is to facilitate a private party transfer (See Instructions for Question 32.) 33. Trade/corporate name and address of transferor seller and Federal Firearm License Number: Mior commin at least first three and last time digits of FFL Number A-AA-XXXXXX.) (Hand stamp mere be used) MARTING URS W/a 25404 455-003.02-84 01768
The Person Transferring The Firearm(s) Vlust Complete Questions 34-37. For Denied Cancelled Transactions, the Person Who Completed Section B Must Complete Questions 34-36. Lecrtify that: (1) I have read and understand the Notices, Instructions, and Definitions on this ATF Form 4473; (2) the information recorded in Sections B and D is true, correct, and complete; and (3) this entire transaction record has been completed at my licensed business premises ("licensed premises" includes business temporarily conducted from a qualifying gun show or event in the same State in which the licensed premises is located) unless this transaction has met the requirements of 18 U.S.C. 922(c). Unless this transaction has been denied or cancelled. I further certify on the basis of — (1) the transferee's/buyer's responses in Section A (and Section C, if applicable); (2) my verification of the identification recorded in question 18 (and my re-verification at the time of transfer, if Section C was completed); and (3) State or local law applicable to the firearms business - it is my belief that it is not unlawful for me to sell, deliver, transport, or otherwise dispose of the firearm(s) listed on this form to the person identified in Section A. Transferor's Seller's Name APleave priver 35. Transferor Seller's Signaphre Transferor's/Seller's Title 37. Date Transferred NOTICES, INSTRUCTIONS, AND DEFINITIONS ∮ORMS 4473 FOR DENIED CANCELLED TRANSFERS MUST BE RETAINED: the transfer of a firearm is demed/cancelled by NICS, or if for any other reason Purpose of the Form: The information and certification on this form are the transfer is not completed after a NICS check is initiated, the licensee must retain designed so that a person licensed under 18 U.S.C. 923 may determine if he sho the ATF Form 4473 in his her records for at least 5 years. Forms 4473 with respect may limitally sell or deliver a firearm to the person identified in Section A. incl. to which a sale, delivery, or transfer did not take place shall be separately retained to after the transferee/buyer of certain restrictions on the receipt and possession an alphabetical the name of transfere is or chronological the date of namifaree's of litearms. The transferor seller of a firearm must determine the lawfolness of certifications order. the transaction and maintain proper records of the transaction. Consequently, the transferor/seller must be familiar with the provisions of 13 U.S.C. 921-931 and If the transferor seller or the transferoe/bayer discovers that in ATF Lorin 4473 is the regulations in 27 CFR Parts 478 and 479. In determining the lawfulness of mecomplete or improperly completed after the firearm has been transferred, and the the sale or delivery of a rifle or shotgun to a resident of another State, the transferor seller or the transfered huyer wishes to correct the omission(s) or transferor/seller is presumed to know the applicable State laws and published error(s), photocopy the maccurate form and make any necessary additions or ordinances in both the transferor s/soller's State and the transferee's huver's revisions to the photocopy. The transferor/seller should only make changes to State (See ATF Publication 5300.5. State Laws and Published Ordinances) Sections B and D. The transferce/buyer should only make changes to Section A and C. Whoever made the changes should initial and date the changes. The corrected Generally. ATF form 4473 must be completed at the beensed business premises photocopy should be attached to the original Form 4473 and retained as part of the when a firearm is transferred over-the-counter. Federal law 18 U.S.C. 922(c). transferor's seller's permanent records. allows a licensed importer, manufacturer, or dealer to sell a firearm to a nonlicensee who does not appear in person at the licensee's business premises only Exportation of Fireurus: The State or Commerce Departments may require a if the transferee/buser meets certain requirements. These requirements are set firearms exporter to obtain a because prior to export. Warning: Any person who exforth in section 922(c), 21 CFR 478,96(b), and ATF Procedure 2013-2 ports a firearm without proper authorization may be fined not more than \$1,000,000 and or imprisoned for not more than 20 years. See 22 I. S.C. 2778(c). After the transferor/seller has completed the firearms transaction, he/she must make the completed, original ATF Form 4473 (which includes the Notices, Gen-Section A ral bismic tions, and Definitions), and any supporting documents, part of his/her permanent records. Such Forms 4473 must be retained for at least 20 years and The transferee buyer must personally complete Section A of this form and cerufy after that period may be submitted to ATF. Filing may be chronological, by dute

> MF Form 4473 (5300.9) Revised October 2016

(sign) that the answers are true correct, and complete. However, if the transferee

buyer is unable to read and/or write, the answers tother than the signature) may be

completed by another person, excluding the transferor/seller. Two persons to their

than the transferor seller) must then sign as witnesses to the transferee's/buyer's

answers and signature certification in question 14

4473 are filed in the same manner.

of disposition), alphabetical (by name of purchaser), or numerical (by transac-

tion serial numbers, as long as all of the transferor's seller's completed Forms

Bureau of Alcohol, Tobacco, Firearms and Explosives

WARNING: You may no determine whether you a et. seq., are punishable by	re prohibited	l from receivin	ig-a-firearm.	Gertala viola	itions of the C					Transfero Transac Nambe	นิดก วิย	rial
Read the Notices, Instructional Includes husiness temporal located) unless the transa-	alous, and Do	efinitions on th d from a quali s under 18 U.1	ds form. Pre Jying gun sho S.C. 922(e). A	pare in origin	al only at the the same State st be handwri	e <i>in which</i> itten in inl	the lice k. "PL	msed pre EASE Pl	mises is	5	6	72
1 Transferee's Buyer's Last Name (Including sug	ffix le.g., In .	Flegal name c Sr. 11. 111)	ontains an in First Name	ittal only, rec	ord "10" afte	r the Initi	al. If n	o middle Iiddle Na	me .	me, record "	/Wi	erran era ramenere hunn.
Current Strice of Resi				IES viations are i	acceptable. (		e a pos	I office I	<u> </u>	1.0		
Reda Reda			I Chy MAI	UTINSI	34R6	}	BE		CEY	State	71P CC	inc it
U.S. City and State	-OR-	Foreign Cou	MHŽ.	Fr. 5	(Lbs)	X M		7. Birt Mo		dact	· -	7
SACTIMURE 8. Social Security Numb		Int will help p	event misuket	3	315 9. Unique l Instructions	Personal I	dentific					<i>J</i>
10.a. Ethnicity  Hispanic or Latino Not Hispanic or Lat		Racz (In ada American Ind Azian		Sarive []		ican Amei	ricus		IX'	st he answere White	:d.)	
11. Answer the following a. Are you the actual tra are acquiring the fire the firearm(s) to you and may proceed to g	questions by usferce buye earm(s) on l . Execution.	checking or rot the firean schalf of anot If you are pi	n(s) listed on her person. eking up a re	" or "no" in this torn? V If you are n paired firear.	the boxes to t Varning: Yo of the actual	he right o u ure not transfere	I the que the ac	iestions. tual trai	isferee/huye	ot transfer	Yes X	No.
b. Are you under indictr more than one year?	nent or infor	ync ni noitean	-court for a fe		other crime it	w which t	he judg	se could	imprison you	u for		X
e Have you ever been o than one year, even if	onvicted in : you receive	my court of a La shorter sen	felony, or an nence includi	s other crime ng probation:	for which the	z judge co vous tin	odd hav Qu. xiii	e impris	aned you to	r more		X
d. And your a fugitive fro	un justice" /	Sec Instruction	is the Que wh	4 H d.r	· · · · · · · · · · · · · · · · ·		. ,				-	区
e. Are you in unlawful of Warning: The use of decriminalized for w	r possession	of marijuum	i remains un	lawful under	- Federal lav	regardk					1	文
f.—Have you ever been a for Question 11.f.)	djudiented a:	s a mental de i	ective OR ha	ve sou ever b	ean committe	ed to a me	mal in	stitution'.	i (See Instru	(Claus	The state of the s	¥
g. Have you been discha	irged from th	e'Armed Forc	es under dis	honorable c	anditions!! .							X
ir — Are you subject to a e such partner! (See In				ng, stalking, e	r threatening	your chil	d or an	mimut	partner or c	hild of		IX.
i Have you ever been e	onvicted in .	my court of a	misdemeano	renme of do	nestic violen	ce'l TSee .	Instruc	tums fen	Question H	FF		M
12.a. Country of Citizens	hip: iCheek	List more than	time, if appl	icable. Natio	nals of the U	nired State	is majo	cheek U	S.4.1	Select in Secul Perior man Select shift differential		L
United States of a	America (C.)	S.4) [](	Other Country	Countries /S	pecijyr:							posenio toss
12.h. Have you ever r	enounced vo	ur United Stat	es citizenshi	2'.'							Tes I	H
12.c. Are you an alier					and the second s						一	No.
12.d.1 Are you an alien					nonimunigrar	n visa" /S	ee Insti	ructions.	for Question	112.d.j		文
12.d.2. If "yes", do you	fall within a	ny of the exce	ptions stated	in the instruc	tions'.				· · · · Salada · · · · · · · · · · · · · · · · · ·	N/A		
13. If you are an atien, reco	ord your U.S	-Issued Alien	or Admission	number (AR)	具 USC/Sま or	· /94#);						
Previous Editions Are Obsolu Page 1 of 6	ŧċ	وسارستان والمادوق المداد المساورين والمساور والمساور ووالمداد		ee/Buyer Cor PAGES BE						Form 4473 (530) sed October 201		

Case 3:19-cv-00208-GMG Document 10-14 F	Filed 06/04/20 Page 62 of 111 PageID #: 532
Legality that my answers in Section A are true, correct, and complete. I have a 4473. I understand that answering "yes" to question 11.a. if I am not the actual may also violate State and or local law. I understand that a person who answer is prohibited from purchasing or receiving a firearm. I understand that a person possessing a firearm, unless the person answers "yes" to question 12.d.2, and prany false or also entire translatement, or exhibiting an table or misrepresented to felony under Federal law, and may also violate State and or local law. I further resale for livelihood and profit without a Federal firearms license is a violation	Il transferee/buyer is a crime punishable as a felony under Federal law, and rs "yes" to any of the questions 11.b. through 11.i and or 12.b. through 12.c. on who answers "yes" to question 12.d.1. is prohibited from receiving or rovides the documentation required in 18.c. 1 also understand that making fermication with respect to this transaction. Is a crime punishable as a r understand that the repetitive purchase of firearms for the purpose of of Federal law. (See Instructions for Question 14.)
14. Transferee's Buyer's Signature	15 Certification Date 8 (15/1,7)
Section B - Must Be Compl 163 Type of firearm(s) to be transferred (check or mark all thoi apply):	eted By Transferor/Seller  17. If transfer is at a qualifying gun show or event:
Handgun Long Gun Other Firearm (frame, receiver, etc.	
irilles or See Instructions for Question 16 )	Name of Function:  City. State:
18.a. Identification te.g., Virginia Driver's license (U4 DL) or other valid governs in the Sumber on Identification ——Number on Identification	annent-respect blioto recurification is 1986 titspractions (or Encetion 1999)
WU.DL F7870	and the control of th
18.b. Supplemental Government Issued Documentation (if identification documentation 18.b.)	nent does not show current residence address). (See Instructions for
18.c. Exception to the Monimmigrant Alien Probibition: If the transferee/buyer documentation showing the exception to the probibition and artach a copy	
Questions 19, 20, or 21 Must Be Completed Prior To The Transfer  19 a. Date the transferee's buyer's identifying information in Section A was transmitted to NICS or the appropriate State agency    Month	D.b. The NICS or State transaction number (if provided) was:
19.c. The response initially (first) provided by NICS of the appropriate State agency was:    Progeed   Delayed   The firearmant may be transferred on	19.d The following responsers) was were later received from NICS or the appropriate State agency:   Proceed
agency was:    Progeed   Delayed     Denied   The firearmixt may be transferred on if State has penaled in its an elementary implemals.]	pppropriate State agency:  Proceed
agency was:    Proceed   Delayed     Denied   The fivearmist may be transferred on	ppropriate State agency:    Proceed
agency was:    Proceed	ppropriate State agency:    Proceed
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Proceed Denied The firearmist may be transferred on if St. ne has permits deptionally  19.e. (Complete if applicable + After the firearm was transferred, the following (tlane). Proceed Denimber:  19.f. The name and Brady identification number of the NICS examiner (Optionally)  19.f. The name and Brady identification number of the NICS examiner (Optionally)  19.f. The name and Brady identification number of the NICS examiner (Optionally)  19.f. The name and Brady identification number of the NICS examiner (Optionally)  19.f. The name and Brady identification number of the NICS examiner (Optionally)  19.f. The name and Brady identification number of the NICS examiner (Optionally)  19.f. The name and Brady identification number of the NICS examiner (Optionally)  19.f. The name and Brady identification number of the NICS examiner (Optionally)  19.f. The name and Brady identification number of the NICS examiner (Optionally)  19.f. The name and Brady identification number of the NICS examiner (Optionally)  19.f. The hard provide the following the NICS examiner (Optionally)  19.f. The hard provide the following the NICS examiner (Optionally)  19.f. The hard provide the following the NICS examiner (Optionally)  19.f. The hard provide the following the NICS examiner (Optionally)  19.f. The hard provide the following the NICS examiner (Optionally)  19.f. The hard provide the following the following the NICS examiner (Optionally)  19.f. The hard provide the following the following the following the NICS examiner (Optionally)  19.f. The hard provide the following the fo	properties State agency:    Proceed   Chao   Overturned     Demed   (chao)     Cancelled   (chao)     No response was provided within 1 business days.   response was reserved from NICS or the appropriate State agency on:   Denied   Cancelled     Cancelled   Cancelled   Cancelled     Intal   19.g. Name of FFL Employee Completing NICS cheek. (Optional)     Interest   Optional     Interest
Proceed Delayed   Denied   The firearmist may be transferred in     Denied   The firearmist may be transferred in     Denied   The firearmist may be transferred in     If State has penales depthonal?    19.e. (Complete if applicable) After the firearm was transferred, the following     (date)   Proceed   Delayed     19.f. The name and Brady identification number of the NICS examiner (Option     The name and Brady identification number of the NICS examiner (Option     The name and Brady identification number of the NICS examiner (Option     The name and Brady identification number of the NICS examiner (Option     The name and Brady identification number of the NICS examiner (Option     The name and Brady identification number of the NICS examiner (Option     The name and Brady identification number of the NICS examiner (Option     The name and Brady identification number of the NICS examiner (Option	proceed

Revised October 2016

THE RESIDENCE OF THE PROPERTY	t Be Completed By	<b>t 10-14</b>   Transferor	Seller Even If The Fir	Page 63 of 111 Page earm(s) is Not Transferred	
24 Manufacturer and Importer (II any ) (II the manufacturer and importer are different, the FFL must include both )	re different, (It Designated)			Type (See Instruction Of Operation 2)	
1. TAURUS	44	0	C 227728	Rouduer	44 mag
3.			der were entrythere were recent to the second of the secon	and the second s	
4.			. His sales at a first control of the same consideration of the		**************************************
REMINDER - By the Close of Business (	Complete ATF For	m 3310.4 Fo	r Multiple Purchases	of Handguns Within 5 Consec	cutive Business Days
29. Total Number of Firearms Transferred to one two, three, etc. Do not use numera	als.) (D)	r priming c.g., VE	Lin	any part of this transaction is a se Number(s) From Question 24	.Nhove:
<ol> <li>For Use by Licensee (See Instru-tions )</li> </ol>	r Question 31 e			this transaction is to facilitate a eliminactions for Question 32.1	
33. Tride corporate name and address of tra of FFL Number N-NANNA (Hand FRAZIER'S PAWN 922 Ni Queen MARTINSO URS	stamp man be used	ì		-003 - 02 - 8 H-	
ThVPe	rson Transferring	The Firearn	n(s) Must Complete Q	Questions 34-37. Must Complete Questions 34-	. 36
temporarily conducted from a qualifying gun strequirements of 18 U.S.C. 922(c). Unless this trescention A (and Section C; if applicable); (2) my transcompleted); and (3) State or local law applications of the firearm(s) listed on this form to the stransferor's Seller's Name (Reave printle).	ansaction has been d verification of the ide cable to the firearms l ie person identified in	enied or cance ntification reco onsiness — it is	lled, I further certify on to orded in question 18 (and my belief that it is not u	he basis of — (1) the transferce's/I I my re-verification at the time of t	buyer's responses in transfer, if Section C
NOTICES, INSTRUCTIONS, AND  Purpose of the Form: The information and certif- designed so that a person beensed under 184. S.C. may lawfully self or Jehrer a firearm to the person to alert the transferce buyer of certain restrictions to if firearms. The transferor selfer of a firearm must be transaction and maintain proper records of the transferor selfer must be familiar with the provision the regulations in 27 CTR Parts 478 and 479. In di- the sale or delivery of a rifle or sheighn to a reside	heation on this form are 923 may determine it indentified in Section , on the receipt and possible termine the tawful, transaction. Consequents of 18 U.S.C. 921-9 etermining the lawfuln	The/she A, and session ness of antly, the 31 and ness of	If the transfer of a firenment the transfer is not completed. ATF Form 44 (3 in his to which a sale, defivery in alphabetical the name conficution) order. If the transferonseller or incomplete or improperly	HEDICANCELLED TRANSFIRS in is dealed/cancelled by NICs, or in ted after a NICS cheek is immated, a her records for at least 5 years. For transfer did not take place shalf of transfered after thromological characteristics and the transfered buyer discovers that a completed after the firearm has been stered buyer wishes to correct the	For any other reason, the licensee must retain forms 4473 with respect to separately retained shale in transferred.  An XIT Form 4473 is on transferred, and the
ransferor seller is presumed to know the applicable ordinances in both the transferor's seller's State an state of Sea ATF Publication 5300.5 State University Generally, ATF Form 4473 must be completed at the	le State laws and publi nd the transferce's/buys nd Purl'y/ned Ordinant he licensed business pi	shed or 's rew + renuses	error(s), photocopy the it- revisions to the photocopy Sections B and D. The u C. Whoever made the ch photocopy should be atta	mecurate form and make any neces; y. The transferor soller should only unsferee/buyer should only make el langes should mittal and date the ch ched to the original Form 4473 and	sary additions or , make changes to hanges to Section A and anges. The corrected
when a firearm is transferred over-the-counter. Feathlows a ficensed importer, manufacturer, or dealer tensee who does not appear in person at the licensifthe transferee buyer meets certain requirements with in section 923(c), 27 CFR 478 96(b), and VPI	to sell a firearm to a t ec's bu mess premises. These requirements a F Procedure 2013-3.	ionti- only re set	firearm exporter to obtain ports a firearm without pr	anent records.  St. The State or Commerce Departs in a license prior to export. Warnin oper authorization may be fined not timore than 20 years. See 22 U.S.C.	ig: Any person who ex- timore than \$1,000,000
titer the transferor seller has completed,the firearr nake the completed, original ATF Form 4413 (whi				Section A	
oral Instructions, and Definitions), and any support permanent records. Such Forms 44.73 must be reta- ifter that period may be submitted to ATF. I thing re- of disposition), alphabetical (by name of purchaser- tion serial number), as long as all of the transferor	ting documents, part o uned for at least 20 yearnay be chronological o of, or numerical by wa	This her ars and Oby date assac-	(sign) that the answers ar buyer is unable to read an	it personally complete Section A of e true, correct, and complete. Howe do'or write, the answers tother than ison, excluding the transferor seller	ever, if the transferree the signature) may be

4473 are filed in the same manner.

 $\mathbf{m}$  $\mathbf{d}$ 

than the transferor/seller) must then sign as witnesses to the transferee's buyer's

answers and signature/certification in question 14.

Page inde

Bureau of Mechol Tobacco, Precarms and Explosives

#### Firearms Transaction Record

WAR SING: You may not receive decembre whether you are problifted, see, are punishable by up to 10	lied from revelling a firearm. C	ectuin sidlanons in the t	rmation you provide will be used to oun Control Act. 18.1 .S.C. 321	Transferor fransact Number	ion Set	101
Read the Notices, Instructions, and includes business temporarily condu- located) unless the transaction qual	icted from a qualifying gun show	or event in the same State entries must be handwr	ltten in iok, "PLEASE PRINT."	56	94	
L. Transferee's Buyer's Full Nam Last Name (Including suffix to g).	e tH legal name contains an miti		or the Initial Afric middle Initial or Middle Name	name record ")	V,1/V".	}
Drinnon	Cody		Michael			
Number and Street Address Redacte	ed City    City	tinjburg	Berkley	Sine	zie co 25	ide 401
Now Jingburg WV 8. Social State  Redact	tal herville have a great moderning		TOTAL LAND	dacted Well applicable		
10.a. Ethnicay (0)		iy, xeleccone or more ri	ice in 10.5. Both 10.a. and 10.b. n	nest be unswere.	(l.)	
Hispanic of Latino Not thispanic of Latino	American Iodian or Aiaska N ] Asian	Samuel Common Co	ienn American ninn or Other Pacitic Islander	Z White		
are acquiring the firearm(s) of the firearm(s) to you. Exception	iver of the breami(s) listed on the behalf of another person.	is form" Warning: Yo Lyon are not the actual tired firearm(s) for once	he right of the questions in are not the arrual transferee/but transferee/buyer, the licensee can be person, you are not required to	nant transfer	Yes.	No III
<ul> <li>Are you under indicateent or in more than one year? (See hish)</li> </ul>		ny, or any other crime f	or which the judge could imprison	. ਨਰ ਨਿਆ	, , , , , , , , , , , , , , , , , , , ,	$\mathbb{Z}$
	n any coast of a felony, or any c sed a shoster somence including		r judge could have imprisoned you officer for Oncome H.c.	ित वाजार	V	
d. Are you a fugitive from justice	***					
Warning: The use or possessi		wful umfer Federal lav	colo drug, a may other controlled s r regardless of whether it has been t			Ø
f Have you ever been adjusticated for Question 11.7.	as a mental defective OR have	you ever been compatib	ed to a mem d institution? See his	transtinate		
g – Have you been discharged from				ing an include a superior annual forms of Manager and Market and an American		$\mathbb{Z}$
n. Are ja n subject to a court order such partner? (Sor Instruction)	restraining you from barassing for Question 17 h.:	stalking or threatening	your child so an infiniate partner of	r child of		
L. Have you ever been convicted	in any court of a misdemeanor c	rime of domestic violen	eet (See Instructions for Question	1133		Z
12.a Country of Citizenship: (Che United States of America).	affini (Mr. va		uned State only objek USA.)	- Terminal Committee (1) - Inches (1) - Inch	-1	
12 h. Have you ever renounced	your United States citizenship?			Ten Land Commence of the Comme	Yes   	No.
12.e Are you an alien illegally 12.d.1 Are you an aften who has	or unlawfully in the United Sta been admitted to the United Stat a any of the exceptions stated in	es under a nonimmigrue	nt visa" (See [inst nettinis for Questi	on 12.ds		包含
13. If you are on alien, record your C	IS -Issued Alien or Admission n	amber (4R≠, USC/S≒ or	· [04]			Property .
Previous Editions Are Obsolete	Transferee/	Buyer Continue to Nev	it Page A	TF Form 4475 (\$ 900)	14},	

STAPLE IF PAGES BECOME SEPARATED

Revised October 2016

Case 3:19-cv-00208-GMG Document 10-14 For I certify that my answers in Section A are true, correct, and complete. I have re 4473. I understand that answering "yes" to question 11.a, if I am not the actual may also violate State and or local law. I understand that a person who answer is prohibited from purchasing or receiving a firearm. I understand that a person possessing a firearm, unless the person answers "yes" to question 12.d,2, and promy false or written statement or exhibiting any false or misrepresented idefelony under Federal law, and may also violate State and/or local law. I further resale for livelihood and profit without a Federal firearms license is a violation	ad and understand the Notices, Instruct transferee/buyer is a crime punishable s "yes" to any of the questions 11.b. thro in who answers "yes" to question 12.d.f. royides the documentation required in the entification-with respect to this transaction understand that the repetitive purchase	ions, and Definitions on VTF Form as a felony under Federal law, and ough 11.i and or 12.b. through 12.c. is prohibited from receiving or B.c. I also understand that making on is overimo-punishable-as-a
14. Transferce's/Buyer's Signature		13. Comeanon rate
/Section B - Must Be Comple	ted Ry Transferor/Seller	
V	17. If transfer is at a qualifying gun sho	our or event:
Handgun ALong Gun Other Firearm (frame, receiver, etc.)  See Instructions for Question 16.1	Name of Function:  City, State:	
18.a.Identification (e.g., Virginia Driver's license (V4 DL) or other valid governorming Authority and Type of Identification  Number on Identification	nment-issued photo identification + (See Expiration F Month	Substructions for Question 18.0.1  Sate of Identification (if any)  Day Year
WVDZ F63551		03 2023
18 b Supplemental Government Issued Documentation (if identification documentation IS b.)	vent does not show current residence add	lress) (See Instructions for
18 c Exception to the Nonimmigrant Alien Prohibition: If the transferee buyer documentation showing the exception to the prohibition and attach a copy	answered "YES" to 12.d.2, the transfer to this ATF Form 4473. (See Instruction)	or seller must record the type of one for Question 18 $\epsilon$ (
Questions 19, 20, or 21 Must Be Completed Prior To The Transfer 19 a Date the transferee's huyer's identifying information in Section A was transmitted to NICS or the appropriate State agency:	Of The Firearm(s) (See Instructions for 19.6. The NICS or State transaction nu	ir Questions 19, 20 and 21) mber (if providedi was:
Month Day Year 2017	100 BZS6Z	
19.e The response initially (first) provided by NICS or the appropriate State agency was.	19 d. The following responsets twas/wa appropriate State agency:	•
Proceed Delayed	Proceed	
Demed [The firecomes) may be insusferred on [Formalist approach]	Denical	
Cancelled ——————————————————————————————————	Cancelled	
19.e. (Complete if applicable.) After the firearm was transferred, the following	No response was provided within	and the second s
	penied Cancelled	and white the constraint of the spine of the
19.f. The name and Brady identification number of the NICS examiner. (Option	oual) 19.g. Name of FFL Employee C	ompleting NES check. 10ptional)
(Harre) - Enumber (	NAME OF THE PARTY	
20. No NICS cheek was required because a background cheek was compatible NFA firearm(s), as reflected on the approved NFA application. /3	pleted during the NFA approval processing Instructions for Ouestion 2011	on the individual who will receive
21 No NICS cheek was required because the transferee buyer has a validation as an exemption to NICS. (See Instructions for Question 21.)	d permit from the State where the trans	fer is to take place, which qualifies
Issuing State and Permit Type  Date of Issuance (if any)	Expiration Date (if only)	rmit Number (if mṛ)
Section C - Must Be Completed P		gaden generation of the state o
If the transfer of the firearm(s) takes place on a different day from the date that Section C immediately prior to the transfer of the firearm(s). (See Instructions	for Question 22 and 23.)	he transferee/buyer must complete
I certify that my answers to the questions in Section A of this form are still 22. Transferee's Buyer's Signature	true, correct, and complete.	23. Recertification Date
	NO LINES SERVICE STORAGE AND	
Transferor/Seller Cou STAPLE IF PAGES BEC		

Page 2 of 6

ATT Lorm 44"3 (5306/9)

Revised Outober 2016

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Case 3:19 cv-00208-GM	IG Document : If Be Completed By T	<b>10-14 Filed</b> Fransferor /Seller E	06/04/20 Pa	nge 66 of 111 Pagell	D#: 536
24. Manufacturer and Importer (If, m) ill the monufacturer and importer are different, the FFL must metal, both)	25 Vlodel		26. Il Number	Type (See Institution) for Question 2")	28
1. Glock	36	XX	587	Pistal	4540
2 Remisotors	742	A7446	404	Rite	30.06
4.			er i 1990 til 1990 ga maganismi men skalamini i s	The second secon	- <del> </del>
REMINDER - By the Close of Business	Complete ATF Form	3310.4 For Multip	ole Purchases of H	landguns Within 5 Consecuti	ive Business Days
29. Total Number of Firearms Transferred	Driving garage	_	-	part of this transaction is a pay	•
one, two three, etc. Do not use numer	1001		Line N	umber(s) From Question 24 At	pove: 1, 2
31 For Use by Licensee (See Instructions )	for Question 31.)			transaction is to facilitate a pro structions for Question 32.4	vate party francièr
3.3 Trade corporate name and address of tr		leral Firearm Licens	e Number (Must e)	main at least first three and la	or his digits
of FFL Number X-XX-XXXXV) (Hanc					
	Aun phys				
gaz N.Que	n. 计.		11 cm 0.00	s 01/ 517	7.0
on Anti-shurt	4 H7-1	404		-0284-017	68
	erson Transferring T Transportions the Por			itions 34-37. a Complete Questions 34-36.	
Legrify that: (1) I have read and understand i		a a a managan an a		and the state of t	
true, correct, and complete; and (3) this entire	transaction record has b	seen completed at my	licensed business pr	remises ("licensed premises" inch	udes business
temporarily conducted from a qualifying gun to					
requirements of 18 U.S.C. 922(c). Unless this t Section A (and Section C. if applicable); (2) my					
was completed); and (3) State or local law appli-	icable to the firearms bu	siness — it is my beli			
dispose of the firearm(s) listed on this form to to 34. Transferor's Seller's Name, (Plague pr.	emments in effection to the extra recovered and recovered and a state of the extra recovered and the e	Seller's Signapore//	1 136 Trans	sferor's/Seller's Title (3	7 Date Frin Care
William L Hottman	P Will	2MH	星 SA	L .	8-19-17
NOTICES, INSTRUCTIONS, AN	D DEFINITIONS			CANCELLED IR VNSCERS MU	
Purpose of the Form: The information and certi-	ibearan ar this farm ire			denied concelled by NCS, or if for after a NICS cheek is initiated, the	
designed within a person beensed under 18 U.S.C		e show the ATE	From 4473 in his her	records for an least 5 years. Town	s 4-173 with respect
may lawfully sell or deliver a firearm to the perso to alert the transferee/huyer of certain restrictions				muster did not take place, shall be so considered or chronological ribin hit	
of fireacus. The transferor/seller of a firearm mu-	st determine the law fithing	ss of certifics	mont erder.		
the transaction and quaintain proper records of the transferon/seller must be familiar with the provisa			an force of the arthur.	ransferee buyer discovers that an i	166 S. may 1173 a
the regulations in 27 CFR Parts 478 and 479. In o				npieted after the trearm has been t	
he sale or delivery of a rifle or shotgan to a resid-				gree buyer wishes to correct the an	
transferor/seller is presumed to know the applicab ordinances in both the transferor's/seller's State J				arate form and make any necessary The ironsferor seller should only me	
State. (See AIF Publication 5300.5, State Lines in	nd Published Ordnunces	s / Sections C Who	B and D. The transfer or made the change	bree/buyer should only make than as should mutal mid late the chang	ges to Section A and les. The corrected
Generally, ATF Form 4473 must be completed at when a firearm is transferred over-the-counter. For allows a licensed importer, manufacturer, or dealer	ideni Haw, 18 U.S.C. 922	ru). transferi	py snound be automen m's/seller's permaner	l to the original Form 44°3 and retain records.	anda as pan 10 mg
coased who thoes not appear in person at the licen-	scels business premises o	oly Exports		na State or Commerce Department	
if the transferee buy or meets cortain requirements	. These requirements are	set firearms	exporter to obtain a !	license prior to export. Warning:	Arts person who ex-

firearms exporter to obtain a license prior to export. Warning: Any person who exports a firearm without proper authorization may be fined not more than \$1,000,000. and or imprisoned for not more than 20 years. See 22 U.S.C. 2778(c).

#### Section A

The transferee/buyer must personally complete Section A of this form and certify (sign) that the answers are true, correct, and complete. However, if the transferee buyer is unable to read and/or write, the answers tother than the signature) may be completed by another person, excluding the transferor seller. Two persons (other than the transferor/seller) must then sign as witnesses to the transferee schuyer's answers and signature/certification in question 14.

> ATF Form 4473 (5300,9) Revised October 2016

4473 are filed in the same manner

forth in section 922(e), 27 CFR 478,96(b), and ATF Procedure 2013-2

After the transferor seller has completed the firearms transaction, he she must make the completed, original ATF Form 4473 to buch includes the Notices. Gen-

eral Instructions, and Definitions), and any supporting documents, part of his her permanent records. Such Forms 4473 must be retained for at least 20 years and

after that period may be submitted to ATF. Filing may be chronological (by date

of dispositions, alphabetical (by name of purchaser), or numerical (by transa-

tion verial numbers, as long as all of the transferor's setter's completed Forms

U.S. Department of Justice

Bureau of Alcohol, Tobacco, Fireurms and Explosives

WARNING: You may not receive a firearm deterition whether you are profibiled from et. seq., are punishable by up to 10 years imp	receiving a firearm. Gertain vio	lations of the Lian Control Act.		Transferor's Seller's Transaction-Serial Number III am i
	a qualifying gun show or event h r 18 U.S.C. 922(c). All entries m ection A - Must Be Completed	n the same State in which the lice ust be handwritten in ink. "PL Personally By Transferee/Bu	ensed premises is EASE PRINT." yer	5686
1. Transferee's Buyer's Full Name (If legal Last Name (Including suffix to g. Jr. Sr. II. L.			liddle Name	)
Redacted	Chy Jan Jan	Comm Teleph 6, set		State ZIP Code  WV Z5414
Mark Social Soci	gn Country Ft. 5  In 9  (In addition to estimicity, select i	(Lfr.)   Male  2   O	- Altric Patamor (C.) (Se): -	
	ran Indian or Alaska Name [		Zw	e <i>unus eren.)</i> Me
11. Answer the following questions by check a. Are you the actual transferee buyer of the are acquiring the firearm(s) on behalf a the firearm(s) to you. Exception: If you and may proceed to question 11.5, iSee h	: firearm(s) listed on this form? of another person. If you are care picking up a repaired firea	the boxes to the right of the q Warning( You are not the ac not the actual transferce/buy	estions tual transferee/buyer l er, the licensee cannot t	ransfer 7
b. Are you under indictment of information more than one year? (See hyumetims for		other estine for which the jud-	se could imprise you to	
<ul> <li>Have you ever been convicted in any continuous year even if you received a sho</li> </ul>		·		are
d. Are you a fugitive from jastice? (See his)	praemous for Gueration Wells			
e. Are you an unlawful user of, or addicted Warning; The use or possession of ma decriminalized for medicinal or recrea	rijuana remains unlassful und	er Federal law regardless of a		
f. Have you ever been adjudicated as 15per the Question II f.)	nat defective OR have you ever	heen continued to a montal in	รเซียต์on? เSee Instructi	1725
g. Have you been discharged from the Armo	ed Farces under dishunurable	conditions?		
h Are you subject to a court order restrains such partner? See Instructions for Ques.		or threatening your child or an	imingste partner or child	101
r. Have you ever been consisted in any con	art of a misdemeanor crime of de	omestic violence? (See Instruc	tions for Question II (c)	
12.a. Country of Citizenship: /Check List me	ne than any if annlicable. Vati	onals of the United States may	oheck U.S.40	
United States of America (U.S.4)	Other Country/Countries /			
12.b. Have you ever renounced your Unit	sed States cirizenshin <sup>o</sup>	engegege (eggeneration and all distinguises) propriets (eggeneration) and the character of many approximate and the		TES NO
12.c. Are you an alien illegally or unlaw		ender the common that the second seco	gamen yang memberiah Maria (1900) 10 mengenang mengenang menjenang melanan sebagai (1900) 10 menjenang melanan 1	一情制
12.d.1. Are you an alien who has been adm	The state of the s	a nonimmigrant visa? (See Inst	ruetions for Ouestion 12	(d) 情情
12.d.2. If "yes", do you fall within any of d				MANIA D D
13. If you are an alien, record your U.SIssues	d Alien or Admission number (41)	R#. USCIS#. or I94#r.	/	7
Previous Editions Are Obsolete	Transferee/Buyer Co		Al'F Fort	n 44"3 (5310) 9)
Page 1 of 6		ECOME SEPARATED		October 2016

I certify that my answers in Section A are true, correct, and complete. I have re 4473. I understand that answering "yes" to question 11.a. if I am not the actual	ad and understand the Notices, Instructions, and Definitions on ATF Form
may also violate State and/or local law. I understand that a person who answer	's "yes" to any of the questions 11.b, through 11.i and or 12.b, through 12.c.
is prohibited from purchasing or receiving a firearm. I understand that a perso	m who answers "yes" to question 12.d.1. is probabled from receiving or
possossing a firearm, unless the person againers "ves" to question 12.d.2, and pr	tovides the documentation required in 18.c. I also understand that making
an take oral or written statement, or exhibiting an "false or his presented id	endires into with respect to this transaction is a crime purestaine as a
felony under Federal law, and may also violate State and or local law. I further resalc for livelihood and profit without a Federaj firearms license is a violation	of Federal law. (See Instructions for Question 14.)
14. Transferguis Buyer's Alenaylusy	15. Cehinean In Dags
14. Thanssages indict spendages	1×/×/1/
	I.B. T. was a "Sallow"
Section B - Must Be Comple	17. If transfer is at a qualifying gun show or event:
1,1,1	
Handgun Long Gun Other Firearm iframe, receiver, etc.	Name of Function:
	City States
18.a. Idontification (e.g Firginia Driver's license (U4 DL) or other valså gaver	City, State:
Is a Identification re.g. Finging Environment's a cell or other value graduation.  Issuing Authority and Type of Identification.  Number on Identification.	JExpiration Date of Identification til any)
	Month Day Year
11/120 E3405	744 11 25 2020
(// )	
18 b. Supplemental Government Issued Documentation (it identification docum	wan does not shaw emrett rexidence address). 18ee histractions to:
Question 18.b (	
18.c Exception to the Nonimmigrant Alten Prohibition. If the transferee buyer	answered "YES" to 12.d 2, the transferor seller must record the type of
documentation showing the exception to the prohibition and attach a copy	tio this ATF Form 4433. (See Instructions for Question 18): 1
Questions 19, 20, or 21 Must Be Completed Prior To The Transfer	Of the Firearm(s) (See Institutions for Questions 19, 20 and 21)
19.a Date the transferee's buyer's identifying information in Section A was transmitted to MCS or the appropriate State agency:	[ 19.b. 116 SIC2 of State transaction transact of Procusery 8 to
transmitted to vir 2 is me abbidamic place afferre?	
: Month Day Year	100BZaMTH
08 18 2017	The second secon
19.c. The response initially (first) provided by NICS or the appropriate State	19 d. The following response(s) was/were later received from MICS or the
agency was	appropriate State agency
Proposed Delayed	Proceed tdate: Overturned
Proceed Delayed  [The firearms) may be transpersed on classed Cancelled  [State kine permise approximal]	Denied (date)
Cancelled Game and permit infrarrange	Denied (dine)  Cancelled (dine)
	No response was provided within 3 business days.
19.c. (Complete if applicable.) After the firearm was transferred, the following	t response was received from MCS or the appropriate State agency on:
tidater Proceed [] I.	
19.1 The name and Brady identification number of the NICS examiner. (Opti-	
(A) The little 366 proof accumination pointer of the open accuracy of the	
comei (manber)	NAME ADDRESS OF THE PROPERTY.
20. — No NiCS check was required because a background check was comp	picted during the SFA approval process on the individual who will receive
the NFA firearm(s), as reffected on the approved NFA application. If	See Instructions for Question 20.)
21. No NiCS check was required because the transfered buyer has a val-	id permit from the State where the transfer is to take place, which qualifies
as an exemption to NICS. See Instructions for Question 21.1	Damel March Company
Issuing State and Permit Type Date of Issuance /ff um:	Espiration Date of myr Permit Number of myr
Section C - Must Be Completed P	bareanalle By Transferry Ruser
If the transfer of the firearms) takes place on a different day from the date that	the transferor buyer signed Section A, the transferee buyer must complete
If the transfer of the firearms haves place of a unferent day from the base that Section C immediately prior to the transfer of the firearm(s). The hypertonis	for Question 22 and 23.)
l certify that my answers to the questions in Section A of this form are still	true, correct, and complete.
22. Translaregis Buyer Signatus	23. Reguiñenjign Dac
Self all Verile	1) 1(A112
Transferor/Seller Con	ntique to Nevt Page
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Page 2 of 6

ATF Form 4473 (5 viti 9) Revised Octobur 2016

Case 30194clv-00208-GMG Document 10-14			#: 539
Section D - Must Be Completed By Transfero		Not Transferred	# 179 # 179
24. 25.  Manufacturer and Importer Trange It sign Model  manufacturer and importer we different. (If Designated)  the FFE most include both i	26. Senal Number	Type (See Four to to so for Quartier 27)	28. Caliber or Gauge
1 Hirolat C9	P1744842	District	90001
inger var en		and the second s	
<u>.</u>			
4.			
REMINDER - By the Close of Business Complete ATF Form 3310.4	For Multiple Purchases of Handgi	ins Within 5 Consecutiv	e Business Day
19. Total Number of Firearms Transferred thlease handwrite by printing a	g. zero. 30. Check if any part o.	this transaction is a pawt	redemption
one, two, three, etc. Do not use numerals, i DE	Line Sumber	s) From Question 24 Abo	r.S.
1 For Use by Licensee (See Instructions for Quartient 31)	32 Check if this transa	ction is to facilitate a privi	ice party transfer
304-240-01822	Co (See Instruction	ms for Question 32 -	
3 Trade corporate name and address of transferor seller and Federal Fire	arm License Nomber Musi contain	ar least first these and law	tive digits
of FFL Number A-AA-AAAAA (Hond samp may be used).			
FRAZIEN'S PAWN, Shop 922 N. Queen St.			
902 N. Queen St.		,	
MARTINSburg W. Va 257	5d 4.15-00.	3-02-84-0	27768
The Poson Transferring The Fire	9º /		1/90
the rayson transferring the rire for Denied/Caneelled Transactions, the Person Wh			
certify that: (1) I have read and understand the Notices, instructions, and Del		A RESIDENCE OF THE PROPERTY OF	ctions B and D is
recting that, (17) page team and understand the entire transaction record has been con-	pleted at my licensed business prendse	("ficensed premises" inclu	les business
temporarily conducted from a qualifying gun show or event in the same State I	r which the licensed premises is located	) unless this transaction has	met the
requirements of 18 U.S.C. 922(c). Unless this transaction has been denied or ea	neelled. I further certify on the basis of	— (1) the transferee's/buye	r's respunses in
section A (and Section C, if applicable): (2) my verification of the identification virs completed): and (3) State or local law applicable to the firearms business —	recorded in question 13 (and my re-ver It is an indiof that it is not unless fall for	incanon at me onic or trans casa to sell'deliver transpor	ter, y Section C Unit otherwise
ans <i>compreseny</i> ; and (5) State of total law applicable to be in calmis dualities.— dispose of the firearm(s) listed on this form to the person identified in Section A		the to wen densely a makes	
34. Transferor's Seller's Nama (Pleuse print) [35. Transferor's Seller's		s Selier's Title 33.	Date Tranafera
1 1111 111 11 11 11 11 5	11/1/20 CC	(7	2 C. 1-1
William L HOTTYMAN WELL LI	4/1 ) A(e)		) 1 7 - [ [
NOTICES, INSTRUCTIONS, AND DEFINITIONS	- / DRMS 400 FOR DENIED CAN	TELEPOTRANSPERS MES	TEE RELAINE
furnase of the Forms. The bull-maxion and conflictment of this form are	If the numsfer of a firetern is demaid the transfer is not completed after a	contection by NHLS and hard MHTS chart is mirroral that	ny other tellson o racae mis a moni
estigated to the fronties. The total manufacture destruction is a few where the social sessions are because it is social and of 18 t. S.C. 923 and become it be social social sessions.	the ATE Lord 1473 in his benefit	le har ar leget 5 years - Ferrits	1473 with respect
ary lawfully sell or deliver a fuestion to the person identified in Section A, and	to which a safe, definers, or transfer	did not take place shall be so	point dy rabbed.
o alert the transfereodinger of certain restrictions on the receipt and possession of firearms. The transferor seller of a firearm must determine the lawfulness of	in diphahetical dip a menghi nangén cemin mean arden	tat en amphological (m) m at	of marytrosters
r pregames. The manetized series of a meaning time date, have the researches of the remaining maintain proper persons of the transaction. Consequently, the	Section (Control of the Control of t		
ansilitor seller must be families with the provisions of 18 U.S.C 921-931 and	() the imast, rowseller or the transfer		
ne regulations in 27 CFR Parts 478 and 479. In determining the law highests of	incomplete or improperly completee transferor seller or the transferes bu		
to sak, or delivery of a ritle or shotgan to a resident of another State, the pasterorization is presumed to know the applicable State laws and published	error to plantocapy the internate to	ean and make any never-ary:	idditions or
indinances in both the transferor's selber's State and the true have sebayer's	revisions to the photocopy. The tran	isferor setter should only mid	er changes to
State (Sec 317) Publication 3 500-3, State Laws and Published Circlinates Co.	Sections B and D. The transfered by C. Whose or made the changes shot	ad initial and date the change	s. The emigracit
Denerally, ATF Form 4473 must be complete far the been set business premises	photocopy should be attached to the		ned as part of the
then a firefron is transferred over the counter. Federal law, 18 C.S.C. 922(c). Hows a financed importer, manufacturer, or dealer to self a trearm to a nonli-	transferor visefier's permanent recor	45.	
causes who does not appear in person at the hearises's business permises subj	Expectation of Firearms: The Sw		
Etha transferae buyer meets outent requirements. Phose requirements are set both in section 922(e), 27 CFR 478(90) by and ATF Procedure 2013-2	firearms exporter to obtain a licease ports a firearm without proper author and or imprisoned for not more than	prior to report. Warning:	ne dian Silanetainn ne dian Silanetainn
After the transferor seller has completed the tirearms transaction, he/she must	,	*	

Section  $\mathcal X$ 

The transferee/huyer must personally complete Section A of this form and certify isign) that the answers are true, correct, and complete. However, if the transferee buyer is anable to read and/or write, the answers tother than the signature) may be completed by another person, excluding the transferor selfer. Two persons tother than the transferor/selfer) must then sign as witnesses to the transferee's buyer's answers and signature/certification in question 14.

ATF Form 4473 (33000). Received October 2016

4473 are filed in the same manner

make the completed, original NTF Form 4473 include includes the Voilers. General Instructions, and Definitions, and any supporting documents, part of his/her periminent records. Such Forms 4473 must be retained for at least 20 years and

after that period may be submitted to ATF. Filling may be chronological thy three of dispositions, alphabetical thy mane of purchasers, or numerical thy transac-

tion sprint numbers, as long as all of the transferor's/seller's completed Forms.

# Case 3:19-cv-00208-GMG Document 10-14 Filed 06/04/20 Page 70 of 111 PageIDI#N540 Page 70 of 111 Page 70 of 111 Page 70 of 111 Page 70 of 111 P

decemine whether you are		d by Federal or State law. The Grearm, Cartain Solations of and or up to a \$250,000 fine.		1	Fransferor Fransiertie Number i	m Nor.	
includes husiness temporari	ly conducted from a qualifying ion qualifies under 18 U.S.C.	rm. Prepare in original only a gran show or event in the same 922(e). All entries must be han Must Be Completed Personal	State in which the lice dwritten in ink. "PL	ensed premises is EASE PRINT."	56	%	1
1 Transferee's Buyer's F Last Name checheling suffi	ull Name (If legal name come	dins an initial only, record "IO" st Name	after the initial. If i		record "N.	WV" j	, an Managh, assert dans
2. Carrent State of Resident	ence and Address (U.S. Post	al appreciations are acceptal	le. Cannot be a po		State   7	TP Co	
Reda		Mothery	ghi [6. Şex	7. Birth Date	WV	25	, Mo
U.S. City and State	-OR-   Foreign Country		Male Female	Rec	lacte	ed	9
8. Solial Security Number	A Optional, but will help in even	emisidenții stion - 0 Uni	and the second section of the second	-t ention Number 4.77\+it /	l applicable	$i\tilde{N}_{c}(t)$	
10 a. Etimicity	10.6. Race (In addition	to ethnicity, select one or me	ete race in 10.5. Bot	h 10, a. and 10, b. musi b	e ansorçred	, ,	
Hispanic of Latino	l and a second	r Alaska Naro (	r African Americaa Hawafinn of Other Pi	Z W	111:2		
Nat Hispanic or Latin	(man-security	cing proving his pose			and with the fact of the same	Yes I	Na
Are you the actual transare nequiring the fire: the firearm(s) to you.	steree buyer of the brearm(s) irm(s) on behalf of another	listed on this form? Warning person. If you are not the a g up a repaired firearms) for	: You are not the acctual transferee buy	tual transferce/buyer for, the licensee cannot i	ransfer	3	
b. Are you jaider hidleting		et for a felony or any other ori	me for a high the jud	ge could imprisen you to	A POST		3
. Here can ever been to	nvincel in an count of a febr	ny, or any other cuine for what a melading reshares: 1 Sect b			007		ŢŢ:
	s justiceit (Sectional actions po		AND THE COURSE OF THE AND REAL PROPERTY OF THE PROPERTY OF THE				X
Warning: The use or	possession of marijuana rei	sa of any depersiont, stimulant mains unlawful under Federa mses in the state where you r	il law regardless of	y other controlled substa whether it has been leg:	nce! dized or		7
		re OR fines; you ever been con		ะสมันบรร ( 750) ใกรมานาล	Hi.		3
		nder dishanurable conduion			To the second se		A
Are you subject to a co- such partner? 18ec fus.	urt order restrabbling you from tractions for Question 17 h	: heressing, stalking, or durens	ming your child or a	rintimate parties or club			¥
( Have you ever been co	nvicted in any court of a mis	demeanor come al-domestic :	intence" (Sec Institu	mous for Question († i.)			
12.a. Country of Citizensh United States of A	· · · · · · · · · · · · · · · · · · ·	e, if applicable. Nationals of t r Country Countries (Specyly):	·	robock (SA)			
						Yes	No
The state of the s	nounced your United States e		a grant esta, ja a samma symmetrijam, est ymmytrija grapian samta a manna i "Arth 1971 Arth				3
	illegally or unlawfully in the who has been admitted to the	United States under a nontinin	ngram visa? (See ins	tructions for Question L.	14.7		
	all within any of the exception		ann an		QNA		
		admission number (ARS, USC).					www.polyableconter* cut
Previous Editions Are Obsolete Days 1 (2) 5		Fransferee/Buyer Continue to APLE IF PAGES BECOME			m 44 11 (530)). Desober 2016	o,	

#### Case 3:19-cv-00208-GMG Document 10-14 Filed 06/04/20 Page 71 of 111 PageID #: 541 I certify that my answers in Section A are true, correct, and complete. I have read and understand the Notices, Instructions, and Definitions on ATF Form 4473. Lunderstand that answering "yes" to question H.a. if Lam not the actual transferee/buyer is a crime punishable as a felony under Federal law, and may also violate State and or local law. Lunderstand that a person who answers "yes" to any of the questions 11.b, through 11.i and or 12.b, through 12.c. is prohibited from purchasing or receiving a firearm. I understand that a person who answers "yes" to question 12.d.1. is prohibited from receiving or possessing a firearm, unless the person answers "yes" to question 12.d.2, and provides the documentation required in 18.c. I also understand that making any false and or written statement or exhibiting any false or misrepresented identification with respect to this transaction, is a crime punishable as a felony under federal law, and may also viola e Sta Cand or local law. I further understand that the repetitive purchase of firearms for the purpose of resule for livelihood and profit without a Federal firearms license is a violation of Federal law. (See Instructions for Question 14.) 14. Transferce's Buyer's Signatura Section B - Must Be Completed By Transferor/Seller 17. If transfer is at a qualifying gun show or event: Type of firearm(s) to be transferred teleck or mark all that apply: Other Firearm thame, receiver, etc. Handgun 🎵 Long Gun Name of Function: arifles or See Instructions for Ouestion 16.) shotgransi City, State: 18 a. Identification (e.g., Virginia Driver's heave al 4 DL) or other valid government exceed photo i.k.milication — See Instructions for Question IN a.) Issuing Authority and Type of Identification Number on Identification If apuration Date of Identification infance Year 18.b. Supplemental Government Issued Documentation of theretineation document docs not show current residence a librers Close Instructions for Ouestion 18.h.: 18.c. Exception to the Nonlinmigrant Alien Problibition. If the transferee buyer answered "YES" to 12.d.2, the transferor seller most record the type of documentation showing the exception to the prohibition and attach a copy to this ATE Form 4473. (See Instructions for Question 18 c.) Questions 19, 20, or 21 Must Be Completed Prior To The Transfer Of The Firearmus) (See Jastin tions for Questions 19, 20 and 21.) 19 a Date the transferce schuyer's identifying information in Section A was 19 b. The NICS or State transaction number of provided) was transmitted to NICS or the appropriate State agency: Month 19 c. The response initially (first) provided by SICS or the appropriate State. 19 d. The following response(s) was/were later received from NICS or the appropriate State agency: T Delayed Overturned Proceed The Fremniss men be trensferred on No response was provided within 3 business days 14 e. (Complete if applicable). After the firearm was transferred, the following response was received from NICS or the appropriate State agency on Proceed Demied [ The name and Brady identification number of the NICS examiner (Optional) [19.8] Name of FEE Employee Completing NICS check. (Optional) No NICS cheek was required because a background cheek was completed during the MA approval process on the individual who will receive the NFA firearm(s), as reflected on the approved MFA application. (See Instructions for Question 20.) No NICS check was required because the transferre buyer has a valid permit from the State where the transfer is to take place, which qualifies as an exemption to NICS (See Instructions for Outstion 27.) Issuing State and Permit Type-Permit Sumber (if anci-1/cau Section C - Mukt Be Completed Personall! By Transferee/Buyer If the transfer of the firearm(s) takes place on a different day from the date that the transferee/buyer signed Section A, the transferee/buyer must complete Section C immediately prior to the transfer of the firearmis). (See Instructions for Question 22 and 23.) I certify that my answers to the questions in Section A of this form are still true, correct, and complete, 23. Recertification Date 22. Transferee's Buyer's Signature

Transferor/Seller Continue to Next Page STAPLE IF PAGES BECOME SEPARATED

> ATE Form 4473 (5300.9) Beyingd October 2016

Case 3:19-cv-00208-GMG Document 10-14  Section D - Must Be Completed By Transferor		O Page 72 of 111 Pa	ageID #: 542
	26.		
Manufacturer and Importer T im The Model : manufacturer and importer are http://limbersynaredi the FFL must in Indexor	Serral Number	, fi <b>pe</b> in a form Leaven	5 (1995) - 1245 (1996) 27 (1996) - 1996 (1996)
Lolter Subden	EBN17	K. A.	YOSHU
3			
4.			
REMINDER - By the Close of Business Complete ATF Form 3310.4 F			
29. Total Number of Firearms Transferred (Please handwrite by printing e.g. one, nee this etc. Do not use numerals.)		k if any part of this transaction is Line Number(s) From Question	24 Above:
31. For Use by Licensee (See Invincer ary not Quartion 31)		k if this temsuction is to facilitate a Sec Justinictions for Question 3	
Trade corporal mame and address of transferor saller and Federal Fires on IFF Scarbot S-NI NINE of the advance of the Section  FRAZICAS PACEUS ROSE  FRAZI	Ç	1-55-003-0 z-8 H	
For Denied/Cancelled Transactions, the Person Who	· ·		34-36.
Section A (and Section C. if applicable), (2) my verification of the identification rewas completed); and (3) State or local law applicable to the firearms business—it dispose of the firearm(s) listed on this form to the person identified in Section A.  34. Transferor's Seller's Name (Please print) 35. Transferor's Seller's S	is my belief that it is n		
NOTICES, INSTRUCTIONS, AND DEFINITIONS	Crokus attron	DENIED CANCELLED TRANSFE	RSSHA BANK 18
Purpose of the Form: The internolation and certification on this form are designed so that a person becaused under 15 (1.8%) 923 may deterious at bestice may beafaily self-a denote a firstern to the person identification 8 self-st A and to stand the figuration of person of the receipt and possession of firearms. The temosteror selfer of a firstron most determine the lawledness of the transaction and maintain proper records of the transaction. Consequently, the transferor selfer must be familiar with the provisions of 18 to 8 to 924 self-trait the regulations in 27 CFR Parts 478 and 479. In neterinously the lawledness of the side or delivery of critile or shotgan to a resident of another state, the transferor selfer is presumed to know the applicable State have such published ordinances in path the transferor's celler's State and the transferor's beller's State and the transferor's beller's State and the transferor's higher's State (18% Published Ordinances).	the translar is not entry ATT form ATT to which it sale deleted in alphabetical ingra-control entry and form of the transfer of the mecomplete or unprotransferor sales or derivated in the place of the place of the transferor sales and but the place Sections Bund but	region is denied candelled by NICS, a riplaned inter a NO Scheck is unitally in his bear record, for at trast 5 years, early in transfer did not take place showing at one, by it may recome chromological error the ministered imperiors by some of perly completed after the frequential perly completed after the frequentials as a missing to make any may every. The transfer of seller should in the transferce brown should only make to transferce brown should only make.	ed, that he is a second of the level of the
Generally, ATF Form 4473 must be completed in the bootsed business premare/ when a finarm is transferred over-the-counter. Federal law, 18 U.S.C. 922est, allows a ficensed importer, manufacturer, or dealer to self a fiscarta to a nonli- censee who does not appear in person at the ficensee's business premises only if the transferee buyer meets certain requirements. These requirements are sel- forth in section 922(c): 27 CFR 478 96(b), and ATF Propeditive 2011-2.	C. Whose considering changes should a and date the changes. For a photocopy should be attached as the original Form 44°3 and retained for a transferor's self-all epermanent records.  Exportation of Firearms: The State of Commerce Departments for a firearms exported to obtain a heense prior to export. Warning: And the posts a firearm without proper authorization may be fined not mer.		
After the transferor seller has completed the firearms transaction, he/she must make the completed, original ATF Form 4473 pyhich includes the Notices. General Instructions, and Definitions and any supporting documents, part of his/her permanent records. Such Forms 4473 must be retained for ni lenst 20 years and after that period may be submitted to ATF. Filling may be chronological thy date of dispositions, alphabetical thy name of purchasers, or numerical thy transaction second numbers as long as all of the transferor sheller's completed Forms 4473 are filed in the same manner.	The transferee/buyer (sign) that the answe buyer is unable to re completed by anothe	Section A  smust personally complete Section A  ars are true, correct, and complete. H  ad and or write, the answers to the offer  or person, excluding the transferor set  offer) must then argunas witnesses to	coffilms to be a velocity of our powers of the file of the common and the common

Milliam 403 (3.00 - Revised Geober 1

answers and signature certification in question 14.

# Case 3:19-cv-00208-GMG Document 10-14 Filed 06/04/20 Page 73 of 111 PageID#; 54340 0026 U.S. Department of Absolve. Tebacco. Firearms and Explosives Firearms Transaction Record

WARNING: You may not receive a firearm if prohibited by Federal or State law. The information you provide will be used to determine smaller you are prohibited from receiving a meanin. Certain violations of the Com Control Act. 13 ( 84 . 92) et. seq., are punishable by up to 10 years imprisonment and/or up to a \$250,000 fine.	Fransa	ror's Se cuson S per /// u	ėrini
Read the Notices. Instructions, and Definitions on this form. Prepare in original only at the licensed premises or includes business temporarily conducted from a qualifying gan show or event in the same State in which the licensed premises is hocated) unless the transaction qualifies under 18 U.S.C. 922(c). All entries must be handwritten in liek. "PLFASE PRINT."  Section A - Must Be Completed Personally By Transferee/Buyer	5	12-	-
Transferee's Buyer's Fult Name of longer name commission found only, record "10" after the initial. If no models initial or a Last Name of the Lidning statistics of the Sci. II. III. First Name	ranc, recent		
2 Current State of Residence and Address (U.S. Pristal abbreviations are acceptable. Cannot be a post office box's Samber and Street Address  Cary  Comp.	 Strie	-ZiP (	loda Toda
70 Park 14 Redacted Shepherel stown Jelles Bith Date	ک لید	25	443
Secret Security Sumber Optional, but will believe were a messkapit about 1 Description of the Company Sumber of Description of the Company Sumber of the C	dacted	Car C De Asia	
10 a tenaneny (10.b. Race (In addition to ethnicity), select one or more race in 10,b. Both 10.a. and 10.b. m.  Bispanic or Latina (In Asian Section and Archa Many Control Archa Archa Many Control Many Control Pagnic Islander  Nature Hawaitan or Other Pagnic Islander  Nature Hawaitan Other Pagnic Islander  Nature		red.)	
Answer the following questions by checking or marking "yes" or "mo" in the boxes in the right of the questions.  Are you the zenial transferse buyer of the Execumes listed on this form! Warning: You are not the actual transferse/buy are acquiring the firearmy; one behalf of another person. If you are not the actual transferse/buyer, the ficensee can the firearm(s) to you. Exception If you are picking up a repaired firearm(s) to another person are not required to a and new process to a unsure this (See Insertion to In Question 1).	not transfer	No.	
N. And you under indicament or information he are expect for a felony, or any other error; for a light the judge could impresent yourself to one year. (No. In machine the Company of the course of the other last the formation of the course of the cours			
<ul> <li>the exported in the control of the con</li></ul>	erment		
d. Are you an adaptive from justice? took full our recognition that. Are you an adaptive user of, or addicted to manifelms or my depression, sumulan, it invotes from or my other controlled, a Warning: The use or passession of manifesion remains unlawful under Federal law regardless of whether it has been decriminalized for medicinal or recreational purposes in the state where you reside.	ibsionee! legalized or		
f. Have you over been adjuded near a mountal defective OR have you ever been constituted as a magnification of the property	n energeneers		
g i Dav <del>e</del> you been discharged from the Armed Forces under dishumurable conductus. It. Art was subject to a gount order assiming you from horsewing, stalking, or threatening your child or an intended curiner or			W
ii. Act war ampet to 2 1946 - electristraming you from hitroring stalking or threatening your child or an intended continer or such partner? (See hours, the wore Organism II is)	child ar		2
1 Have you asser been convicted in any court of a misdemention crime of domestic violence: it say histories some analytical factories of the Contest States may show that a country of Cinzenships (Cinzenships Action one), if applicable, Nationals of the Cintest States may show to \$1.5.			
United States of America (L) 5. 4.			
12 b. Have you ever renounced your United States citizenship?  12 c. Are you an alien illegally or unlowfully in the United States?  2.4.1. Are you an alien who has been admitted to the United States under a nonimmigrant visa? (See Institution to the Onestin	(I) ( \( \frac{1}{2} \) ( )	Yaş D	
12.d.2 If "yes", do you full within any of the exceptions stated in the instructions?  13. If you are unlabely record your U.S. Assued Alien or Admission number (AR#, USCIS#, or 194#);	<u>IZ</u> NA		
Presides Filliams Actification	Firm 44" 1 5M	h) () (	of more and an account

# Case 3:19-cv-00208-GMG Document 10-14 Filed 06/04/20 Page 74 of 111 PageID #: 544 I certify that my answers in Section A are true, correct, and complete. I have read and understand the Notices. Instructions, and Definitions on ATF Form

1 certify that my answers in Section A are true, core 4473. I understand that answering "yes" to question may also violate State and/or local law. I understand is prohibited from purchasing or receiving a firearm	H.a. if I am not the actual I that a person who answer I understand that a person	I transferce/buyer is a crime rs "yes" to any of the questio on who answers "ves" to que	punishable as a felony under Federal law, and as 11.b, through 11.i and or 12.b, through 12.c. stion 12.d.1, is prohibited from receiving or
possessing a firearm, unless the person answers "yes any false or al or written statement, or exhibiting any felony under Federal law, and may also violate State resale for livelihood and profit without a Federal fire	" to question 12.d.2. and p false or misrepresented to and or local law. I further	rm ides the documentition is lentification with respect to ti r understand that the repetiti	is granspector is a crime punishable is a separetion of frearms for the purpose of sections for Question 14.)
14. Transferee's Buyer's Signature	in the state of th		15. Certification Date 9 - 2 - 17
Jarry D Pernovell So	ction B - Must Be Compl	eted By Transferor/Seller	
16. Type of firearm(s) to be transferred to heck or me		12. If transfer is at a qualify	ring gun show or event:
Handgun Long Gun Other Fires  (ryfles or shatgans)	mu (fratae, recen es etc. nams foi Questian 181		
18.a Identification (e.g., Firginia Driver's license of Identification)	A DI car other valid gove Number on Identification	rnacu-waci nhon skulifu i	Expiration Date of facilitication (1/200)
111102	C 221	328	OS OS 2019
18.b. Supplemental Government Issued Documental Question 181/			
18 c. Exception to the Nommingram Alien Probabilities documentation showing the exception to the pr	ion: If the transfered baye reliabilities and attach a cop	er answered TYEST to 12.4.2 y to this ATE Form 4473 75	the transferor seller must record the type of each triatractions for Quartum (N.c.)
Questions 19, 20, or 21 Must Be Complet 19 a. Date the transferee's buyer's identifying inform transmitted to MCS or the appropriate State as	nation in Section A was 🔻	Of The Firearm(s) (See I) 19 b. The NICS of State or	sangeties s for Questians 19, 20 and 21.) ensaction number til provided) was:
Stouth OB Day Year 201	7	100 CB	The second secon
19 e. The response minully (first) provided by NiCS agency was		appropriate State age:	
Proceed Delayed Denied /The flacture in the first	r prompiska sasak 188 Sanctona Sanctona (1881-1888) sasaha	insered Limited	i-kite)
Cancelled	1771 - 11. V. Leiter, 12. Certy, C. V. Leiter	Cancelled	i datei ordial withm 2 business days
19 & 1Complete if applicable 1. After the firearm of	is transferred, the following	e en essera arab arabana i firm	n MICS or the appropriate State agency on:
19.7 The name and Brady (dentification number 2)	Filhe MICS anaminar, $(Op)$	county it g Name of FFL	Employee Complaine NICS theek. (Optional)
the STE a Repression as pellocard on the an	eras al NEA gnolicinion	rSec inspractions por Unitedo.	wai process on the individual who will receive ii 20 :
21 No NICS check was required because the as an exemption to NICS. (See Instruction)	e transferacibuyer has a ca on, joe Orestion 27 c	ilid permit from the State wh	ere the transfer is to take place, which qualifies
Issuing State and Permit Type Date	of Assumed of only	Espiration Data (p. 69)	Perimi Number III street
Section	C - Must Be Completed	Personally By Transferee/b	luyer Socilos A, dia territógico bio se most consoleta
if the transfer of the firearm(s) takes place on a diff- Section C immediately prior to the transfer of the fi I certify that my answers to the questions in Sect	rearm(s). (See Instruction	s for Question 22 and 25 x =	
22. Transferee's Buyer's Signature		TI (A (II) COLLEGE STATE FOR DESCRIPTION	23. Recertification Date
	Transferor/Seller Co STAPLE IF PAGES BE	ontinue to Next Page	
	TO BOXER BUILDER ACCORDING DE	JO (2) (12) O341 / S10 31 352	ATF Form 4473 (300-9)

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Revised October 3046

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	25.	26		28
Manufacturer and Importer (If any) (If the manufacturer and importer are different.  the FFL may hadule hadul	Model (If Designated)	Serial Number	Type (See Instructions for Question 27)	Caliber or Gauge
Smithalwessen	m+240	ALA 4788	Pistul	40 Str.
)				
REMINDER - By the Close of Business	Complete ATF Form 33	10.4 For Multiple Purchases o	f Handguns Within 5 Consecutive	Business Day
<ol> <li>Total Number of Firearms Transferred to one, two, three, etc. Do not use numer</li> </ol>	Comment of the commen		ny part of this transaction is a pawn : Number(s) From Question 24 Abov	
1. For Use by Cicensee (S.:: Instructions f	or Question 31 )	1	his transaction is to facilitate a priva Instructions for Question 32 )	te party transfer
3. Trade corporate name and address of tra of FFL Number 1-11-11-11-11 (Fland FRAZIER'S PAW 922 P. Qullen	Estama and he awed i		i contain at least first three and last	·

Lecrtify that: (1) I have read and understand the Notices, Instructions, and Definitions on this ATF Form 4473; (2) the information recorded in Sections B and D is true, correct, and complete; and (3) this entire transaction record has been completed at my licensed business premises ("licensed premises" includes business temporarily conducted from a qualifying gun show or event in the same State in which the licensed premises is located) unless this transaction has met the requirements of 18 U.S.C. 922(c). Unless this transaction has been denied or cancelled, I further certify on the basis of — (1) the transferee's/buyer's responses in Section A (and Section C, if applicable); (2) my verification of the identification recorded in question 18 (and my re-verification at the time of transfer, if Section C was completed); and (3) State or local law applicable to the firearms business — it is my belief that it is not unlawful for me to sell, deliver, transport, or otherwise dispose of the firearm(s) listed on this form to the person identified in Section A.

34 Transferor's Seller's Name (Pleuse print) 35. Transferor's Seller's Superior Seller's Seller's Title 3" Date Transferor's Seller's Title Sakes 9-2-17

NOTICES, INSTRUCTIONS, AND DEFINITIONS

Purpose of the Form: The information and certification on this form are designed so that a person ficensed under 18 U.S.C. 923 may determine if he she may lawfully self or deliver a firearm to the person identified in Section A, and to alert the transferce buyer of certain restrictions on the receipt and possession of firearms. The transferor selfer of a firearm must determine the lawfulness of the transaction and maintain proper records of the transaction. Consequently, the transferor selfer must be familiar with the provisions of 18 U.S.C. 921-931 and the regulations in 27 CFR Parts 478 and 479. In determining the lawfulness of the sale or delivery of a rifle or shorgum to a resident of mother Scate, the transferor selfer is presumed to know the applicable State laws and published ordinances in both the transferor's seller's State and the transferoe's buyer's State. (See ATF Publication 5300.5. State 1, new and Published Ordinances).

Generally, ATF Form 4473 must be completed at the beensed business premises when a Brearm is transferred over-the-counter. Federal law, 18 U.S.C. 922rc), allows a ficensed importer, manufacturer, or dealer to sell a threarm to a nonlicensee who does not appear in person at the beensee's business premises only if the transferred buyer meets certain requirements. These requirements are set forth in section 922(c), 27 CFR 418.96(b), and ATF Procedure 2013-2.

After the transferor/seller has completed the firearms transaction, he/she must make the completed, original ATT Form 4473 (which includes the Notices, General Instructions, and Definitions), and any supporting documents, part of his/her permanent records. Such Forms 4473 must be retained for at least 20 years and after that period may be submitted to ATT. Filing may be chronological (by date of disposition), alphabetical (by name of purchaser), or numerical (by transaction verial number), as long as all of the transferor s/seller's completed Forms 4473 are filled in the same number.

DRMS 4473 FOR DENIED CANCELL ED TRANSFERS MGST BE RETAINED If the transfer of a firearm is denied cancelled by NICS, or if for any other reason the transfer is not completed after a NICS chack is initiated, the ficensee must return the ATF Form 4473 in his/her records for at least 5 years. Forms 4473 with respect to which a sale, delivery, or transfer did not take place shall be separately retained in alphabetical thy name of transferors or chronological thy date of transferors. Constitutions order.

If the transferor/selfer or the transferoe buyer discovers that an ATF Form 4473 is incomplete or improperly completed after the firearm has been transferred, and the transfer in selfer or the transferoe buyer wishes at correct the omission(s) or error(s), photocopy the inaccurate form and make any necessary additions or revisions to the photocopy. The transferor/selfer should only make changes to Section B and D. The transferoe/buyer should only make changes to Section A and C. Whoever made the changes should initial and date the changes. The corrected photocopy should be attached to the original Form 4473 and retained as part of the transfer. r's selfer's permanent records

**Exportation of Firearms:** The State or Commerce Departments may require a firearms exporter to obtain a license prior to export. Warning: Any person who exports a firearm without proper authorization may be fined not more than \$1,000,000 and or imprisoned for not more than 20 years. See 22 U.S.C. 2778(c).

#### Section A

The transferee buyer must personally complete Section A of this form and certify (sign) that the answers are true, correct, and complete. However, if the transferee buyer is anable to read and or write the answers fother than the signature) may be completed by another person, excluding the transferor/selfer. Two persons to the than the transferee's buyer's answers and signature certification in question 14.

ATF Form 4473 (530) 9. Revised October 2015

Bureau of Alcohol. Tobacco, Freums and Explosives

WRMNG: You may not receive determine whether you are proble et. seq., are punishable by up to I	bited from revelving a tiren	ent. Cyfula (Matian) of the			Transferor Gansacti Number	en Sø	Let
Read the Notices. Instructions, an includes business temporarily come located) unless the transaction qui	lucted from a qualifying gun olifies under 18 U.S.C. 922(c Section A - Must	sktow or event in the same Sta 2). All entries must be handw Be Completed Personally I	to in which the lic ritten in ink, "PL by Fransfereg Bu	ensed premises is FASE PRINTS yer	57		66
Transferce's Buyer's Full Nat Last Name <i>(including suffices</i> ). Brunner	Jr. St. It Met First Ma		\ ! !	hiddle Name Matthew			i
2 Cerrent State of Residence at Number and Street Address Redacte	LCny (	obreviations are acceptable. Ledgeswills	C oum		Sul =	21P Cc	ww. 427
3 Place of Birth	R- Foreign Country	4 Height 5 Weight Fr. 6 (L/n. 210)	(C. 52)    Male   Female	7. Birth Date Neath Red	acted	i:	Mar
Redacted	und lativillis, loga verrode:	Instruction	o for Spession V				enderen a
Hispania or Laino		Thinicity, select one or more) iska Nino (		Start Comments	be answered	L)	
11. Answer the following question a Are you the actual transferee ( are acquiring the firearms) the firearmy) to you. Earep mal new personal to question i	buyer of the factions (s) listed on behalf of another persi- tion. If you are picking up	d on this form?' Warning: Y on. If you are not the actor a repaired firearmiss for ore	on are not the ac il transferve buy	thal transferce, buyer er, the licensee cango	i transfer	1000	<b>X</b> 6
b. Are you under indicament or a more than one year? (See In-			for which the pul	ge coal Lunprison you	1.07		
<ul> <li>Have you ever been convicted fluid one year, o feat it you rec</li> </ul>					17:170		
i. Are you a liquidye from justic	al ducherence of the	gyther Modul					
<ul> <li>Yee you an unfaction user of a Warning: The use or posses decriminalized for medicina</li> </ul>	aikarot encojirem to akiz	s uniowful under Federal la	w regardless of	, other controlled subs a hother it has been le	(ansell galized or		100000
f Have you ever been adjudient to ignerium II f i	ed as a mental defective Of	Ritario von er er been commi	ed to a mercul is	stingtions (See Protein	75-747		
g - Have you been discussed in							
h. Are you subject to a fourt ord such pagner? Take Immedia	er tesmaining you it em har as for Question (1-6)	assang striking, or threatenin	g your shill or v	infinite partner in th	पेत वर्ग		
: Have you ever been convicted	d in any court will misdeme	rance crime of domestic slots	we'r 18er hoard	were for Yacsvin Ed	7.7		
(2 a Compary of Chrzenship: 4Cl United States of America			Torred States man	chist (15 ) ;			
12.b. Have you ever renounce	d your United States citizer	15hip"			alle and 1977 A debutes out it was not a beginning the 1986 T		
NAMES OF THE PARTY	ly or unlawfully in the Unit	A CONTRACT MANAGEMENT OF A CONTRACT OF A STREET OF A S				固	
Approximate and a second contract of the seco		ed States under a nonimunign	int visa? (Sec /us	ractions for Question	12.4.7		
12.d.2. If "yes", do you fall with	on any of the exceptions su	ated in the instructions?	me and a second of the second	and analysis of a sector ( ) . It was also provide a sector of the secto	<u> IFNA</u>		
13. Tryou are an alien, record your	U.SIssued Alien or Admis	ssion number (AR#, USCIS#, 1	nr 194#r.				
Previous Editions Are Obsolete Page 1 of the		iferee/Buyer Continue to No E IF PAGES BECOME SE			orm 4473 (530) ni October 1016		

#### I certify that my answers to Section A are true, correct, and complete. I have read and understand the Notices, Instructions, and Definitions on ATF Form 4473. Lunderstand that answering "yes" to question 11.a. if I am not the actual transferree/buyer is a crime punishable as a felony under Federal law, and may also violate State and/or local law. I understand that a person who answers "yes" to any of the questions 11.b. through 11.i and or 12.b. through 12.c. is prohibited from purchasing or receiving a firearm. I understand that a person who answers "yes" to question 12.d.1, is prohibited from receiving or possessing a firearm, unless the person answers "yes" to question 12.d.2, and provides the documentation required in 18.c. I also understand that making ans faise brâl or written stânemeou or exhibiting an faise or misrepresented identification with respect to this transaction is a crime punishafile as a felony under Federal law, and may also violate State and or local law. I further understand that the repetitive purchase of firearms for the purpose of resale for livelihood and profit without a Federal firearms license is a violation of Federal law. (See Instructions for Question 14.) Transferee's Baker's Signature Section B - Must Be Completed By Transferor/Seller R 17. If transfer is at a qualifying gun show or event: Type of firearm(s) to be transferred (check or menk all that apply): Other I treamt thame receiver etc 70 X Long Gun Nume of Function: See Instructions for Question 16.1. arifles or shalginist City, State: 18.a. Identification (e.g., Virginia Driver's Incorse 3.4 DL) ar other valid government-tyshed photo identification (). (See Instructions for Question 18 a., Expiration Date of Identification (if any) Issuing Authority and Type of Identification | Number on Identification Month Day Supplemental Government Issued Documentation (if identification document does not show current revidence address) Question 18.6 r 18.c. Exception to the Nonimmigrant Alten Probibition: If the transferce/buyer answered "YES" to 12 d 2, the transferor seller must record the type of documentation showing the exception to the probabilion and attach a copy to this ATF Form 4473. (See Instructions for Question 18.c.) Questions 19, 20, or 21 Must Be Completed Prior To The Transfer Of The Firearm(s) (See Insumations for Questions 19, 20 and 21.) 19 a Date the transferee's buyer's identifying information in Section A was 19.6. The NICS or State transaction number (1/1) is viried; was: 10 transmitted to NICS or the appropriate State agency: ru St 357 100 DODHFL (li 19 c. The response initially (first) provided by NICS or the appropriate State. 19.d. The following response(s) was were later received from NICS or the appropriate State agency: авенсу маз: 3 Delayed J'rocced 1The freezenist more be transferred on. Demad Cancelled Cancelled ntue) No response was provided within 3 business days 111 19.e. (Complete if applicable ). After the firearm was transferred, the following response was received from NICS or the appropriate State agency on The name and Brady identification number of the NICS examiner, (Optional) 19.9. Name of FFL Employee Completing NICS check. (Optional) i)) onimber) (nume) No NICS cheek was required because a background cheek was completed during the NFA approval process on the individual who will receive the NFA firearm(s), as reflected on the approved NFA application. (See Instructions for Question 20.) No NICS check was required because the transferee buyer has a valid permit from the State where the transfer is to take place, which qualifies as an exemption to NCS. (See Instructions for Oucestion 21.) † Date of Issuance (if am) Expiration Date (if am) ąβ Issuing State and Permit Type ij Section C - Must Be Completed Personally By Transferee Buyer If the transfer of the firearm(s) takes place on a different day from the date that the transferee buyer signed Section A, the transferee buyer must complete Section C immediately prior to the transfer of the firearm(s). (See Instructions for Question 22 and 23.) I certify that my answers to the questions in Section A of this form are still true, correct, and complete.

Transferor/Seller Continue to Next Page

STAPLE IF PAGES BECOME SEPARATED

Recertification Date

22 Transferce's Buyer's Signature

afi

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Section D - Mus	Be Completed By Tr	ansferor Seller Even If The Firear	m(s) is Not Trunsferred	
24. Manufacturer and importer the small the monstacturer and importer are different. the FFL must include both t	25. Model (If Designated)	26. Serial Number	Is per Sec Instituted for Question 2"	
1. Saural	64	1661671	R.46	22 CR
SALAGO	29 A	48956L	RHG	ZZLR
Remington	7400	B 8030511	Ritle	270 win
+ Dinger	0177	70-63857	RAC	June Rm
REMINDER - By the Close of Business	Complete ATF Form		landguns Within 5 Consect	and the second s
9. Total Number of Firearms Transferred (	Please handwrite by p	rinting e.g., zero, 30. Check if any	part of this transaction is a p	awn redemption.
one, two, three, etc. Do not use numero			Jumber(s) From Question 24 /	Nove: 1,7,3,4
1. For Use by Licensee (See Instructions to			s transaction is to facilitate a p	
<b>X</b>	·-		istructions for Question 32 i	
240-751-2427				1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
33 Trade/corporate name and address of tra of FFE Number A-AX-AXXX+ (Hand		eral Etrearm License Number (Altist i	omani ar least first three and	last five digits
Paula Sh	suprigermaje ne ascreti.			
FRAZIONS PAWN Sh	7			
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MARATINSburg Will	J3704	4-55-003-0	2-8H-01768	
		he Firearm(s) Must Complete Que		والمراقب والمستقيد والمستقيدة والمستقيدة والمستقدمة والمستقدمة والمستقدمة والمستقدمة والمستقدمة والمناقبة والم
For Denied/Cancelled 1	ransactions, the Per	son Who Completed Section B Mu	st Complete Questions 34-2	ló.
certify that: (1) I have read and understand t				
rue, correct, and complete; and (3) this entire				
emporarily conducted from a qualifying gun sequirements of 18 U.S.C. 922(c). Unless this to				
section A (and Section C, if applicable); (2) mis				
cus completed); and (3) State or local law appli				
lispose of the firearm(s) listed on this form to t				

William L Hoffman I Welke 2

ranstenor s/Seller's Tille

<sup>a</sup> Date Transferred

NOTICES, INSTRUCTIONS, AND DEFINITIONS

Purpose of the Form: The information and certification on this form are designed so that a person licensed under 18 U.S.C. 923 may determine it he she pay law fifty self or deliver a trearm to the person inentified in Section A, and a after the transferee busin of certain restrictions on the receipt and possession a tirearms. The transferor selfer of a trearm must determine the law tubies con the transaction and maintain proper records of the transaction. Consequently, the transferor selfer must be familiar with the provisions of 18 U.S.C. 921-931 and the regulations in 2° CFR Parts 478 and 479. In determining the law fulness of the sale of delivery of a rifle or shotgan to a resident of another State, the transferor selfer is presumed to know the applicable State laws and published riflinances in both the transferor's selfer's State and the transferor's buyer's state. (See 47th Part), it is 4.501.5. State Laws and Published On Institute.

Generally, ATT Form 4473 must be completed at the licensed business premises after a frequencial maniferral over-the-counter. Federal law, 18 U.S.C. 923(c) attacks a licensed importar, manufacturer, or dealer to self a fire irin to a nonficensee who does not appear in person at the licensee's business premises only if the transferee/buyer meets certain requirements. These requirements are set forth in section 923(c), 27 CFR 478,96(b), and ATF Procedure 2013-2.

After the transferor softer has completed the firearms transaction, he she must make the completed, original ATF Form 4473 (which includes the Notices, General Instructions, and Definitions), and any supporting documents, part of his/her permanent records. Such Forms 4473 must be retained for at least 20 years and after that period may be submitted to ATF. Filling may be chronological (by Jave of disposition), alphabetical (by name of purchaser), or numerical (by transfertion serial number), as long as all of the transferor s/seller's completed Forms 4473 are filled in the same manner.

FIRMS 4473 FOR DENTEDICANCELLED TRANSFERS MUST BE RETAINED by the transfer of a threating is dented/cancelled by NICS, or if for any other reason the transfer is not completed after a NICS check is initiated, the born-se must retain the ATF Form 9473 in his her records for it least 5 years. Forms 4473 with respect to which a rate, delivery, or transfer and not taxe place shall be separately retained in alphabetical thy raine of transferse) or chronological thy date of transferses.

It the transferor seiler or the transferor buyer discovers that an ATE form 1473 is incomplete or improperly completed after the firearm has been transferred, and the transferor seller or the transferor/bayer wishes to correct the orinssioness or error(s), photocopy the inaccurate form and make any necessary additions or revisions to the photocopy. The transferor/seller should only make changes to Section V and C. Wherever made the changes should minuted and date the changes. The corrected photocopy should be attached to the original Form 4473 and retained as part of the transferor sceller's permanent records:

Exportation of Firearms: The State or Commerce Departments may require a firearms exporter to obtain a freeze prior to export. Warning: Any person who exports a firearm without proper authorization may be fined not more than \$1,000,000 and/or imprisoned for not more than 20 years. See 22 U.S.C. 2778(c)

#### Section 4

The transferee/buyer must personally complete Section A of this form and certify tsign) that the answers are true, correct, and complete. However, if the transferee buyer is unable to read and/or write, the answers office than the signature) may be completed by another person, excluding the transferor/seller. Two persons tother than the transferor seller) must then sign as witnesses to the transferoe's/buyer's answers and signature certification in question 14.

ALF Form 4473 (5300.9) Revised October 2016

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	riving reference. Germin violations of the Gan Control Act, 15 L.S.A., 921 — Laurede	or's Seller's amic Senai er (If am )
includes husiness temporarily conducted from a q located) unless the transaction qualifies under 18	on this form. Prepare in original only at the beensed premises ("licensed premises" unlifying gan show or event in the same State in which the licensed premises is U.S.C. 922(c). All entries must be handwritten in ink. "PLEASE PRINT."	3/0
L. Transferee's Buyer's Full Name (If legal nat Last Name the hiding suffer to y. Jr. St. II. III)	is contains an initial only record " $1O$ " wher the initial. This initial emittal or name, record $^\circ$	NWN".)
Number and Street Address	S. Postal abhreviations are acceptable. Cannot be a post office box.)	TZIP Code
Redacted		25430
7. Place of Birth U.S. City and State OR-   Foreign - German Fount Mid	Connury Fi. 6 Sex 7. Birth Date  Fin 1 180 Franch C Redacte	ian No
8 Social Security Number Optional has will be Redacted	nesses on my Venezient november 18 Unique Personal Identification in information of the Charles on the Relation of the International Internati	
	inden of Aluska Patrice   Black et Africao American   Wint :   Wint :   Native Hawaran or Other Pacific I-Londer	
<ol> <li>Are you the setual transferce buyer of the fir are acquiring the firearm(s) on behalf of a</li> </ol>	or marking "yes" in "in the bases is the right of the spections cames; this for this form? Warning: You are not the actual transferee/buyer if you wother person. If you are not the actual transferee buyer the licenses cannot transfer or picking up a repaired firearya/a for smaller person, you are not a generationness of the commonly for Obestion II see	Yes No
	and come for a <b>felon</b> y, or any other critics for a back the Judge could impreson you for	
<ol> <li>Have Core or been constand in any court of that one year more reyes received a shorter</li> </ol>	Collections, or any current course for which the pumper probabilities is improved the four models sources are the first probabilities of $V$ . For any time the $Q u$ to $u \in U$	
d. Are y as a fagite cition justice lake. Insula.	Frequency (Control of the Control of	
	manpages or any depression, giodilant, race are drug or any other convolled substance? und remains unlawful under Lederal law regardless of whether it has been legalized or nd purposes in the state where you reside.	
	dedictive OR have you ever heen committed to a mental arsitacion? «Sec favras tava»	
g. Have you been discharged from the served i	m. 28 ocider dishonorable conducas "	
h Are you subject to a court order restraining ( such partner?) Our Instructions for Question	on from harassing, stalking, or throughling voice child oc an indicate further or child of eff to e	
	si a misdemeanor prime of domestic violences. See fastourus vijer Question Historia.	
13 a. Country of Cuizeaship: The Administra Ented States of America (L. 8.3)	than since If applicables. Nationals, by the Walter States may also k U.S.A i Other Country Countries (Specifier)	anne European vanno Fernand (1999) seguide.
12.b. Have you ever renounced your United	Seates citizenship?	
12 c. Ant you are alien Hegatiy or unlawful	ly in the United States?	
12.d.1 Are you an alon who has been admitte 12.d.3. If "yes", do you fall within any of the e	d to the United States under a nonunmigrant visa? (See Instructions for Question 12.d.)  exceptions stated in the instructions?	
The second secon	tion or Admission number (AR#, USCIS= or 1942):	<u> </u>
Previous Editions Are Obsolete Page 1 of 6	Transferee/Buyer Continue to Next Page ATF Form 4473 (59) STAPLE IF PAGES BECOME SEPARATED Revised October 20	

#### I certify that my answers in Section A are true, correct, and complete. I have read and understand the Notices, Instructions, and Definitions on ATF Form 4473. I understand that answering "yes" to question 11.a. if I am not the actual transferee/buyer is a crime punishable as a felony under Federal law, and may also violate State and/or local law. I understand that a person who answers "yes" to any of the questions 11.h. through 11.i and or 12.h. through 12.c. is prohibited from purchasing or receiving a firearm. I understand that a person who answers "yes" to question 12.d.1, is prohibited from receiving or possessing a firearm, unless the person answers "yes" to question 12.d.2, and provides the documentation required in 18.c. 1 also understand that making any false ural or written statement, or exhibiting any false or infrarepresented identification with respect to this transaction, is a crime punishabic as a felony under Federal law, and may also violate State and/or local law. I further understand that the repetitive purchase of firearms for the purpose of resule for livelihood and profit without a Federal firearms license is a violation of Federal law. (See Instructions for Question 14.) Certification Date 14. Transferee's Buyer's Signature Section B - Must Be Completed By Transfesor/Seller R 17. If transfer is at a qualifying gun show or event 16. Type of firearm(s) to be transferred toheck or mark all that apply: 70 Long Gun Other Firearm throme receiver, etc Name of Function: See Instructions for Question 16.7 triffer or shoteanst City, State: 18.a.Identification & g., Virginia Driver's license: Est DL1 or other valid government issued photo identification : See Instructions for Question 18 a (Expiration Date of Identification if am) | Number on Identification Issuing Authority and Type of Identification 18 b. Supplemental Government Issued Documentation (if identification document docs not show a necestic residence address) (See Insterenous 18.c. Exception to the Nonimmigrant Alien Prohibition: If the transferee/buyer answered "YES" to 12.d.2, the transferor seller must record the type of documentation showing the exception to the prohibition and attach a copy to this ATF Form 4473. (See Instructions for Question 18.c.) Questions 19, 20, or 21 Must Be Completed Prior To The Transfer Of The Firearm(s) (See Joseph Jones for Questions 19-20 and 21) 19.6 The MICS or State transaction number (it provided was: 19 a Date the transferee's buyer's identifying information in Section A was transmitted to NICS or the appropriate State agency: 100DFWWCS Month 19.d. The following response(s) was were later received from NICS or the appropriate State agency agency was: Proceed No response was provided within 3 business days. 19.e. (Complete if applicable). After the firearm was transferred, the following response was received from NICS or the appropriate State agency on: | Demed Proceed. The name and Brady identification number of the NICS examiner (Optional) [19.9] Name of FFL Employee Completing NICS check. (Optional) (mumber) (mame) No NICS check was required because a background check was completed during the NFA approval process on the individual who will receive S the NFA firearmys), as reflected on the approved NFA application. (See Instructions for Question 20): No NICS check was required because the transferee/buyer has a valid permit from the State where the transfer is to take place, which qualities as an exemption to NICS. (See Instructions for Question 21.) Issuing State and Permit Type Dute of Issuance rit any) Expiration Date (If any) Section C - Must Be Completed Personally By Transferee/Buyer If the transfer of the firearm(s) takes place on a different day from the date that the transferee/buyer signed Section A, the transferee buyer must complete Section C immediately prior to the transfer of the firearm(s). (See Instructions for Question 22 and 23.) I certify that my answers to the questions in Section A of this form are still true, correct, and complete. 23. Recertification Date 22. Transferee's Buyer's Signature Transferor/Seller Continue to Next Page STAPLE IF PAGES BECOME SEPARATED

Page 1 of 6

ATE Form 4473 (5300.9) Revised October 2016

Section D - Mu	st Be Completed By Trans	feror Seller Even If The Firear	m(s) is Not Transferred	y la
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31. For Use by Licensee (See Instructions)	for Question 31 )		s transaction is to facilitate	
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was completed); and (3) State or local law app			with for the to sear octiver o	mishorr or omersise
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4473 are filed in the same manner.

Page 3 of 6

than the transferor/seller) must then sign as witnesses to the transferee's/buyer's

ATF Form 4473 (\$300.9)

Revised October 2016

answers and signature/certification in question 14.

U.S. Department of Justice

Page Lot 6

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## Firearms Transaction Record

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4473. Lunderstand that answering "yes" to question 11.a. if I am not the actumal also violate State and or local law. I understand that a person who answers is prohibited from purchasing or receiving a firearm. Lunderstand that a perpossessing a firearm, unless the person answers "yes" to question 12.d.2, and any false aral or written statement, or exhibiting any false or misrepresented felony under Federal law, and may also violate State and or local law. I further result for livelihood and profit without a Federal firearms license is a violatic	e read and understand the Notices, Instructions, and Definitions on ATF Form ual transferce/buyer is a crime punishable as a felony under Federal law, and vers "yes" to any of the questions 11.b. through 11.i and/or 12.b. through 12.c. erson who answers "yes" to question 12.d.1. is prohibited from receiving or I provides the documentation required in 18.c. I also understand that making I identification with respect to this transaction, is a crime punishable as a ther understand that the repetitive purchase of firearms for the purpose of
14. Vransferee's Buser's Signature	130CT 2016
Section B - Must Be Com	pleted By Transferor/Søller
16 Type of firearm(s) to be transferred refier's or mark all that apply:	17. If transfer is at a qualifying gun show or event:
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issuing Ambority and Type of Identification ——Number on Identificate	Expuring Date of Institutional Confession (2) 4487  Month Date of Institutional Confession (2) 4487
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Questions 19, 20, or 21 Must Be Completed Prior To The Trans) 19 a. Date the transfered's buyer's identifying information in Section 4 was transmitted to NICS or the appropriate State accorder.	for Of The Firearius) (See Instructions to: Questions 19-20 and 21)  [19]b. The NICS of Scale transaction number of point Ach was:
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19.11. The name and Brack identification number of the NCS examiner. (1)  Den-19.11.	perform 19.2 Name of PFL Employee Completing NICS cheek. (Optional)
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Section C - Must Be Complete if the transfer of the firearms it takes place on a different devicement the date to Section C inunediately prior to the transfer of the firearm(s). The instruction I certify that my answers to the questions in Section A of this form are section.	still true, correct, and complete.
22. Transferee's Buyer's Signature	23 Receptification Date
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ATE Form (477 (5300.9) Recised (Moher 2016

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31. For Use by Licensee (See In:			ransaction is to facilitate a priva	
540-666	:,4- 4/98	(See Inst	ructions for Question 32.)	
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Lecrtify that: (1) I have read and understand the Notices. Instructions, and Definitions on this ATF Form 4473; (2) the information recorded in Sections B and D is true, correct, and complete; and (3) this entire transaction record has been completed at my licensed business premises ("licensed premises" includes business temporarily conducted from a qualifying gun show or event in the same State in which the licensed premises is located) unless this transaction has met the requirements of 18 U.S.C. 922(c). Unless this transaction has been denied or cancelled, I further certify on the basis of — (1) the transferee's/buyer's responses in Section A (and Section C, if applicable); (2) my verification of the identification recorded in question 18 (and my re-verification at the time of transfer, if Section C was completed); and (3) State or local law applicable to the firearms business — it is my belief that it is not unlawful for me to sell, deliver, transport, or otherwise dispose of the firearm(s) listed on this form to the person identified in Section A.

34 Transferor's Selfer's Name (Please print) 35 Transferor's Selfer's Signature 36. Transferor's Selfer's Title 37 Date Transferred William CHot man 10-16-1

NOTICES, INSTRUCTIONS, AND DEFINITIONS

Purpose of the Form: The information and certification on this form are designed so that a person beensed under 18 U.S.C. 923 naw determine if his sharmay faw fully sell or deliver a firearm to the person identified in Section A. and to alert the transferee/buyer of certain restrictions on the receipt and possession of firearms. The transferor seller of a firearm must determine the law fulness of the transaction and maintain proper records of the transaction. Consequently, the transferor/seller must be familiar with the provisions of 18 U.S.C. 921-931 and the regulations in 27 CFR Parts 478 and 479. In determining the law induess of the sale or delivery of a rifle or shorgan to a resident of another State, the I transferor/seller is presumed to know the applicable State laws and published ordinances in both the transferor steller's State and the transferoe's buyer's State. (See ATF Publication 5300.5). State Low and Published to Industrict of the second published ordinances.

Generally, ATF Form 4473 most be completed at the licensed business premises when a firearm is transferred over-the-counter. Federal law, 18 U.S.C. 922(e), allows a licensed importer, manufacturer, or dealer to self a firearm to a nonticensee who does not appear in person at the licensee's business premises only if the transferee buyer meets certain requirements. These requirements are set forth in section 922(e), 27 CFR 478,96(b), and ATF Procedure 2013-2.

After the transferor/seller has completed the firearms transaction, he she must make the completed, original ATF Form 4473 (which includes the Norices, General Instructions, and Definitions), and any supporting documents, part of his/her permanent records. Such Forms 4473 must be retained for at least 20 years and after that period may be submitted to ATF. Filing may be chronological (by clate of disposition), alphabetical (by name of purchaser), or numerical (by transaction serial number), as long as all of the transferor's/seller's completed Forms 4473 are filed in the same manner.

FORMS 4473 FOR DENTEDICANCELLED TRANSFERS MUST BE RETAINED. If the transfer of a firearm is denied/cancelled by NICS, or of for any other mason the transfer is not completed after a NICS check is mittated, the licensee must return the ATF Form 4473 in his her records for at least 5 years. Forms 4473 with respect to which a sale delivery, or transfer did not take place shall be separately retained in alphabetical thy name of transferees or chronological the dose of transferees configurations other.

If the transferor seller's remarkeree buyer discovers that an ATF Form 4473 is incomplete or improperly completed after the thream has been transferred, and the transferor seller or the transferoe/buyer wishes to correct the omission(s) or error(s), photocopy the maccurate form and make any necessary additions or revisions to the photocopy. The transferor/seller should only make changes to Section B and D. The transferor/seller should only make changes to Section A and C. Whoever made the changes should mitial and date the changes. The introcted photocopy should be attached to the original Form 4473 and returned as part of the transferor's seller's permanent records.

Exportation of Firearms: The Soite or Commerce Departments may require a firearms exporter to obtain a license prior to export. Warning: Any person who exports a litearm without proper authorization may be fined not more than \$1,000,000 and/or imprisoned for not more than 20 years. See 22 U.S.C. 2778(c)

#### Section A

The transferee/buyer must personally complete Section A of this form and certify (sign) that the answers are true, correct, and complete. However, if the transferee buyer is unable to read and/or write, the answers tother than the signature) may be completed by another person, excluding the transferor/seller. Two persons tother than the transferor/seller must then sign as witnesses to the transferee's buyer's answers and signature/certification in question 14.

ATF Form 4473 (5300.9) Revised October 2016

L.S. Department of Justice

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Bureau (1 Alcohol, Tobacco, Pirearnis and Explosives)

determine whether your are pro-	eive a firearm if prohibited by Feath shibited from receiving a firearm o 10 years imprisonment and/or t	. Certain viole	itions of the G	mation you pro un Control-det.	vide will be used to 18 I±S.C. 921	o Transferor Franspette Number	on Ser	ពេ
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United on a year, even if your	received a shorter semence inclus	ding probation	2 See Instru	Some for Quest	holler			
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<ol> <li>Have you ever been adjudi- ted Question 13.1 i</li> </ol>	icated as a mental defective OR i	iato you ever?	been committe	id to a mental ii	istitution? 7Sec In	stogethur		4
-	from the Armed Forces under di							B
b. Are you subject to a court of such partner! (See Instruc-	order restraining you from horass tions for Question 11 h.)	sing, stalking, :	or threatening	your child or a	i lutimate partner	or child of		国
	cted in any court of a misdemear					n (Ci)		5
(2.a. Country of Citizenship:	(CheckLet more than one if ap)			nited States maj	echeck U.S.A.r			
	: need your United States citizensl						Yes	No.
	gally or unlawfully in the United							
12.d.i. Are you an alien who	has been admitted to the United	States under :		nt visa? /See Ins	tractions for Que	stion (2.d.)		
Property of the Contract of th	within any of the exceptions state				· yanniningi is anni in ining ta ta ta ta yang pamaka antikakanangan n -	<u> </u>		
	rour U.S. 4-sued Alien or Admissi				er mannen um sant sommer som sen er som sen er som sen er som		n ones and ones ones	v. someonema ton "Pro"
Previous Editions Are Obsolete	Transfe STAPLE	erec Buyer Co IF PAGES BU	manue to Ne: ECOME SEP	arage ARATED		AFF Form 4473 (530) Revised October 2010		

I certify that my answers in Section A are true, corre-					
4473. I understand that answering "yes" to question may also violate State and or local law. I understand					
is prohibited from purchasing or receiving a firearm.					
possessing a firearm, unless the person answers "yes"	' to question 12.d.2. and p	rovides the documentation	required in 18.	.c. I also unde	rstand that making
any false oral or written statement, or exhibiting any					
felony under Federal law, and may also violate State					the purpose of
resale for livelihood and profit without a Federal fire	TUMP RESERVE IS A TREATION	TOT PEOPPH TAN. (SEE IIM		15. Certificat	ion Cata
14 (Transferee & Buyer's Signiture			-		_
CIMULINE 1			Carlot Ca	10-10	34/
		eted By Transferor/Seller			
16. Type of firearm(s) to be transferred to heck or ma	rk all that apply r	17. If transfer is at a quali	fying gun shov	w or event:	
Handgur. Long Gun Other Fireau See Instruct	am (frame, reverver, etc.	Name of Function:			
	ions for Question 14 i				MANAGE TO SECURE THE S
shoigans)	and the same and t	City. State:	anno anno anno de la		
-18.a.Identification $\sim g_{ss}$ Virginia Driver's license (15	4 DL1 or other valid gover	rnment-issued photo identif	icution 1-15ee	lustructions fo	ir Question 18.a.)
Issuing Authority and Type of Identification	Number on Identification	1	Expiration Da		
1 1/2 5/	FYIIS	) many	Month	Day	Year
WUDL	J 1   1	1. (	12	121	2020
15 b. Supplemental Government Issued Documentati	on (il'identification docur	nent does not show current	1		
Question 18.5 i					
	TP:1	1.27/7/2011 13 13			1.1. 777 1 16
18 c. Exception to the Nonimmigrant Alien Prohibition documentation showing the exception to the pro-					
documentation showing the exception to the pri	amonen and attach a copy	) (CERTALLE FORCE 44:2) :	acciminantia	n jur Quenur	110.00
O 10 20 3133 D (1 (1	And the state of t	/36/73 - 171		0	36 131
Questions 19, 20, or 21 Must Be Complete 10 a. Date the transferee's buyer's identifying inform		1 19.b. The MCS or State t			
transmitted to NICS or the appropriate State age		19.0. The one s or state t	THIESETSULT OUR	тось су Газма	UIII WAS.
assistance to the real are appropriate state age	487				
Month Day Year	•				
10 18 201		100DLC	(0 (51)		
19.c. The response initially (first) provided by NICS.	gar man maga ana antara sa antara a termatenta da ayan ayan ayan ayan ayan antara mententa da antara da antara	19.d. The following respon		e later received	I from NICS or the
agoney was:		appropriate State age			
Proceed Delayed		Proceed		(dale)	Overnimed
Proceed Delayed  [ Denied   The firearmist may be   Cancelled   it See	prinsferred on	Denied		ishite i	
Cancelled if Sa	te lav permits (optrosab)	Cancelled	- 100 - 100	· · / s Seen · k	
'		potential and			
		No response was pr			and a company of the
19.e. (Complete if applicable). After the firearm was		po TER Manager		i appropriate S	tate agency on:
(date)	Proceed LL L	Dented Cane	elled		
19.f. The name and Bridy identification number of	he NICS examiner, (Opil	longly 19.2. Name of FFL	Employee Cer	mpleting NICS	Scheek. 10ptional)
(neme)	(number)	Market Common of the Common of			
20. No NICS cheek was required because a ba				n the individua	il who will receive
the NFA firearm(s), as reflected on the appr					and the state of t
21. No NICS check was required because the		id permit from the State wh	iere the transfe	r is to take pla	ce, which qualifies
as an exemption to NICS. (Sée Instruction	-	ter and special	5		
Issuing State and Permit Type Date of	Elssuance of any)	Expiration Date (if un)	: Fen	nın Number (1	t .pm1
		11. Fb F F			
		ersonally By Transferee/I		on Language Paris a B.	ura mura assertare
If the transfer of the firearm(s) takes place on a differ Section C immediately prior to the transfer of the fire			r Section A. Th	e transferee bi	ryce must complete
I certify that my answers to the questions in Section		to the control of the	ete.		
22 Transferee's Buyer's Signature	LA LA NEZ SERREL AND SER			23. Recertific	ation Date
The transience of pairer of distincting			1	- 10 m 1 m 1 m 1 m 2 m 2 m 3 m 3 m 3 m 3 m 3 m 3 m 3 m 3	on order and a some of the
	Transferor/Seller Cor	ntinue to Next Page	en et et en	marketen makkan mendera seri selekti bilan kenara T	The Control of the American State of the Control of
C.	STADIE IE PACIES BEC	COMPRESSORTEN			

ATF Form 4473 (5300.9) Revised October 2016 []

β1 31 15 77-

Section D - Mus	it Be Completed By Tra	ansferor/Seller Even If The Firearm(	s) is Not Transferred	Control Contro
	25.	enterference men construction de la companyation de		28.
Manufacturer and Importer (If am i iff the namific timer and importer are different, the FFL must include both)	Model (If Designated)	Serial Sumber	Type (Sc. Instructions - for Question 27)	Caliber or Gauge
Any Licht Apreny	XDM-45	1196, 579067	Piskel	457449
3.				i v
REMINDER - By the Close of Business	Complete ATF Form	3310.4 For Multiple Purchases of Ha	ndguns Within 5 Consecutive	e Business Days
29. Total Number of Firearms Transferred			art of this transaction is a pawn	A MANAGEMENT CONTRACTOR OF THE PARTY OF THE
one, two, three, etc. Do not use numer	rals.1 ONE	Line Sur	nber(s) From Question 24 Abo	ya.
N For Use by Licensee (See Instructions)	for Question 31.)		ansaction is to facilitate a prive meriony for Quastion 32 (	ite party transfer
13. Trade corporate name and address of the of FFL Number X-XX-XXXXX (Have FRIA Z / ERS F 922 N. Quer YN 14124/126 busy	tstamp may be used i States & less Letter ZSTO	4 455-003-02-E	34-01768	five digits
		oe Firearm(s) Must Complete Questi on Who Completed Section B Must		
Lecrify that: (1) I have read and understand true, correct, and complete; and (3) this entire temporarily conducted from a qualifying gun requirements of 18 U.S.C. 923(c). Unless this (Section A (and Section C, if applicable); (2) my ores completed); and (3) State or local law applicable.	transaction record has be show or event in the same transaction has been denic verification of the identificable to the firearms bus	een completed at my licensed business pre e State in which the licensed premises is loc ed or cancelled, I further certify on the bas lication recorded in question 18 (and my ra iness— It is my belief that it is not unlawfo	mises ("licensed premises" inclut cated) unless this transaction has is of — (1) the transferce's/buyer e-verification at the time of trans	les basiness met the 's responses in fer. if Scenon C
lispose of the firearm(s) listed on this form to	the necson identified in 😽	without A.		

NOTICES, INSTRUCTIONS, AND DEFINITION

Purpose of the Form: The information and certification on this form are used, ed so than a person from ed under 18 U.S.C. 923 may determine to heister ay to filly sell or deliver a firturing to the person identified in Section A, and to 10 the transferred bu<sub>2</sub> or of certain restrictions on the receipt and possession 10 the arms. The transferred bu<sub>2</sub> or of a finearm must determine the factioness of 10 insection and maintain proper records of the transaction. Consequents, the arms were seller must be familiar with the provisions of 18 U.S.C. 921-931 and their gradations in 27 C.F.R. Parts 478 and 479. In determining the law falness of 10 feet delivery of criffe or stronging to a resident of another State, the millimens of the transfer is presumed to know the applicable State laws and published a impress in both the transfer or statellar's State and the transfere's buyer's 130 or 25 or 475 Public ration 5300 State Laws and Published Ordonaries.

Tells milly ATF Form 4473 must be completed at the treensed business premises his in firearm is transferred over-the-counter. Federal law, 18 U.S.C. 922(c), 18 cm a firearm is transferred over-the-counter. Federal law, 18 U.S.C. 922(c), 18 cm a firearm for a nonlinearise who does not appear in person at the ficensee's business premises only if the transferred buyer meets certain requirements. These requirements are set forth in section 922(c), 27 CFR 478,96(b), and ATF Procedure 2013-2.

After the transferor seller has completed the linearms transaction, he she must make the completed, original ATF Form 4473 rulin h includes the Notices General Instructions, and Definitions); and any supporting documents, part of his her permanent records. Such Forms 4473 mast be retained for at least 20 years and after that period may be submitted to ATF. Filing may be chronological thy date of dispositions, alphabetical thy name of purchasers, or numerical this transaction serial numbers, as long as all of the transferor's seller's completed Forms 4473 are filed in the same manuer.

FORMS 4473 FOR DENIED CANCELLED TRANSFERS MLST BE RETAINED to the number of a firmum is denied cancelled by NICS, or if for any other ronson the transfer is not completed after a NICS check is initiated the licensee most retain the ATT Form 34. 3 in his her records for at least 3 years. Forms 4473 with respect to which a sale, delivery or transfer did to a take place shall be separately extanted.

to which a said, deto dry or transfer and not take place shall be dead of transfered in alphabetical ore mone of the insfered constraint object. The dead of transfered is contribution, order

If the transferor sellor or the transferor boyer discovers that an AFF from 4473 is incomplete or improperly completed after the finearit has been transferred, and the transferor seller or the transferor buyer wishes to correct the mession () or error(s), photocopy the maccurate form and make any necessary addition) or revisions to the photocopy. The transferor seller should only make changes to Section 8 and D. The transferor(buyer should only make changes to Section A and C. Whose or made the changes should mutual and date the changes. The corrected photocopy should be uttached to the original form 4473 and retained as part of the transferor's seller s permanent records.

Exportation of Firearms: The State or Commerce Departments may require a tirearms exporter to obtain a heense prior to expert. Warning: Any person who exports a firearm without proper authorization may be fined not more than \$1,000,000 and/or imprisoned for not more than 20 years. Sec 22 U.S.C. 2778(c).

#### Section A

The transferee buyer must personally complete Section A of this form and certify (sign) that the answers are true, correct, and complete. However, if the transferee buyer is unable to read and/or write, the answers (ather than the signanure) may be completed by another person, excluding the transferor seller. Two persons tother than the transferor/seller) must then sign as witnesses to the transferoe's/buyer's answers and signature certification in question 14.

ATF Form 4473 (\$300.9) Revised October 2016

Bureau of Alcohol, Tobacco, Firearms and Explosives

defermine whether you are p	eeive a firearm if prohibited by Fe rohibited from receiving a firearm to 10 years imprisonment and/or	i. Certain viõlii	tions of the Gi	mation you pa an Control Ac	ovide will be used to r. 18 U.S.C. 921	Transferor Fransaction	on Sen	M.
includes business temperarily	s, and Definitions on this form. Pr conducted from a qualifying gun sl a qualifies under 18 U.S.C. 922(c). Section A - Must B	now or event in a All entries mus	<i>the same State</i> at be handwrit	<i>in which the li</i> ten in ink="F	censed previlses is LEASE PRINT."	58	79	
Transièree's/Buyer's Full Last Name (Including suffix (	Name (If legal nume contains an	mitial only, rece	rd 10" after	the initial. If	no middle initial or n Middle Name () () + UV ()	name, record "N	WVT.I	1
2. Current State of Resident Number and Street Address	ee and Address (U.S. Pastal abbi City	redutions are ;		annot be a p	ost office box.)		ZIP (Co	de
Redac	ted	History Harris		6. Sex	7. Birth Date	WVC	25 4	4
U.S. City and State  U.D.C.	-OR- Foreign Country	Fr. 5	11.bs.j 155	Male		dacte		
Redac	Optional, hot well bely more enemisted  ted  100 p. some transabilition to sele		bryteretions	for Question				
Hispanic or Latino Not Hispanic or Latino	Americas Indian or Abad		Black-or Afri	ean American		3 White		
<ol> <li>Are you the actual transic are nequiring the firear the firearm(s) to you. E. and may proceed to quest</li> </ol>	estions by checking or marking "y cres buyer of the brearmest listed mist on behalf of another person exertion. If you are picking up a turn 11 h. (See Instructions for Qu	on this form? A i — If you are n repaired firear extion 11.a.)	warning: Noi not the actual mis) for anoth	rare not the transferee/bi	actual transfereerou iyer, the licensec car u are not required to	inoi fransfer answer 11 a.	125	
more than one year" i Se	n or information in any court for a column reacons for Question 11 fee							
<ul> <li>Have you ever been convolute one year, even if you</li> </ul>	licted in any court of a felony, or a received a stomer somence inch	any aiter coime eling probation	the which the	judge could none to: Que	rate imprisoned you would be	ler merc	H	
	instituti a Sectional medians for Ques		Table Sales		man albert averalled	31 2 1 273 e ·		
Warning: The use or po decriminalized for med	r of, or addicted to, moripiana or a ossession of marijuana remains icinal or recreational purposes i	unlawful unde a the state who	r Federal law ere you reside	reguratess o	i whether it has been	n reginized or		
ier Question U.f.:	diented as a mental defective OR		to a company of the second second second second second second	ed to a mental	mistironion? (See Fire	t. p. t. pp//		
g. Have you been discharge	ed from the Armed Forces under d ri order restraining you from haras	ishunorable c	renditions?	a superior de la companya de la comp	us reciperate participal A	e child of		
such partner? / See lusin	actions for Quescion 11.ir i				e strange, a manager commentent of a commentation of the strange manager and a second			
	vieted in any court of a misdemen					1/11	Z	
12 a. Country of Citizenship United States of Am	s. (Check List was) han one. If a verien (U.S. 1) Other Cour	mlicable. Nath ury Countries (	omals of the U. Specify v	nited States m	ay check USA)		15:	
	ounced your United States citizens	to the product of the second s		and white all the transfer of the statement of the statem		ng ang pagamanan manakataka kinggo yang Hamiltoninan	163	
17 c. Are you an alien il	legally or unlawfully in the Unite	d States"	ann ann agus ann ann ann ann ann an Aireann ann an					
	no has been admitted to the Unite Il within any of the exceptions sta			n visa? /Ser (	ustructions for Quest	ion (2al) Z (4a		
13. If you are an alien, record	I your U.S -Issued Alien or Admiss							
Previous Editions Are Obsolete Page 1 of 6	Transi SVAPLE	feree/Buyer Co IF PAGES BI	ontinue to Ne: ECOME SEP	et Page ARATED		iit form 4411 S. las wolf October 1399		

I certify that my answers in Section A are true, correct, and complete. I have read and understand the Notices, Instructions, and Definitions on ATF Form 4473. I understand that answering "yes" to question 11.a. if I am not the actual transferee/buyer is a crime punishable as a felony under Federal law, and may also violate State and or local law. I understand that a person who answers "yes" to any of the questions 11.b. through 11.i and or 12.b. through 12.c. is prohibited from purchasing or receiving a firearm. I understand that a person who answers "yes" to question 12.d.1 is prohibited from receiving or possessing a firearm, unless the person answers "yes" to question 12.d.2, and provides the documentation required in 18.c. I also understand that making any false or all or y ritten statement, or exhibiting any false or misrepresented identification with respect to this transaction, is a crime-punishable as a felony under Federal law, and may also violate State and or local law. I further understand that the repetitive purchase of firearms for the purpose of resule for livelihood and profit without a Federal firearms license is a violation of Federal law. (See Instructions for Question 14.)

15. Certification Date

resale for livelihood and profit without a Federal fire	earms license is a violation	of Federal law. (See Ins	tructions for $\underline{\zeta}$	Juestion 14.)	est, 1935 the an experience
14. Transferee s/Buyer's Signature				15, Certificati	on Date
1 1	<u>-</u>	eted By Transferor/Selle			
16. Type of firearm(s) to be transferred icheck or me	irk all that apply):	17. If transfer is at a qua	lifying gun she	B. (B. 67.614)	
	um (frame, receiver, etc. tions for Question 16.)	Name of Function:	gag, yang gagan gang gang gan di dalah dalah gan di dalah dalah gan di dalah dalah gan dalah gan dalah dalah gan dalah g		
xhotguns)		City, State:		and the grant and the same of	
	Number on Identification	1	Expiration D	r <i>Instructions fo</i> mic of Identific    Day	e Question (N.a.) ation (if with) [Year
1/0	1	4068	09	26	2019
18.b Supplemental Government Issued Documental Question 18 b.t	doe tif identification docu	ment does not show curren	t residence ade	lress) (See Insi	ructions for
18.c. Exception to the Monimmigrant Alien Prohibit documentation showing the exception to the pr	ion. If the transferce buys chibition and attach a cop	er answered "YES" to 12.d y to this ATF Form 4473	.2. the transfer (See Instruction	ot/seller must r ins for Quevitor	ecord the type of a TX.c.)
Questions 19, 20, or 21 Must Be Complete	ed Prior To The Transfer	Of The Firearm(s) /See	Instructions fo	r Question - 19	. 20 cmci 21.)
19 a. Date the transferee's/buyer's identifying inform transmitted to NICS or the appropriate State ag	nation in Section A was				
Month   Day   Year					
19.c. The response initially (first) provided by NICS agency was:		19.d. The following resp appropriate State ag	gency:		
Proceed Delayed Denied I The firearm(s) may be Cancelled of Si	r mansferred on	Proceed			Overturned
Cancelled ——————————————————————————————————	ak kay periatis repirawah	Cancelled		_ (date)	
		No response was i	movided within	3 busineas day	4
19 e. (Complete if applicable). After the firearm wa	s transferred, the followin	g response was received fi Denied Can	rom NICS or th celled	ie appropriate S	itate agency on:
19.f. The name and Brady identification number of	the NICS examiner 10p.	nonal)   19 g   Name of FF	L Employee C	empleting NIC	Scheck. (Optional)
Owne)	(munther)				
20. No NICS check was required because a both the NFA fireurm(s), as reflected on the approximation of the NFA fireurm (s).	proved NEA application.	See Instructions for Quest	ion 2041		
21. No NICS check was required because the as an exemption to NICS. (See Instruction)		на ретин тот не этак ч			
· · · · · · · · · · · · · · · · · · ·	of Issuance in any	Expiration Date (it and)   12-4-7	(1) Pe	rmit Number (	178
		Personally By Transfered			
If the transfer of the firearm(s) takes place on a diffe Section C immediately prior to the transfer of the fir	rearru(s). (See Instruction.	s for Question 22 and 13 i	kanda alandada kana gay, gayyang ya manangan dikalaha sikulikadika sikulik alaha sikulik sikuli.	he transferee b	uyer must complete
I certify that my answers to the questions in Section 1	ion A of this form are sti	it true, correct, and comp	nere.	127 Barrate	zation Pere
22. Transforee's Buyer's Signature				23. Recertific	рыння главе
	Transferor/Seller Co STAPLE IF PAGES BE		annulii te (A ta San annulii a ta San Annu	1	

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Section D - Must	Be Completed By Tra	nsferor Seller F <del>ven If L</del> he Firearm	u(s) is Not Transferred
24. Manutaerurer and Importer (It am ) (If the receiver a suit importer are different.  On IFE must include both)	25. Model III Designatedi	26. Serial Number	Type (See Instructions Califician for Question 27.) Charge
CALK	23/3	GLC OTA	Pishel Yesque
3.			
AND	Complete ATE Form 7	218 J For Multiple Darchuras of H	andguns Within 5 Consecutive Business Days
REALL VIER - by the Cluse of business to 19. Total Number of Firearms Transferred 6			part of this transaction is a pawn redemption.
ys. Total symber of rifearths, transferred of one, two, three, etc. Do not use numero		Line No	unber(s) From Question 24 Above:
! For Use by Licensee (See Instructions fo	r Question 31.)		transaction is to facilitate a private party transfer Transforms for Question 32 (
Trade corporate name and address of trade in FFL Number N-XXXXXXV (Hand)  TLAZIOLS  AAA, N. C. Le  WARTEL DIVENT	siamp may be used i Jawa Ship Our Sh		inidin at loast first three and last five digus  5-62-84-01768
		e Firearm(s) Must Complete Ques on Who Completed Section B Mus	
rue, correct, and complete; and (3) this entire temporarily conducted from a qualifying gun sequirements of 18 U.S.C. 922(c). Unless this to Section A (and Section C, if applicable); (2) my	ransaction recurd has be how or event in the same ansaction has been denic verification of the identifi able to the firearms busi	in completed at my licensed business pr State in which the licensed premises is le d or cancelled. I further certify on the ba cation recorded in question 18 tand my ness — it is my belief that it is not unlow	(2) the information recorded in Sections B and D is remises ("licensed premises" includes business ocated) unless this transaction has met the asis of — (1) the transferce's/buyer's responses in re-verification at the time of transfer, if Section C ful for me to self, deliver, transport, or otherwise
4. Transfejor's/Seller's Namy (Please pri	and the second s		feror's Seller's Title [37] Date Transferr

NOTICES, INSTRUCTIONS, AND DEFINITIONS

Purpose of the Form: The information and certification on this form the designed to that a person becaused under 18 U.S.C. 923 may determine it he she one are fully self or deliver a freezin to the person identicied in Section A, and to their the transferore buy or of certain restrictions on the receipt and possession of freezing. The transferor/selfer of a freezing must determine the havitabless of the transferor and amountain proper records of the transaction. Consequently the transferor selfer must be familiar with the poor isions of (8 U.S.C. 921-931 and the regulations in 22 CFR Parts 478 and 479. In determining the lawfulness of the safe or delivery of a rifle or shought to a resident of another Sent, the transferor selfer is presumed to know the applicable State have and published originates. In both the transferor sistence of Science I and the transferor should be state.

Ciencially, AFF Form 4473 must be completed at the ficensed business premises when a frearm is transferred over-the-counter. Federal law, 18 U.S.C. 922(c), allows a ficensed importer, manufacturer, or dealer to self a firenim to a nonlineasse who does not appear in person at the freensee', business premises only. If the transferred buyer meets certain requirements. These requirements are set forth in section 922(c), 27 CFR 478 96(b), and AFF Precedure 2013-2.

After the transferonseller has completed the linearms transaction, he'she must make the completed, original AFF Form 4473 (which includes the Notices, General Instructions, and Definitions), and any supporting documents, part of his her permanent records. Such Forms 4473 must be retained for at least 20 years and after that period may be submitted to AFF. Filling may be chronological the date or dispositions, alphabetical thy name of purchasers, or numerical thy transaction sexual manifers, as long as all of the transferor's subter's completed Forms 4473 are filled in the same manner.

ACREMS 4473 FOR DENIED CANCELLED TRANSFERS AT STIBE RETAINED. If the transfer of a morann is denied/enrecibed by NCS, or if for one other crasson, the transfer is not completed them a NICS sheet, is mittated, the treen-see most retain the AVE Form 4473 in his her records for at most 5 years. Forms 4473 with respect to which a safe, definery, or most for did not take place shall be strataged, respect to which a safe, definery, or most for did not take place shall be strataged, respect to which a safe, definery, or most for the notological thy detection in the most complete to complete the detection of the new complete to the new contribution of th

if the transferor seller or the transferee but or discovers that an AFF Form 4473 is anti-implete or improperly completed after the treatm has been transferred, and the transferor seller or the transferor buyer wishes to correct the oblission(s) or error(s), photocopy the maccurate form and make any necessary additions or revisions to the photocopy. The transferor seller should only make changes to Sections B and D. The transferor bound only make changes to feetion A and C. Whoever made the changes should introduced and date the changes. The corrected photocopy should be attached to the original Form 4273 and retained as part of the transferor is seller is permanent records.

Exportation of Firearms: The State of Commerce Departments may require a firearms exporter to obtain a fictorse prior to export. Warning: Any person who exports a firearm without proportional formula be fined not more than \$1,000,000 und/or imprisoned for not more than 20 years. See 22 U.S.C. 2778(c)

#### Section A

The transfered buyer must personally complete Section A of this form and certify *isigni* that the answers a citrue, correct, and complete. However, if the transfered buyer is smaller to read a dior write, the answers *tother than the vignature* is may be completed by another person, excluding the transferor seller. Two persons of erthan the transferor seller answers and signature certification in question 14.

ATF Form 4473 (\$300.9) Relied Contabor 70

## Case 3:19-cv: Q0208-GMG Document 10-14 Filed 06/04/20 Page 91 of 111 PageID#: 561 +0-0020

Bureau of Alcohol. To same, fundame and Explosives

WIRNING: You may not receive a determine whether you are prohibited, sequence punishable by up to (b)	ted from receiving a firearn	ı. Certain viola	tions of the Gun Can		I to Fransfero Fransfero Fransfero Number	i -e. 5/2)	ri st
Read the Notices, Instructions, and includes business temporarily conductocuted) unless the transaction quali-	cied from a qualifying gun si fies under 18 U.S.C. 922(e).	haw or event in t All outries mus	the same State in whic	, h the licensed premises is hk. "PLEASE PRINT."		<u> </u>	
1. Transferee's Buyer's Full Name Last Name thichiling sight to goth Mano	r. Sr. Îl-1110 —   First Nam			iol If no middle initial i Middle Name BEC	or name, स्ट्रिक्टी ।	CIV.	]
2 Current State of Residence and Number and Street Address  Redacted	Address (U.S. Postal abb)			County  Betkeley	Sinte	ZIP () 25	- 1
2. Place of Birth U.S. City and State -OR- Markland book W  8. Social Security Mathem Options	Foreign Counte.  If betwill help proven wishe.	10. <u>1</u>	175   F  3 Unique Personal	emate Identification Number (	Redacto		
Hopinic or Latino	h Rase (In mildition to eth American ladian or Alask Ason	te Nambu 🛅	Black or Addicas Ass	b. But 10.a. and 10.b	must be answere	d.)	A A A A A A A A A A A A A A A A A A A
Answer the following questions  Are you the artiful transfered by are acquiring the firearm(s) on the firearm(s) to you. Exception on I man proceed to go when II	yer of the firearms of Fried ( or behalf of another person ove If you are picking up a	on this form? V . If you are no repaired firears	Varning: You are no of the actual transfe	t the actual transferee: 'ee'buyer, the licensee	canuni transfer	Yes De	200
b. Are you under indictment or info more than one year? Sec i is mo		felony, or say o	rthe crime for which	the judge esold imprise	n gras for		図
Have you ever been convicted it than one year or en if you receive					ou for more		X
d Are could fugative from justice?  e Are you an includeful user of, or c	7		- (%) (1073) - (2305) (11) (2805)	Secretary of the second of the	A *** (		
Warning: Thy use or possession decriminalized for medicinal o	on of marijuana remains c r recreational purpases in	inlawful under the state when	Federal law regard re you reside.	less of whether it has b	een legalized or		X
f Huse you ever been adjudicated for Quarther Fig. (	as a mental defective OR:	ne e yan ever e	een e samilted to a in	ental nestination" (Nec /	switterfear.		M
2. Have you been discharged from				ades y acts of any analysis and the second control of the second c	a management of the commence o		E)
<ul> <li>Are you subject to a court order such partner? See Test networks.</li> </ul>	restraining y as frome naris. for Queerfore II h	ang soilung o	r dirementing your chi	ld or an ionimae partner	rorchild of		M
1. Have you over been convicted in	n any court of a no demean	or crone of dec	nesus violance? (Kr	lasiructions por Questi	m H si		対
12.5. Country of Citizenship a Chee				ies mig chiek U.S.A.i	alita ya nga gagamanga (A), may ya lama na	elections and	construction of the second
United States of America (L	.a.a. L. Omer Louis	ry Committee ro,	CACAL :		<b>J</b>	Ves	No I
12.b Have you ever renounced y	our United States citizenst	ilp"					Z
(1, 1, 2, 2, 2, 2, 2, 2, 2, 2, 2, 2, 2, 2, 2,	or anlawfully in the United					1	
•	peen admitted to the United any of the exceptions state		-	все тынистных Jon Que	wiion 12 d.) <b>M</b> N/A		
13. If you are an alien, record your 1.		e k alik kalikanisti aftat, sistemi aki k y a ya 19 yappiyapianda lumad labih	and the state of t		Sample of the second se	1441	الصن
Previous Editions Are Obsolete Page 1-01-6	Transfe	rce/Buyer Con	tinue to Next Page COME SEPARATE		ATF Form 44" i (530) Revised October 2010		

Leartify that my answers in Section A are true, correct, and complete. I have re- 4473. I understand that answering "yes" to question 11.a. if I am not the actual may also violate State and or local law. I understand that a person who answer is prohibited from purchasing or receiving a firearm. I understand that a person possessing a firearm, unless the person answers "yes" to question 12.d.2, and pranty false or all or written statement, or exhibiting any false or misrepresented id felony under Federal law, and may also violate State and or local law. I further resale for livelihood and profit without a Federal firearms license is a violation	I transferee/buyer is a crime punishable as a felony under Federal law, and is "yes" to any of the questions 11.b. through 11.i and/or 12.b. through 12.c. on who answers "yes" to question 12.d.l. is prohibited from receiving or rovides the documentation required in 18.c. I also understand that making entification with respect to this transaction, is a crime punishable as a understand that the repetitive purchase of firearms for the purpose of
14. Transferee's/Buyer's Signature	15. Certification Date
	12/13/11
Section B - Must Be Comple	eted By Transferor/Seller
16. Type of firearm(s) to be transferred teheck or mark all that apply to	17. If transfer is at a qualifying gun show or event:
Handgun Long Gun Other Firearm (frame, receiver, etc.)  orifles or See Instructions for Question 16.1  shotgunsi	Name of Function:  City, State:
18.a Identification (e.g. Virginia Driver's license (13 DL) or other valid gover Issuing Authority and Type of Identification  Windows on Identification  FC 3/7	Expiration Date of Identification (If any)  Month   Day   Year  12   26 -   17
18.b Supplemental Government Issued Documentation (if identification docum Question 18.b.)	ient does not show current resistence address). (See Instructions for
18.c Exception to the Noniminigrant Alien Prohibition: If the transferee buyer documentation showing the exception to the prohibition and attach a copy	
Questions 19, 20, or 21 Must Be Completed Prior To The Transfer 10 a. Date the transferee's buyer's identifying information in Section A was transmitted to NICS or the appropriate State agency:    Month   Day   Year	Of the Firearm(s) (See Instructions for Questions 19, 20 and 21)  19.6 The MCS or State transaction number (if provided) was:    OOGFJHN
19.c. The response initially (first) provided by NICS or the appropriate State agency was:    Proceed	10.d. The following responsers) was were later received from NICS or the appropriate State agency.  Proceed them (date) Overturned
19.e (Complete if applicable.) After the firearm was transferred, the following (date).	
the NFA firearm(s), as reflected on the approved NFA application. 45  21 No NICS check was required because the transferee buyer has a valid	nicted during the NFA approval process on the individual who will receive
as an exemption to NICS. (See Instructions for Question 21.)	Expiration Date of ance (Permit Number of any)
Issuing State and Permit Type Date of Issuance (If any)	Expiration Date (1) and Permit Number (1) any 1
Section C - Must Be Completed P	ersonally By Transferce/Boyer
If the transfer of the firentm(s) takes place on a different day from the date that Section C immediately prior to the transfer of the firearm(s). (See Instructions	the transferce/buyer signed Section A, the transferce buyer must complete for Question 22 and 23 i
I certify that my answers to the questions in Section A of this form are still	The second secon
22. Transferee's Buyer's Signature	23. Recertification Date
Transferor/Seller Con STAPLE IF PAGES BEC	

Page I of 6

ATF Form 4473 (5306.9)

Revised October 2016

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Manufacturer and Importer (I in s manufacturer an Emporter are dif- dic FFI must meltide both	trific Model . con, tr Designatedi	sera Sumber	Type (See Instruct for Question 27	thone caliber or
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REMINDER - By the Close of Buy	iness Complete ATF Form 33	10.4 For Multiple Purchases of F	landguns Within 5 Consec	sutive Business Dav
9. Total Number of Fireams Transfe			part of this transaction is a	
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304-676	~	(See h)	structions for Quescion 32 s	
3. Trade corporate nome and address MFFL Number NAMANYON FRAZIERS PAWA 922 D-GLEGE MARHISHUMY U	thanksamg mache wait i L	i O Pireurus License Number <i>(Mas e</i> - - 		Hars five dign:
	he Person Transferring The	Tirearm(s) Must Complete Ques		2 A
		n Who Completed Section B Mas		
vertify that: (1) I have read and under- rue, correct, and complete; and (3) this (				
importable conducted from a qualitying				
equirements of 18 U.S.C. 923(c). Unless		,		• •
ection A (and Section C. if applicable); (				
as completed); and (5) State or local law ispose of the firearm(s) listed on this for			Auf for me to self, deirs er, tran	sport or otherwise
and the second contract the contract to the co	s <i>e printi</i> [15] Fransjerer's Sel	AT THE STATE OF TH	derge's Selber's Tide	13. Date Transferre

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Purpose of the Forms. We accommend the filtering two withs decrease languages of the experience content of the SC Co CO co go be made. The toy toward person and more and the school of the School of outenance of the mass and more of the school of outenance of the mass and more of the transfer of the tr

contactly — 11. Forms 1477 must be completed in the lacinstee positions by an inhere of the age is a pastern. For craftle-counter in Federal to 2. The Sale 22 collection of the sed cated attention, administrative, or dealer to self-influential or money, caused setted diversity appears to person at the heatiset is business premious only in the money force buyer occurs or person requirements. These confidencements are set out in a section 922 car. 27 CFR 478 9660, and ATF Procedure 2015-2

After the transforor seiter has completed the literarms transaction, he/she must make the completed, original AFF from 4473 rathech suchales the Natives (Temperal Instein, trans, and Dennisons), and any supporting documents, part of his her permanent records. Such forms 4473 must be retained for at least 20 years and after that period may be submitted to AFF. Eding may be chronological thy date of displacement, alphabetical the manual of prochasters, or numerical the manual increased in vertel manuality. As long as all of the transferor seather's completed Forms 4473 are filed in the same animier.

TORNIS 1472 FOR DENILO CANCELLO BRANSLERS MEST BERLAVIA D

Figure 45 (4) 2. Figure 16. Strat 1. (2. St. E.E.C.M. 18. Style 18. Style 18. Style 18. Style 19. Style 19

14 to a preparability of the arms based bayor discovers that an AFF Form 447% is a complete the arms been transferred, and the fire arms been transferred, and the fire arms after or discovers the experience to previously a convert the emposition of any accessor, additions of a convert. So photocomy the maneurate form and make any necessary additions of a convert. The photocomy. The maneigner seller should only make changes to section A and to Windows made the changes to Section A and to Windows made the changes strongly made changes. The corrected photocomy should be attached to the original Form 4470 and returned as part of the transferred so seller a performant records.

Exportation of Finenaut. The State of Commence Departments have required difference exported to about a highest to appoin. Warning: Say person who excepts a freezing without proper authorization may be fined not more than \$1,000 bbs and or impresoned for not more than 20 years. See 22 U.S.C. 2778(c)

#### Section 4

The trans-erec buyer must personally complete Section A of this form and earlify right that the answer, are true, corract, and complete. However, if the transferee buyer is madic to rend and/or write, the answers coffer them the dignature) may be completed by another person, excluding the transferor/seller. Two persons tother has the transferor seller injust then sign as witnesses to the transferoe's buyer's a severy and signature certification in question 14.

AFF Fam 4473 O 40 Revised October 11

ONB No. 1140-0036

\* U.S. Department of Justice

Burgae of Alcohol Topacco, Lucarms and Explosives

deterr	NING: You may not receive a firearm if prohibited by Federal or State law. The information you provide will be used to discovered the whether you are prohibited from receiving a firearm. Cermin violations of the Gun Control Act, 18 U.S.C. 921 transaction, are punishable by up to 10 years imprisonment and/or up to a \$250,000 fine.	ni Ser	er's M
includ located	the Notices. Instructions, and Definitions on this form. Prepare in original only at the licensed premises i "licensed premises" or husiness temporarily conducted from a qualifying gan show or even in the same State in which the licensed premises is hunless the transaction qualifies under 18 U.S.C. 922(c). All entries must be hardwritten in ink. "PLEASE PRINT."  Section A - Alast Be Completed Personally By Transferee Buyer		
	ansferee's Buyer's Full Name 41 legal name contains an initial only, no and 40° affect the initial of namedile initial or name, record "N name of militaing softing of the St. II. 1110 — First Name  A C Z U , ER — WARC — Washing C Jawa Jawa — Jawa JAS	17.	į
	arient State of Residence and Address (U.S. Posto) abbreviations are neceptable. Connor be a post office box A er and Street Address (U.S. Posto) abbreviations are neceptable. Connor be a post office box A (State) 12	ar Ge	Ki:
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	Three in:  10 b. Ruce the addition in ethnocine, sales tong or more race in 10.6. Both 10.6, and 10.6, must be answered beganise or Latine.  American indian or Maska Name of Talans or African American.	( )	
Z)	Corthopoles on Canne Asian San San San San Standard Corthopoles on University of Unive		
11. An	swin the following questions by checking or marking "yes" or "no" in the boyes to the right of the operations cyon the actual transferce boyer of the presumes) listed on the nature. Warning: You are not the actual transferce buyer if you	Yes Z	24.1
()):	e acquiring the firearmes) on behalf of another person. If you are not the actual transferce/buyer, the licensec cannot transfer of firearmes) to you. Free place If you are picking up a repaired prearmise for weather person yet one not required to account the I nave precised to green with the Society median, per Queries (1900)	V)	
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	Soundry of Citizenship. (Ches 6/15) mean than one, if appliently. A unimals of the United States may check USA i   United States of America (USA)     Other Country Countries (Specify):		
		725	No
12.5	Have you ever renounced your United States citizenship?  Are you an alien Hiegally or unlawfully in the United States?		
13.0 12.d.c	Are you an alren who has been admitted to the United States under a nonummigram visa? (See histractions for Question 12.d.)		
12 (1.2	If "yes", do you fall within any of the exceptions stated in the instructions?		
13 114	ou are an alten, record your U.S. Assued Alien or Admission number : TR= USCIS=, or 194=1:		
Previous Case e a	Falidious Are Obsolete Transferer/Buyer Continue to Next Page Ata Community is some STAPLE IL PACES BECOME SPEAKATED CONTINUE OF A SECOND SPEAKATED.	-}s	Posture Salarine I Salarine

Leartify that my answers in Section A are true, correct, and complete. I have read and understand the Notices, Instructions, and Definitions on ATF Form 4473. Lunderstand that answering "yes" to question II.a. if I am not the actual transferee/buyer is a crime punishable as a felony under Federal law, and

may also violate State and/or local law. I understant is prohibited from purchasing or receiving a firearm	i. I understand that a pers	on who answers "yes" to q	uestion 12.d.1. is pro	hibited from rec	eising or
powersing a firearm, unless the person answers were any false or al or written statement, or exhibiting any felony under Federal law, and may also violate State	false or misrepresented in and/or local law. I furthe	lentification with respect to r understand that the repet	this transaction, is a litive purchase of fire	erime punishat earms for the pu	ole as a
resale for livelihood and profit without a Federal fire	ear <u>ms license is a violati</u> or	of Federal law. <i>(See Insi</i>		CONTRACTOR OF THE PROPERTY OF THE PARTY OF T	Market and the second s
14 Transferee's Briver's Signature			i	Certification Date  -16-	18-17
The state of the s		eted By Transferor/Seller			
16 Type of firearm(s) to be transferred telleck or ma		17. If transfer is at a qual	ifying gun show or e	vent:	
	um (frame, receiver etc. tions for Question 16)	Name of Function:			the destination will be to the company of the second
shotgins)		City, State:	ga yan anayarinin ayan anayarin kara anayar yangan anayar ya yangan anayar ayan ayan ayan ayan anayar ayan ana yayarayar a anaka a anayarin kara anayar anayar ayan ayan anayay yanayar anayay yanayar anayar anayar ayan ana		ngganggan yayaha jiran dakamada ili ili ili ili ili ili ili ili ili il
18.a. Identification to g., Virginia Driver's license /I Issuing Authority and Type of Identification	Number on Identification	}	fication is tSee Instru  Expiration Date of  Month   Day	Identification (4)	
WUDL	F5893	. 50		24 20	, -
18.b Supplemental Government Issued Documental Question 18.b.i	icm (if identilication docur	nent does not show current	rexidence address)	(See Instruction.	s for
18 e Exception to the Nontimmigrant Alien Prohibit documentation showing the exception to the pr					ic type of
Questions 19, 20, or 21 Must Be Complet 19.a Date the transferee's buyer's identifying inform transmitted to NICS of the appropriate State ag    Month	nation in Section Awas geney:	Of The Firearm(s) /Sec. 19 b. The NICS or Scale	ts insaction number (		
19 e The response initially (first) provided by NICS agency was:	or the appropriate State	19.d. The following responsible State ag	ettery:	-	
Proceed Delayed   Denied   The firearists may be	e namsferrest en	· · · · · · · · · · · · · · · · · · ·	alm ulm	Acres on	certumed
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14.e /Complete (l'opplicable / After the firearm wa		i Angelong of the Control of the Con	on MICS or the appr	and a series and a series of the series of t	mey on:
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the NFA firearmis), as reflected on the app	ackground check vias com proved NFA application. 7	pieted ouring the 1817A appi See Instructions for Oscott	reviu princess on unc	menican wat	ATHIULDING
21. No NICS check was required because the as an exemption to NICS. <i>(See Instructu</i> )	transferee buyer has a vil			rake place, whi	ch qualifies
	of Issuance (y any)	Expiration Date (if \int i)	Permit N	amber (films)	
Section	C - Must Be Completed I	Personally By Transferee/	Buver	American property and appropriate and appropri	The standard of contraveness of the Standard Contraveness (S
If the transfer of the firearm(s) takes place on a diffe Section C immediately prior to the transfer of the fir	rent day from the date that	the transferee/buyer signe		sferee/buyer mu	st complete
I certify that my unswers to the questions in Sect					
22. Transferee's Buyer's Signature	ant the control of th	mananaka katalo sakan nagan ng guger o ng gapa mangang ng mangang mangang ng mga ng mga ng mga ng mga ng mga m	[23. ]	Recertification ()	ale
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	Transferor/Seller Co				

ATF Funo 4473 (\$300.9) Revised October 2016

## Case 3;19-cv-00208-GMG Document 10-14 Filed 06/04/20 Page 96 of 111 PageID #: 566

Section D - Wast Be Completed By Transferor/Seller E	ven If The Firearm(s) is N	of Transferred	
24. Serional facturer and importer (If any Artho Model Serionanufacturer and importer are different, at If Designated)  the EFL must include both is	26. 1 Number	27. Type (See Instructions for Question 27.)	28. Caliber or Gauge
Shirld HDDS.	322	Piskl	45 Ado
+. 2340 LT VI IV	J. T. L. T. C. J.	While & Concounting	Business Days
REMINDER - By the Close of Business Complete ATF Form 3310.4 For Multi	and the same are a second resource to the same second resource and the sam	A BOTH TO DESCRIPTION OF THE PROPERTY OF THE P	and a second the second control of the secon
29. Total Number of Firearms Transferred (Phase handwrng by printing e.g., zero, one, two, three, etc. Do not use numerals.)	Salar Caral	) From Question 24 Abo	ve: )
31. For Use by Licensec (See Instructions for Question 31.) 204–976–6300	32. Check if this transact (See Instruction		ite party transfer.
33. Trade corporate name and address of transferor seller and Federal Fireurin Licen of FFL Number V-LV-LXXXX i Hand stoop may be used if  PAZIQU'S POUS SIOP	se Number tMust contain d	i least first three and last	five digits
922 N. Queen St. 25404	4.55-003-02.		elektrik skallelikkenskylikken in 4 km aktyren skallelikken om 1848 km en 1870 km/
The Person Transferring The Firearm(s) Mi For Denied/Cancelled Transactions, the Person Who Comple			

I certify that: (1) I have read and understand the Notices. Instructions, and Definitions on this ATF Form 4473; (2) the information recorded in Sections B and D is true, correct, and complete; and (3) this entire transaction record has been completed at my licensed business premises ("licensed premises" includes business temporarily conducted from a qualifying gun show or event in the same State in which the licensed premises is located) unless this transaction has met the requirements of 18 U.S.C. 922(c). Unless this transaction has been denied or cancelled, I further certify on the basis of — (1) the transferee 'vlouyer's responses in Section A (and Section C, if applicable); (2) my verification of the identification recorded in question 18 (and my re-verification at the time of transfer, if Section C was completed); and (3) State or local law applicable to the firearms business — it is my belief that it is not unlawful for me to self, deliver, transport, or otherwise dispose of the firearm(s) listed on this form to the person identified in Section A.

William L. Hottman West

Trunsferor's/Seller's Namy (PAN) printy 35.

ansiero poener, signian y

Transferor's Seller's Fille

37. Date Transferred

NOTICES, INSTRUCTIONS, AND DEFINITIONS

Purpose of the Form: The information and certification on this form at designed so that a person been sed under 18.1. S.C. 923 may determine if he she may lawfully suffer deliver a linearm to the person identified in Section A, and to after the transferor selfer of a firearm to so the receipt and postession of finarms. The transferor selfer of a firearm trust determine the lawfulness of the transferor and maintain proper records of the transaction. Consequently, the transferor/selfer must be familiar with the provisions of 18.1. S.C. 921.931 and the regulations in 27 CFR Parts 478 and 479. In determining the lawfulness of the sale or delivery of a rifle or shoughn to a religion of another State, the transferor/selfer is presumed to know the applicable State laws and published ordinances in both the transferor's selfer is State and incitransferor's shoper's State. (See ATF Publication 3300.3. State Law and Published Ordinance) is

Generally, ATF Form 4413 must be completed at the frequenced business premises when a firearm is transferred over-the-counter. Federal law, INUS.C. 922(c), allows a breased importer, manufacturer, or dealer to self a frearm to a nonlicensed who does not appear in person of the licensee's business premises only if the transferce buyer meets certain requirements. These requirements are set forth in section 922(c), 27 CFR 478,96(b), and ATF Procedure 2013-2

After the transferor seller has completed the firearms transaction, he she must make the completed, original ATF Form 44/13 rightch includes the Notices, General Instructions, and Definitions), and any supporting documents, part of his her permanent records. Such Forms 44/13 must be retained for at least 20 years and after that period may be submitted to ATF. Filing may be chronological thy data of dispositions, alphabetical (by name of purchaser), or numerical (by transaction serial number), as long as all of the transferor sheller's completed Forms 44/13 are filed in the same manner.

WORKIS 4473 FOR DENH DY, NICELLED TRANSFERS MUST BE RETAINED. If the transfer of a firearm is demedicancelled by NICS, or it for any other reason the transfer is not completed after a NICS vireck is initiated, the hearse must retain the ATF form 44.3 in his her records for at least 5 years. Forms 4473 with respect to which a sale, delivery, or transfer did not take place shall be separately retained in alphabetical (I) remain if transferses a chronological (b) date of transferses certification order.

Lithe transferor seller or the transferee buyer discovers that an XTF Form 4473 is incomplete or improperly completed after the invariables been transferred, and the transferor seller or the transferree buyer wishes to correct the omission(s) or error(s), photocopy the inaccurate form and make any necessary additions or revisions to the photocopy. The transferor seller should only make changes to Section B and D. The transferor buyer should only make changes to Section A and C. Whoever made the changes should initial and date the changes. The corrected photocopy should be attached to the original Form 4473 and retained as part of the transferor's seller's permanent records.

Exportation of Ffreuerms: The State or Commerce Departments may require a fireterms exporter to obtain a license prior to export. Warning: Any purson who exports a fireterm without proper authorization may be fined not more than \$1,000,000 and or imprisoned for not more than 20 years. See 22 U.S.C. 2778(c).

#### Section A

The transferee/buyer must personally complete Section A of this form and certify *(sign)* that the answers are true, correct, and complete. However, if the transferee buyer is unable to read and/or write, the answers *(other than the signature)* may be completed by another person, excluding the transferor/seller. Two persons *(other than the transferor/seller)* must then sign as witnesses to the transferee's buyer's answers and signature/certification in question 14.

ATF Form 4473 (5500.9) Revised Uctaber 2016

Burea col Alcohol, Tobacco, Fireness and Expirsives

WARNING: You may not receive a fit determine whether you are promittee et. seq., are punishable by up to 10 years.	i from recenting a Inform.	€ ertäln viola	tions of the G				to Transferor . Helisacii . Number	r's Selli init act	er's
Read the Notices. Instructions, and De includes husiness temporarily conducte husinest the transaction qualified.  Transferee's Ruyer's Full Name (Last Name (Including suffic to g. J.).	ed from a qualifying gun sho es under 18 U.S.C. 922(c). A Section A - Must Be If legal mane contains on in	w or event in . Il entries mus Completed I	the same State st be handwri Personally By	in which tien in ink Transfer	the lice c. "PLI ree/Bay al. [6 ac	nsed premises is EASE PRINT." ver	54	, Q,,	
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11. Answer the following questions by	y checking or marking "yes	"nr "np" (0	the boxes to t	he right o	The qu	RSMOM		[Yes]	Se
a Vie you the actual transferes buy a are acquiring the ficeurin(s) on I	behalf of another person.	If you are n	of the actual	transfere	сеЗніўц	or, the licensee c	annot n ausfer	Z	
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e Are you an unbactul user of, or or Warning: The use or pussession decriminalized for medicinal or	cot marijuana remains un	das fal unde	r Federal lav	v regardle	( or an) ess of v	other controller thether it has be	Lsabstance een tegalized or		
Have you even been adjusticated a     for Christian 11.7.3					antal lin	siterior" (Sec. 16	Fifter Cally		
g Have you been discharged from the	ne Armed Finaes under dis	honorable c	andist as "	and the same of the same of			and the second s		
h. Are you subject to a constraider to nech partner? (See Instructions he		ng, salking.	ភា ដោះបានបានវិញឆ្ន	ceanciul	र्त का अन	indimate partner	or sheld of		
i. Have you ever been convicted in	any court of a misdemeans	r uninte of de	mesuc violen	er! Gee	haira	tions for Aresino	op (f.)		
12.a. Country of Citizenship: (Clerck				nited Star	CA HPÍL	chark L.S.A.r	, Louis de la company de la constant	, i form, orași meși	have entrover 1
Limited States of America (7).	S. D. Other Country	AC summies ()	Specify r.					Yes	[ No ]
12.5 Have you ever renounced yo	our United States citizenshi	b.;	engangaman ang manakan manakan sa	ng application on activity of the state of t	······································	The second secon			
12.c. Are you an alien illegally or									
12 d.1 Are you an alien who has be 12 d.2. (if "yes", do you fall within a				m visa" (S	See Inst	ruenous for Que	stion 12.d.) NA		
12.d 2. (6" yes", do you fall within a 13. It'you are an alien, record your U.S.	to be a first proof before of our conference and hypothesis of a sum translation to resource of the meaning of				A southern control of the control of		<u>K</u>	1.5271	1 Secret
Previous Editions Are Obsolete Provious Editions are Obsolete	Transfer	ee/Buyer Co	ntinue to Ne.	vi Page	)		ATF From 4: 3 (5)0 Revised Outcom 200		gay gargapakan di sa s

#### Lecrtify that my answers in Section A are true, correct, and complete. I have read and understand the Notices, Instructions, and Definitions on ATF Form 4473. I understand that answering "yes" to question 11.a. if I am not the actual transferee/buyer is a crime punishable as a felony under Federal law, and may also violate State and or local law. I understand that a person who answers "yes" to any of the questions 11.b. through 11.i and/or 12.b. through 12.c is prohibited from purchasing or receiving a firearm. I understand that a person who answers "yes" to question 12.d.1. is prohibited from receiving or possessing a firearm, unless the person answers "yes" to question 12.d.2, and provides the documentation required in 18.c. 1 also understand that making any false grain or written statement or exhibiting any talse or misrepresented identification with respect to this transaction, is a crime punishable as a felony under Federal law, and may also violate State and/or local law. I further understand that the repetitive purchase of firearms for the purpose of resale for livelihood and profit without a Federal firearms license is a violation of Federal law. (See Instructions for Question 14.) 14. Transferce's/Buver's Signature Section B - Must Be Completed By Transferor/Seller 16. Type of firearm(s) to be transferred tcheck or mark all that apply): 17. It transfer is at a qualifying gun show or event: Other Firearm (frame, receiver, etc. Name of Function: See Instructions for Question 16.1 triffes or shotganst City, State: 18.3.Identification (e.g., Virgurio Driver's license (UA DL) or other valid government-is used photo identification). (See Instructions for Onestion 18.3.) Issuing Authority and Type of Identification Mumber on Identification, Expiration Date of Identification lift uner Month 18 b. Supplemental Government Issued Documentation til identification document does not show carrent a sidence address a (See Instructions for Question 18 h 3 18 c. Exception to the Nonimmigrant Aften Prohibition. If the transferrer buyer answered "YES" to 12 d.2, the transferor seller must record the type of documentation showing the exception to the prohibition and attach a copy to this ATF Lorm 4473. (See Instructions for Question 18c) Questions 19, 20, or 21 Must Be Completed Prior To The Transfer Of The Fireurm(s) (See Instructions for Questions 19-20 and 21) 19.a. Date the transferee's/bayer's identifying information in Section A was 19.b The NICS or State transaction number (if provided) was: transmitted to NICS or the appropriate State agency: 100 G NOTO 78 19.6 The response initially (first) provided by NICS or the appropriate State 19.d. The following response(s) was were later received from NICS or the agency was: appropriate State agency: Proceed Overtumed T Delayed Proceed 17 he firecommissionar be troosferred on No response was provided within 3 business days 19.e. (Complete of argificable). After the firearm was transferred, the following response was received from NICS or the appropriate State agency on. Proceed Denied Concelled The name and Bridy identification number of the NICS examiner. (Optional) 19.g. Name of FFL Employee Completing NICS check. (Optional) oumberr No NICS check was required because a background check was completed during the NFA approval process on the individual who will receive the NFA firearm(s), as reflected on the approved NFA application. (See Instructions for Question 20.) No NICS cheek was required because the transferce buyer has a valid permit from the State where the transfer is to take place, which qualifies as an exemption to NICS. (See Instructions for Question 21) Issumu State and Pennit Type Date of Issuance (if amy) Expiration Date III anno Permit Namber (1/ am) Section C - Must Be Completed Personally By Transferee/Buyer If the transfer of the firearm(s) takes place on a different day from the date that the transferee/byver signed Section A, the transferee/byver must complete Section C immediately prior to the transfer of the firearm(s). (See Instructions for Opestion 22 and 23.) I certify that my answers to the questions in Section A of this form are still true, correct, and complete. 22. Transferce's Buyer's Signature 23. Recertification Date

Transferor/Seller Continue to Next Page
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ATTF Form 4472 (5300.9) Revised October 2016 21

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Manufacturer and Importer (IJ ans) (If the manufacturer and importer are different, the FFL must include both.)	25. Model  [J Designated)	36 Serial Number	Type (Not Institute that for Question 27)	28 es Caliber or Garge
F C C	Hirlauch	K-50734	Patel	Ymm
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REMINDER - By the Close of Business				
<ol> <li>Total Number of Firearms Transferred one, two, three, ew. Do not use nume</li> </ol>			part of this transaction is a par- umber(s) From Question 24. V	
11 For Use by Tizensoe (See Inviruetions		32. Check if this	transaction is to facilitate a pr structums for Question 37 s	ivate party transfer
922 N. Que	Nawn, Stop kon St. 19 W.C. 2540 When Trunsferring The F	( TERRIT irearm(s) Must Complete Que Who Completed Section B Mus		and the first confirmation and the first continues of the section
	SHOW OF EVENUAL INCISIONS SHE	te in which the licensed premises is		lades business has met the
requirements of 18 U.S.C. 922(c). Unless this Section A rand Section C, if applicable); (2) m rus completed); and (3) State or local law app fispose of the firearm(s) listed on this form to	transaction has been denied or y verification of the identificati licable to the firearms business the person identified in Sectio	on recorded in question 18 (and my — it is my belief that it is not unlay n.A.	located) unless this transaction books of — (1) the transferce's but re-verification at the finic of transfer for me to sell, deliver, transp	ns met the yer's responses in msfer, if Section C
requirements of 18 U.S.C. 922(c). Unless this Section A rand Section C, if applicable); (2) mous completed); and (3) State or local law applicables of the firearm(s) listed on this form to 54. Transferor's Seller's Name (Please p	transaction has been denied or y verification of the identificati licable to the firearms business the person identified in Sectio rint) 35. Transferor's Seller	cancelled. I further certify on the lon recorded in question 18 faud my—it is my belief that it is not unlawn A.  S Signal (1975) 36. Tran	tocated) unless this transaction boasis of — (1) the transferce's but re-verification at the time of transferl for me to sell, deliver, transposferor's Seller's Title	ns met the yer's responses in inster if Section C ourt, or otherwise 31. Date Transferres 12-21-17
requirements of 18 U.S.C. 922(c). Unless this Section A tand Section C, if applicable); (2) m was completed; and (3) State or local law app fispose of the firearm(s) listed on this form to	transaction has been denied or y verification of the identification of the person identified in Section rint) 35. Transferor's Seller (1975) and (1975) an	reancelled. I further certify on the I on recorded in question 18 fund my—it is my belief that it is not unlaw in A.  Its Signal read that it is not unlaw in A.  Its Signal read that it is not unlaw in A.  Its Signal read that it is not unlaw in A.  Its Signal read that it is not unlaw in the translation to complete different in the translation applied the AIT I want 43. I minorized the AIT I want at a few in alphabeters after or impreparely as translation selfer or impreparely as translations. I whose ser made the change photocopy—sections B and D. The translation of the photocopy sections B and D. The translation of the attached translators a selfer's permanely	tocated) unless this transaction is mass of — (1) the transferee's but, re-verification at the time of transfer feel that a full for me to sell, deliver, transposition is Seller's Title.  Seller's Seller's Title.  3 CANCELLED TRANSFER's Material and meeting by MCS, or of it after a Nie Scheck is intraced to a feel to a be seller is intraced to a least Separs. For a transfer early of the order of the arms of the	ins met the yer's responses in inster if Section Court, or otherwise.  3. Date Transferred.  3. Date Transferred.  3. Date Transferred.  3. Date Transferred.  4. SE BERFIAINED is the assert on set transferred in set transferred.  ATF Form (47) is transferred. and the universal or in the assert of the assert of the assert of the transferred. and the universal or indeed, the assert of the transfer

nake the completed, original ATF Form 4473 includes the Notices. General Instructions, and Definitions), and any supporting documents, part of his ber

permanent records. Such Forms 4473 must be retained for at least 20 years and after that period may be submitted to ATF. Filling may be chronological oby date

of dispositions, alphabetical (by name of purchaser), or numerical (by transac-,

tion verial numbers, as long as all of the transferor siseller's completed Forms

4473 are filed in the same number

US00000223

Section A

The transferee/buyer must personally complete Section A of this form and certify

tsign) that the answers are true, correct, and complete. However, if the transferee buyer is unable to read and/or write, the answers to their than the signatures may be

completed by another person, excluding the transferor seller. Two persons inther

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than the transferor seller, must then sign as witnesses to the transferee's buyer's

answers and signature/certification in question 14.

Bureau of Alcohol, Tobacco, Finearias and Explosives

determine whether you are	receive a firearm if prohibited by Federal or State i prohibited from receiving a firearm. Certain viola p to 10 years imprisonment and/or up to a \$250,00	Mons of the Coin Control Art. 18 L.S.C. 9		
includes business temporarily located) unless the transaction	ns, and Definitions on this form. Prepare in origin is conducted from a qualifying gun show or event in on qualifies under 18 U.S.C., 922(c). All entries ma Section A - Must Be Completed I Il Name (If legal name contains an initial only, rec	the same State in which the licensed premis at be handwritten in Ink. "PLEASE PRIS Personally By Transferee/Buyer	5221	m Skrayer
Last Name the hading suffix		ora 10 aper ne muai pro maate na  Middle Name		
2. Current State of Resider Sumber and Street Address	ice and Address (U.S. Postal abbreviations are City	ucceptable. Cannot be a post office box County	.) "State ZIP Code	
Redacte 3. Place of Birth U.S. City and State 8. Social Security Number		Neight of Sex 17. Buth Control Maile Month    12	Redacted	6
10 a. Ethnicity	[10,b] Race (In addition to ethnicity, select or	we or more race in 10th. Both 10th a and	10.6. must be answered.)	
Hispanic or Latino	American Indian or Alaska Native		Winde	
Not Hispanic or Lating	<del></del>	Native Hawarian or Other Pacific Island		_1
a Are you the actor transl are acquiring the firear the firearm(s) to you. I	estions by thecking or marking "yes" or "no" in cree/buyer of the firearm(s) listed on this form? V m(s) on behalf of another person. If you are a Exception: If you are picking up a required firear aton 11,6. (See histractions for Question 1) as	Narning: You are not the actual transfort the actual transferee/buyer, the lices	isee cannot transfer 🛛 😾 🚑	
	nt or information in any court for a felony or any as fusting time for Question [1], is a	other crime for which the judge could im	prison you for	<b>7</b>
	victed in any count of a felony or any other crime in received a almotor sentence including probation		ed you for more	ζ
d Are you a fugitive from	justice? (See Instructions for Question ) for its		X	
Warning: The use or p	r of, or addicted to, marisans or any depressant, s assession of marijuana remains unlawful unde- ticinal or recreutional purposes in the state who	r Federal law regardless of whether it b		
f. Have you ever been adju- for Question [13,5]	idicated as a mental defective OR have you ever b	seen committed to a montal institution? A	See Instructions	
g. Have you been discharge	ed from the Armed Forces under dishonorable c	onditions?		
	it order restraining you from hardssing, stalking, concluss for $Question(rl/h)$	or threatening your child or an infimate pe	rines or child of	2
i. Have you ever been con	victed in any court of a misdemeanor crime of do	mestle violence" See Instructions for Qu	asmon H.L.	
	n. (ChrekeList more than one, if applicable. Nationaries (U.S.A) Other Country/Countries (S	Specifi i		<b>.</b> 7
12 h. Have you ever rem	ounced your United States citizenship?		Yes No	X
	legally or unlawfully in the United States?			]
	the has been admitted to the United States under a	nonimmigraat visa? (See Instructions for	Question 12.d.)	5
12.d.2. If 'yes', do you fa	ll within any of the exceptions stated in the instruc	ctions!!	<u> </u>	
13. If you are an alien, record	Lyonr U.SIssued Alien or Admission number $\ell AR$	≠, USCIS≠, or 1944):		
Previous Editions Are Obsolete	Transferee/Buyer Cor		ATF Form 4473 (*300.9)	
Page Lof 6	STAPLE IF PAGES BE	COME SEPARATED	Revised October 2016	

may also violate State and/or local law. I understants prohibited from purchasing or receiving a firearm	i. I understand that a pers	on who answers "yes" to c	juestion 12.d.l. is pro	hibited from receiving or
possessing a firearm, unless the person answers "yes any false or all or written statement, or exhibiting an				
felony under Federal law, and may also violate Siate resale for livelihood and profit without a Federal fir	and/or local law. I furthe	r understand that the repe	titive purchase of fire	arms for the purpose of
14. Transferee's/Buyer's Signature	ndinadrialiena sulo sendinare esti su ammana de propriement sellidinadri sillo anadi la misa d'an mamerica est	gyat yang yang sebiki kilahadi lilikika di mendi kanaman manaman menanyungan inggilipan diraji, sebanjan kebal Sebanjan	. 5. 0	ertification Date
Vall)			9.	40116
	ction B - Must Be Compl			
16. Type of firearm(s) to be transferred teheck or m.	• •	17. If transfer is at a qua	lifying gun show or e	vent;
Handgun Dong Gun Other Fire:    Control of the cont		Name of Function.  Circ State		
shotgims) 18.a.tdentification (e.g., Virginia Driver's license ()	A DI Lar other valid cover	rnewest-issued photo ident	ification : (See histori	ections for Onestion 18 a c
Issuing Authority and Type of Identification	Number on Identification	)	Expiration Date of Month Day	Identification tif ways
MD D/	N 250 469	649 746	109 2	7 2024
18.b. Supplemental Government Issued Documental Question 18.b.;				
TX.c Exception to the Nonimmigrant Alien Prohibit documentation showing the exception to the pr				
Questions 19, 20, or 21 Must Be Complet	od Oring to The Transfer	OF The Eigenerales / Co.	Treeton the same from the con-	Mary 13 75 mg 21
19.a Date the transferce's buyer's identifying informations transmitted to NICS or the appropriate State ag	nation in Section A was	19 b. The NICS or State		
Month   Day   Year		1		
02 01 7017		IØØSDT	$XH(\mathbf{v})$	
19 c. The response initially (first) provided by NICS agency was:	or the appropriate State		onse(s) was were later	received from NICS or the
Proceed Delayed		Proceed	idate	Overcomed
Denied /The firearmts) may be	nonsjerve, can ne kas permits (optioaal)			
Cancelled ——————————————————————————————————		The automist	(that	
	and the same of the control of the same of		rovided within 3 busi	
Control of the Contro	Proceed [] [	Denied Cano	reflied	
[9,f] The name and Brady identification number of	the NICS examinet. (Opii	onab 19 g. Name of FFI.	. Employee Completi	ng NICS cheek. (Optional)
(name)	(namber)			and the control of th
<ul> <li>No NIC'S check was required because a bette NFA firearm(s), as reflected on the app</li> <li>No NICS check was required because the</li> </ul>	roved MFA application (2	See Instructions for Questi	int 200	
as an exemption to NICS. (See Instruction		m from a time some w	there the transier is to	take place, wither quantity
		Expiration Date (if any)	dy control of the second secon	nuper (h mis)
	C - Must Be Completed P			
I the transfer of the firearm(s) takes place on a differentian C immediately prior to the transfer of the fire	earm(s). ≀See Instructions	for Question 22 and 23 )		sforce/ouyer must complete
certify that my answers to the questions in Secti 22. Transferce's Buyer's Signature	or 2011 OHS TOLER BEC SUIL	erac, correct, and compr	make a graduate a transportant and a second	ecenification Date
and the second of the second o		•		A CONTRACTOR OF STATE
	Transferor/Seller Cor STAPLE IF PAGES REC		د المعلق المع	and and a management of the company of the control

Case 3:19-cv-00208-GMG Document 10-14 Filed 06/04/20 Page 101 of 111 PageID #: 571

I certify that my answers in Section A are true, correct, and complete. I have read and understand the Notices, Instructions, and Definitions on ATF Form 4473. I understand that answering "yes" to question 11.a. if I am not the actual transferee/buyer is a crime punishable as a felony under Federal law, and

ATE Form 4473 5300 W Revised October 1016

Case 3:19-cv-00208-GMG Document 10-14 Filed 06/04/20 Page 102 of 111 PageID #: 572

Section D - Mast Be Completed By Transferor Seller Even If The Firearm(s) is Not Transferred 36 Type (See Instructions Caliber or Manufacturer and Importer (If am) the the Model Serial Sumber memifacturer and importer are different for Ouestion 27.1 Gauge th Design neds the FFL must include both t 10W/TE 733 REMINDER - By the Close of Business Complete ATF Form 3310.4 For Multiple Purchases of Handguns Within 5 Consecutive Business Days 30. Check if any part of this transaction is a pawn redemption. Total Number of Firearms Transferred (Please handwrite by printing e.g., zero. one, two, three, etc. Do not use numerals.) 52 Line Number(3) From Question 24 Above: Check if this transaction is to facilitate a private party transfer. 31 For Use by Licensee (See Instructions for Question 3). (See Instructions for Question 32) 33. Trade/corporate name and address of transferor setter and Federal Firearm License Number (Musi cont. on teas) first three and last five digits of FFL Number X-XX-XXXXX (Hand samp may be used) FRAZICA'S PAUN Shop 922 U. Quelm St. 455-003-02-8401768 MARTINGUA WUL 25404 The Person Transferring The Firearm(s) Mast Complete Questions 34-37 For Denied/Cancelled Transactions, the Person Who Completed Section B Must Complete Questions 34-36. Learlify that: (1) Have read and understand the Notices. Instructions, and Definitions on this ATF Form 4473; (2) the information recorded in Sections B and D is true, correct, and complete; and (3) this entire transaction record has been completed at my ficensed business premises ("licensed premises" includes business temporarily conducted from a qualifying gun show or event in the same State in which the licensed premises is located) unless this transaction has met the requirements of 18 U.S.C. 922(c). Unless this transaction has been denied or cancelled. I further certify on the basis of —(1) the transferee's/buyer's responses in Section A (and Section C, if applicable); (2) my verification of the identification recorded in question 18 (and my re-verification at the time of transfer if Section C was completed); and (3) State or local law applicable to the firearms business — it is my belief that it is not unlawful for me to sell, deliver, transport, or otherwise dispose of the firearm(s) listed on this form to the person identified in Section A. 37. Date Transferred Transferov's/Soller's Title Transferor's Seller's KamA(Please print) 35. Transferog's Seller's Sign yor DRAIS 1473 FOR DENTED LANCELLED TRANSFERS ALLS) BE RETAINED. NOTICES, INSTRUCTIONS, AND DEFINITIONS If the transfer of a fire arm is defined cancelled by NICS, or if for any other reason. the irray for is not completed after a MCS cheek is initiated, the licensee must return Purpose of the Form: The information and continuation on this form are designed to that a person licensed under 18 G.S.C. 923 may determine if he soc the APE Form 14.13 in the records for in least 5 years. Forms 4473 is life expect may lawfalls sell or deliver a firearm to the person identified in Section 20, and to which a safe delivery, or transfer did not take place shall be separately retained. on apphabetical are more, of transference of chromological digidate of transference an alors the transfered bayer of cortain restrictions on the receipt and possess in of firearms. The transferor/seller of a firearm must determine the healthbess of cooling more order. the transaction and maintain proper records of the transaction. Consequently, the transferon either must be identitian with the provisions of  $184.8\,\mathrm{C}/2318(2)$  and 17 the transferor/selter or the transferor basic discovers that an ATL Form 4473 is the regulations in 27 CFR Parts 475 and 479. In determining the any faince of or complete at improperly completed after the financialias been transferred, and the transferor seller or the transferoe baser wishes to correct the unissione for the sale or delivery of a rifle or shotgan to a resident of another State, the perorts), photocopy the integrance form and make any necessary additions or transferor setter is presumed to know the applicable State taws and published revisions to the photocopy. The monsteror seller should only make changes to ordinances in both the transferor weether's State and the transferre's buyer's Sections B and D. The from derect buyer should only make change con Section A and State - (Sec. 475 Paidle ration 5360-5). State Laws and Published Conductors ( () Wherever made the changes should infinit and due the changes. The corrected photocopy should be attached to the original Form 4473 and retained as part of the Generally, ATL Form 4473 must be completed at the licensed bearies, premisewhen a firearm is transferred over-the-courter. I isleral law, 18 U.S.C. 422(c) transferor's seller's permanent records allows a ficensed importer, insulafacturer, or dealer to sell a firearm to a nonlicenses who does not appear in person at the becaused's business prentises only Exportation of Firemens: The Sans of Commerce Departments may requier a directions exponent to obtain a Legisla process export. Warning: Any person was exif the transferee/bus or meets corrain requirements. These requirements are seports a firearm without proper authorization may be fined not more than \$1,000,000. forth in section 922(c), 27 CFR 478,96(b), and AFF Procedure 2013-2. and on imprisoned for not more than 31 years. See 12 U.S.C. 2178(c). After the transferon seller has completed the firearms transaction, he she testst Section \ make the completed, original ATF Form 4473 (which includes the Notices: Goaeral lustractions, and Definitions), and any supporting documents, part of his her The transferse buyer must personally complete Section A of this form and certify permanent records. Such Forms 4473 must be retained for at least 10 years and trigger that the answers are true, correct, and complete. However, if the transfered after that period may be submitted to ATF. Filing may be chronological obvidute buyer is unable to read and or write, the answers teather than the signatures may be of dispositions, alphabetical (by name of purchaser), or numerical (by transaccompleted by another person, excluding the transferor/seller. I vo persons (other tion serial numbers, as long as all of the mansferor's/seller's completed Forms

> ATF Form 4475 (5300)9). Revised October 2016

than the transferance letter must then sign as witnesses to the transfered schot eris-

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Page 1 of 6		TE Form 44T0 (5300 d); cytsed Detaber (2015)	

### I certify that my answers in Section A are true, correct, and complete. I have read and understand the Notices, Instructions, and Definitions on ATF Form 4473. A understand that answering "yes" to question 11.a. if I am not the actual transferee/buyer is a crime punishable as a felony under Federal law, and may also violate State and or local law. Lunderstand that a person who answers "yes" to any of the questions 11.b. through 11.i and or 12.b. through 12.c. is prohibited from purchasing or receiving a firearm. I understand that a person who unswers "yes" to question 12.d.f. is prohibited from receiving or possessing a firearm, unless the person answers "yes" to question 12.d.2, and provides the documentation required in 18.c. I also understand that making any faire oral or written statement, or exhibiting not faire or inistrepresented identification with respect to this transaction, is a crime pinishibit its a felony under Federal law, and may also violate State and or local law. I further understand that the repetitive purchase of firearms for the purpose of resale for livelihood and profit without a Federal firearms license is a violation of Federal law. (See Instructions for Question 14.) Transferee's Buyer's Signature section B - Must Be Completed By Transferor Seller Type of firearm(s) to be transferred (check or mark all than steple) 117 If transfer is at a qualifying gun show or event Thong Gun Cher Fireampairance accorder the Name of Especions irldes or See Mademations for Question to Shingings City, Share 3 x lassification (e.g., Virginia Desce Vac. n. e. 13 Desce eth e coled georgian more resuld place e kenjilostron (e. 1800) in sen ete e de Quee don 18 o. (Experance Date of Ideoptication (Fore) Jissung Authority and Type of Identification. Suprect on Restriction 18.6. Supplemental Government Issued Decementation of all orification document documents have not uncertained as decement the relationships to Onestron 18.6.1 18 a. Extension to the Nonlinarigram Alien Protribution. With recursioner buyer answered "YES" to 12 at 2, the consideror seller anno record the type of documentation showing the exception is the credibition and attach a copy to this ATF Forth 4473. (See increasing Question 18, ) Questions 19, 20, or 21 Must Be Completed Prior To The Transfer Of The Firearm(s) See leview tions for Questions 10-20 and 11 19.a Date the transferee's buyer's identifying information in Socion A was 1119 h. The NES or State transaction numeric (if growthele was transmitted to NES or the appropriate State accords 10066369W 19 c. The response initially direct provided by NICS or the appropriate Star. 10.d. The following responses it was deep later received from MCS or the успаў В ЯК TT Orenaened Ti Delajed X Proceed Sill responds area provided widels. Thusbeed days 19 of Compiler of application. The the flactic was maislined the following response was rule and from NICS or the appropriate Sine agency on Demed \_\_\_\_Proceed The name and Book of infification name to of the NiCo countries of Discount [1918]. Name of FFL Employee Completing NiCo charks of Cyclistics. No NICS thack was regained because a background chack was completed during the NFA squires of process on the individual who will receive the NFA finearment as reflected on the approved NFA application. (See Instructions for the interest No NICS cheek was required because the transpired buyer have valid permis from the State a tiene the transfer is to take place, which qualifies as an eveniption to NW's . See his meeting or Que of the Mil-Expiration Date (July) | Date of issummer of and issume State and Permit Type Section C - Must Be Completed Personally By Transferee Buyer If the transfer of the firegranist takes place on a different day from the date that the transferre buyer signed Section A, the transferre buyer must complete Section Commodiately prior to the transfer of the firearm(s) (See Instructions for Queening 22 and 22). I certify that my answers to the questions in Section A of this form are still true, correct, and complete 23. Recertification Date 22. Transferce's Buyer's Signature Transferor/Seller Continue to Next Page STAPLE IF PAGES BECOME SEPARATED

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than the transferor/seller) must then sign us witnesses to the transfered sobuyer's answers and signature/certification in question (4.

VII Form 44'3 (5100.9)

Reused October 2016

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4473 are filed in the same manner.

tion serial numbers, as long as all of the transferor's/seller's completed Forms

U.S. Department of Justice

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#### Arction A

The transfered buyer must personally complete section 4 of the form and certify. raigns that the answers are true, correct, and complete. Those over, if the transfered buyer is anable to read and/or write. The answers techer than the agranume may be completed by another person, excluding the transferor/seller. Two persons within then the transfergressiliers must then such as witnesses to the transfered's buyer's noswers and signature/tertification in question 14.

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U.S. Department of Josefre Burelinoi A. Int. Pobacco, Effective and Footogrees.

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### Firearms Transaction Record

WARNING: You may not receive a figurar if prohibited by Federal or State law. The information was provide will be used to determine a bother you are prohibited from receiving a finearm. Curtain variations of the Control Sec. (84, 87, 92).	ene sine nesos bi iber <i>(j. s</i> )	Heris Soli
Read the Notices, fastractions, and Definitions on this form. Prepare in original only at the licensed premises "licensed premises" includes business temporarily conducted from a qualifying gun; show or even in the same State in which the licensed premises is located unless the transaction qualifies under 18 U.S.C. 922(c). All entries must be bandwritten in ink. "PLFASE FRINT."  Section As Must Be Completed Personally By Transferce/Buyer	331	<u> </u>
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12 c. Are you straken illegally or unlawfully in the United States?		
12 d.1. And you another who has been admitted to the United States under a nontinuing out visin? (Sec. on the trans in Question 15 d.)		
12.0.1 If "yes" do you fall within any of the exceptions stated in the instructions" $Z_1 \vee $		

Transferee/Buyer Continue to Next Page

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Mr. form 447 (153400)

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I certify that my answers in Section A are true, correct, and complete. Thave read and understand the Notices, Instructions, and Definition, on ATF Form 4473. A understand that answering "yes" to question 11.s. if I am not the actual transferee/buyer is a crime punishable as a felony under Federal law, and may also violate State and or local low. I understand that a person who answers "yes" to any of the questions (i.b. through 11.b. through 12.b. through 12.c. is prohibited from purchasing or receiving a firearm. I understand that a person who answers "yes" to question 12.d.1, is prohibited from receiving or possessing a firearm, water the person answers "yes" requestion 12 d.2, and possible, the documentation required in 18.c. I also understand that making any false mal or written statement, or exhibiting any false or misrepresented identification with respect to this from action, is a crime pontshilled or a felony under Federal law, and may also violate State and/or local law. I further understand that the repetitive purchase of firearms for the purpose of result for livelihood and profit without a Federal firearms license is a violation of Federal law. (See Instructions for Question 14.) Trapșteree's Britar's Signature Section B - Mast Be Completed By Transferor Seller It maps or is an a qualifying gon show or event 16. Type of financias to be transferred the Continues of their applica-Thenden Long Gun Tother Freezin river of the conama of Europian 18 addentification of g. Vingma Denge of home of the control of many or model interesting flagman, See Instruction by Quistion 18 Happy were Date of Identification of const. Issuing Authorics and Pope of Identific and F665787 18.6 Supplemental Coverancea Assert Ocean rotation of the and in the engine of the covered at the more than to 18 s. Assertion to the Neumandgram Alter Pushenting. The house receive before any send "YES" in 13 d.7, the medies a collection of the rise of disconscription showing the exception of the problems and another time to this MCF Lord 44 to 15 of the inner his light from the con-Questions 19-20, or 21 Most Be Completed Prior to The Transfer Of The Firements (See Formation, the grasse and to Present 27).

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> Transferor: Seller Continue to Nevi Page STAPLE IF PAGES BECOME SEPARATED

I certify that my answers to the questions in Section A of this form are still roug, correct, and complete

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N. Reamblewich Date

Frankferse's Huver's Signature

## Case 3:19-cv-00208-GMG Document 10-14 Filed 06/04/20 Page 111 of 111 PageID #: 581

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Section D - VI		ransferor Seller Even If The Firearn	n(s) is Not Transferred	
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teertify that: (1) I have read and understand the Notices, Instructions, and Definitions on this ATF Form 4473; (2) the information recorded in Sections B and D is true, correct, and complete; and (3) this entire transaction record has been completed at my licensed business premises ("licensed premises" includes business temporarily conducted from a qualifying gun show or event in the same State in which the licensed premises is located) unless this transaction has met the requirements of 18 U.S.C. 922(c). Unless this transaction has been denied or cancelled, I further certify on the basis of — (1) the transferec's/buyer's responses in Section A (and Section C, if applicable); (2) my verification of the identification recorded in question 18 (and my re-verification at the time of transfer, if Section C nuts completed); and (3) State or local law applicable to the forearms business—it is my belief that it is not unlawful for me to sell, deliver, transport, or otherwise dispose of the firearm(s) listed on this form to the person identified in Section A.

14 Transferor's Seller's Pagne (Please print) 33. Transferor's Seller's Signalage of

36. Transferor's Seller's Title 37. Date Transferred

3-6-17

NOTICES, INSTRUCTIONS, AND DEFINITIONS

Purpose of the Form: The information and certification of this form are designed so that a person licensed under 18 U.S.C. 923 may determine if has being lawfully self or defiver a firearm in the person identified in Section A, and to afert the transferor being of a firearm most determine the lawfulness of the transaction and minimum proper executs of the transaction. Consequently, the transferor selfer must be familiar with the provisions of 18 U.S.C. 924-931 and the regulations in 27 CFR Parts 478 and 479. In determining the lawfulness of the safe or delivery of a rifle or shotgun to a resident of another State, the transferor selfer is presumed to know the applicable State laws and published ordinances in both the transferor's selfer is State and the transferor's chayer's State. (See 17F Orbhication 5300.5.5 State Laws and Published Ordinances.)

Generally, ATT Form 4423 must be completed at the freeneed business premises when a firearm is transferred or enche-counter. Federal law, 18 U.S.C. 922(c), allows a licensed importer manufacturer, or dealer to self a firearm to a nonlicensed who does not appear in person at the ficensee's business premises only if the transferred buyer meets certain requirements. These requirements are set furth in section 922(c), 27 CPR 478 96(b), and ATF Procedure 2013-2.

After the transferor seller has completed the firearms transaction, he/she must make the completed, original XTFF am 4473 which includes the Notices, General Institutions, and Defotitions I and any supporting documents, part of his/her permanent records. Such Forms 44.13 must be retained for at least 20 years and after that period may be submitted to AFF. Filing may be chronological (by date of disposition), alphabetical (by name of purchases), or numerical thy transaction sected number), as long as all of the transferor scieller's completed Forms 4473 are filed in the same manner.

FORMS 4475 FOR DENIED CANCELLED TRANSFERS MUST BE RETAINED. If the transfer of a firearm is decied cancelled by NICS, or of for any other reason that transfer is not completed offer a NICS pheck is mitiated, the housest must return the NTF From 4473 in higher reasons for at least 3 years. Forms 4473 with respect to which a sale, delivery, or transfer did not take place drall be separately retained in alphabetical (by none of transfered or chronological (by date of transfered so the other many order.)

If the transferor-seller or the transferor, buyer discovers that an AFF Ferm 4475 is incomplete or improperly completed after the threatric has been transferred, and the transfer or seller or the transferor buyer wistes to correct the omission(s) or error(s), photocopy the inaccurate form and make any necessary additions or revision to the photocopy. The transferor seller should only make changes to Section 8 and D. The transferor buyer should only make changes to Section A and C. Whose or made the changes should matial and dore the changes. The corrected photocopy should be attached to the original Form 4473 and returned as part of the transferor's seller's permanent records.

Exportation of Firearms: The State of Commerce Departments may require a firearms exporter to obtain a ficense prior to export. Warning: Any person who exports a firearm without proper authorization may be fined not more than \$1,000,000 and or imprisoned for not more than 20 years. See 22 U.S.C. 2778(c)

#### Section A

The transferee/buyer must personally complete Section A of this form and certify vign) that the answers are true, correct, and complete. However, if the transferee buyer is unable to read and/or write, the answers (other than the signature) may be completed by another person, excluding the transferor seller. Two persons (other than the transferor/seller) must then sign as witnesses to the transferee's/buyer's answers and signature/certification in question 14.

ATF Form 4473 (5 NGO) Revised October 1016